The pathway to better research: PREPARE to ARRIVE

Adrian Smith, Norecopa

adrian.smith@norecopa.no

norecopa.no/060422

"better research?"

- valid data (a true treatment effect)
- reproducible and translatable experiments
- best possible animal welfare
- health & safety (of animals and people)
- a culture of care in the research group
- communication of best practice to others

colourbox.com

RESPONSIBLE RESEARCH IN PRACTICE

info@responsibleresearchinpractice.co.uk

HOME | TRAINING | CONSULTANCY | WEBINARS | INSIGHT BLOGS | CASE STUDIES | ABOUT US | CONTACT US

Training to deliver rigorous and reproducible animal studies by planning in accordance with the PREPARE guidelines.

26-28 April 2022 (half days)

responsibleresearchinpractice.co.uk/prepare-for-better-science-course

Norway's National Consensus Platform for the

Three Rs: Replacement, Reduction and Refinement

and a source of *global* 3R resources

we welcome more from you!

norecopa

https://norecopa.no

Established in 2007

Norecopa: PREPARE for better Science

European Consensus-Platform for Alternatives

ecopa.eu

- Established in 2000
- Recognises National Consensus Platforms (NCPs) with 4 stakeholders equally represented:

Norecopa was established in 2007

The background for the foundation of Norecopa

peta.org

fbresearch.org

EU3Rnet

norecopa.no/global3r

Centres

- Replacement
- Reduction
- Refinement
- ecopa

Associations

- ACURET
- AFLAS (includes South Korea)
- Culture of Care Network
- ecopa
- EU-NETVAL
- EU3Rnet
- FELASA
- FESSACAL
- Scand-LAS
- Concordat on Openness

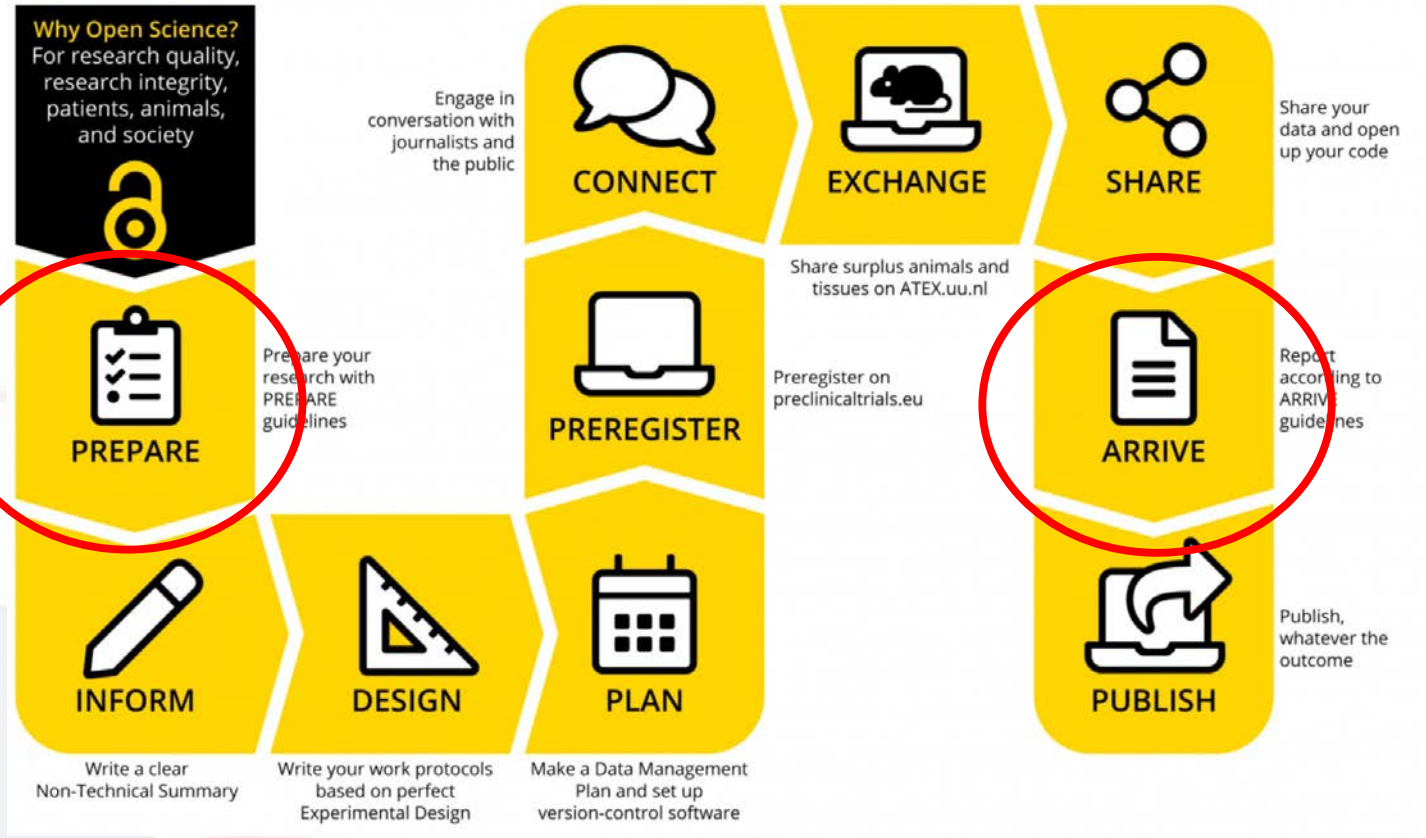
journal.eahn.org/article/id/7475

From the Master Builder...

...to a coordinated effort from many experts

Site work (excavation, waste & water, paths)
Metal structures
Concrete structures
Masonry
Carpentry (rough & visible)
Waterproofing and insulation
Escalators and lifts
Heating, ventilation and air conditioning
Plumbing
Electrical systems
Doors & windows
Fire protection
Painting
Landscaping
Rodent control

The pathway to better research

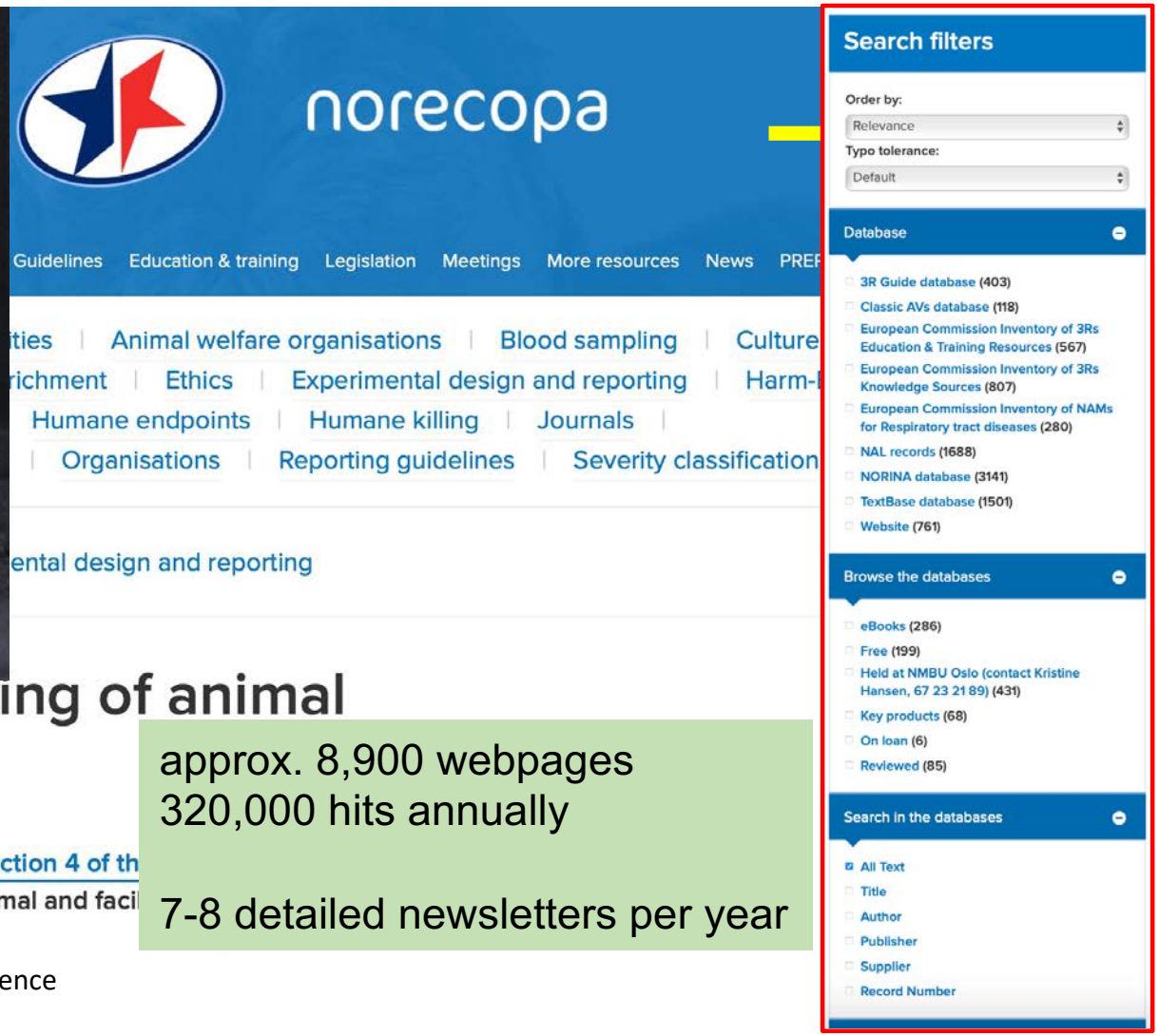


Norecopa: PREPARE for better Science

norecopa.no/PREPARE *and*
ivd-utrecht.nl/en/news/better-animal-research-through-open-science-1

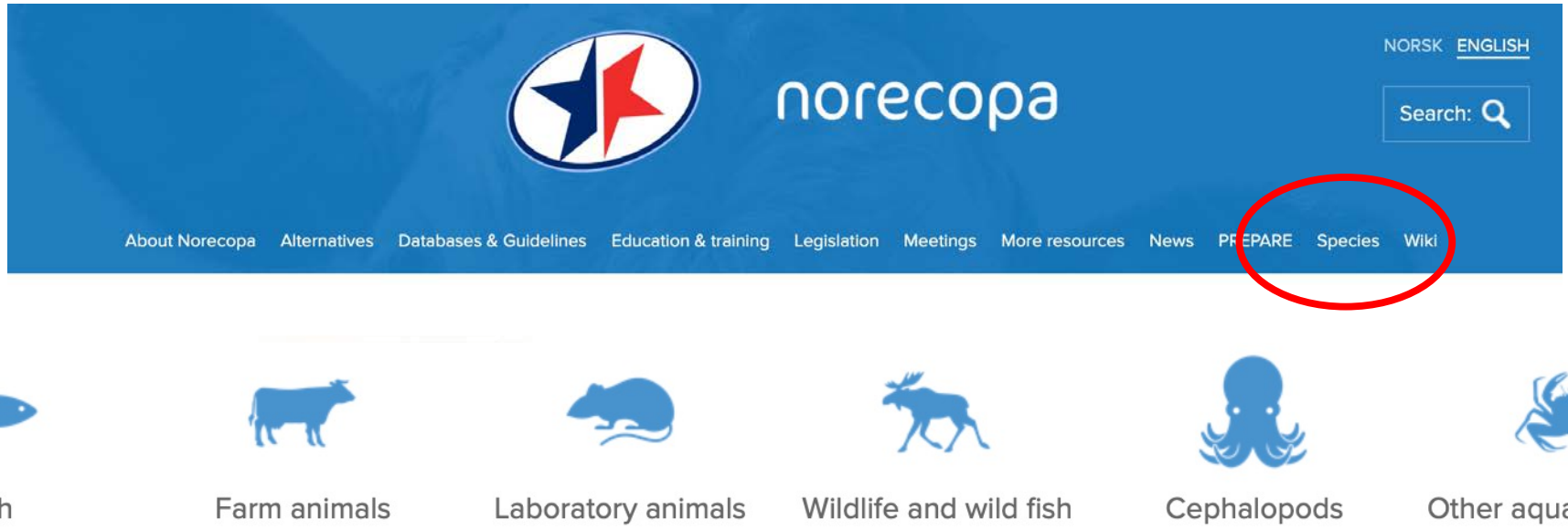
norecopa.no : an updated overview of global 3R resources

amazon.com



approx. 8,900 webpages
320,000 hits annually

7-8 detailed newsletters per year



Norecopa: PREPARE for better Science

+ webpages for past meetings and recorded meetings

norecopa.no/meetings/meetings-calendar

Webinar and Meetings calendar

April 2022

- Is this a harmful phenotype? How to responsibly assess genetically induced phenotypes in rodents, webinar (Anne Zintzsch), 1 April 2022
- Am I Doing This Right? Ensuring Rodent Well-being During Euthanasia, AALAS webinar, 5 April 2022
- 7th Meeting of the Large Animal Research Network (LARN), online event, 5-6 April 2022
- Preregistration: Benefits, challenges and practical tips, webinar (Agata Bochynska), 6 April 2022
- Humane endpoints for mice, webinar (Elizabeth Nunamaker), 8 April 2022
- Evaluation of animal-free methods, Swedish 3Rs-Center webinar, 11 April 2022
- Micro-physiological models: From organoids to organs-on-chip, Cargèse, 11-15 April 2022

Pdf files of 80+ presentations held at Norecopa's meetings

Norecopa: PREPARE for better Science

Databases & Guidelines

Published lists of resources are difficult to search and quickly become outdated. Lists on a website are easier to search, but do not enable the use of filters or intelligent search engines.
Norecopa has therefore constructed four databases, which together with all the text on this website can be searched simultaneously using the search field at the top of every page.

- 3R Guide: a global overview of **databases, guidelines, information centres, journals, email lists, regulations and policies** which may be of use when planning experiments which might include animals. A quick overview of all the guidelines can be accessed here. Norecopa has written several of these, including the PREPARE guidelines for planning animal research and testing.
- NORINA: a global overview of audiovisual aids and other items which may be used as **alternatives or supplements to animals in education and training** at all levels from junior school to University, including dissection alternatives and surgical simulators.
- TextBase: a global overview of **textbooks and other literature within laboratory animal science** and related topics.
- Classic AVs: a subset of NORINA covering **audiovisual aids that are based on older technology**.

These databases are updated regularly. Please give us feedback if you discover errors or omissions.

The Norecopa website also includes four other collections:

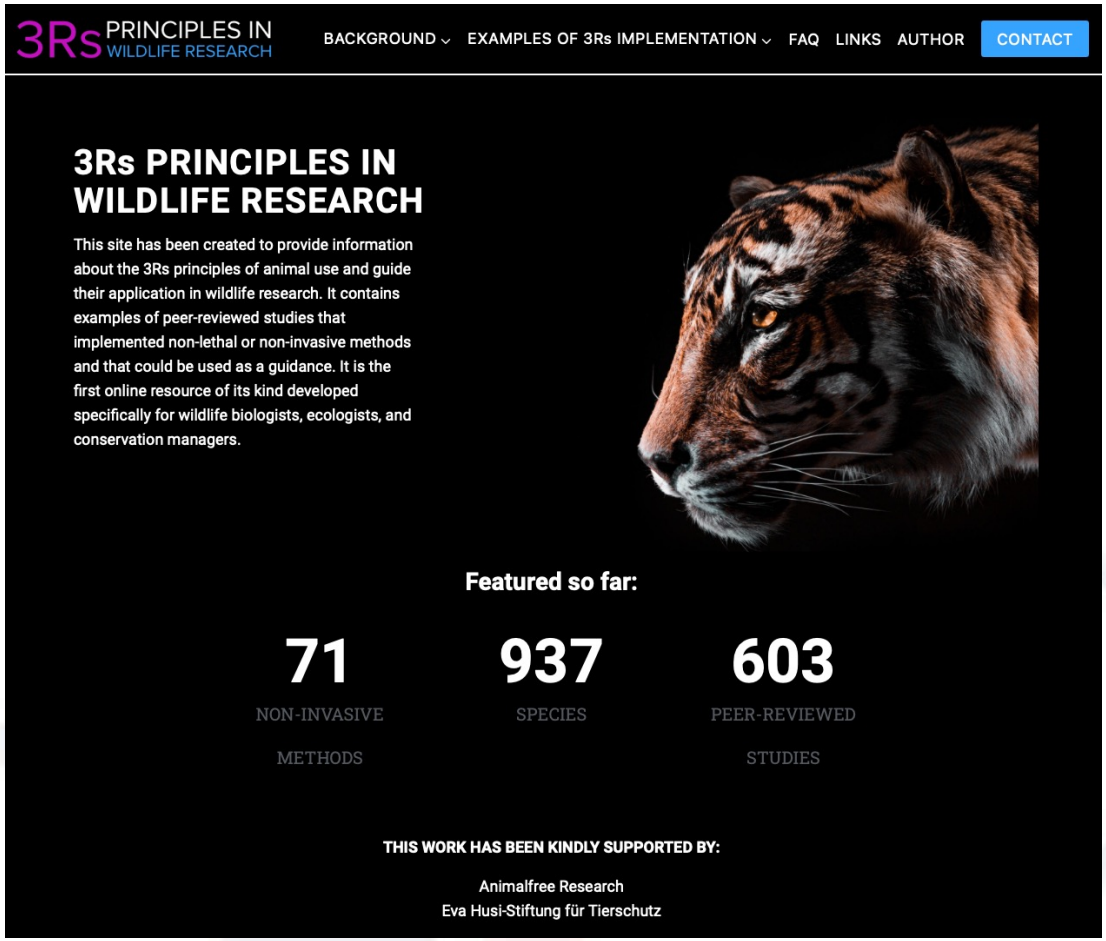
- NAL: a collection of literature references relating to the 3Rs from the US National Agricultural Library
- European Commission datasets:
  - 3Rs Knowledge Sources: over 800 resources collected by the Commission in 2016
  - 3Rs Education and Training Resources, over 560 items collected in 2018
  - Non-animal models for respiratory tract diseases, over 280 models identified in a literature review of over 21,000 publications

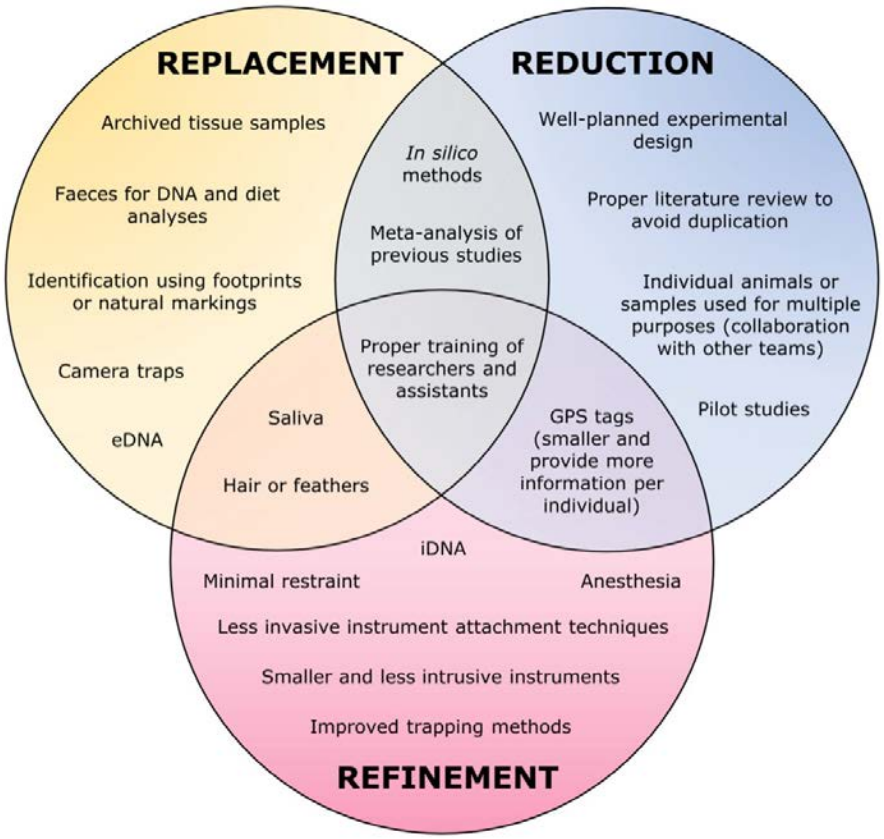
Here is an alphabetical global list of all the databases cited on the Norecopa website.

norecopa.no/databases-guidelines

links to over 70 other databases

3rswildlife.info

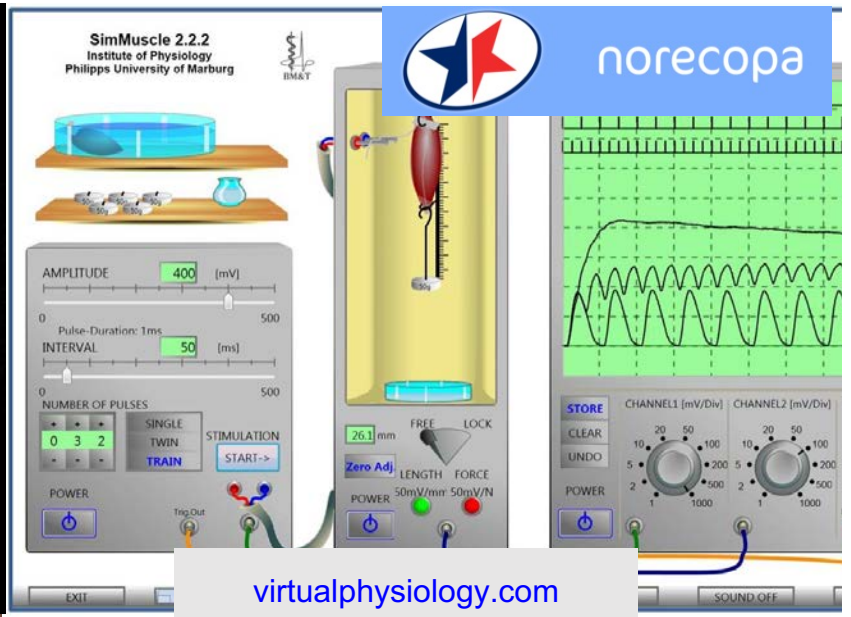




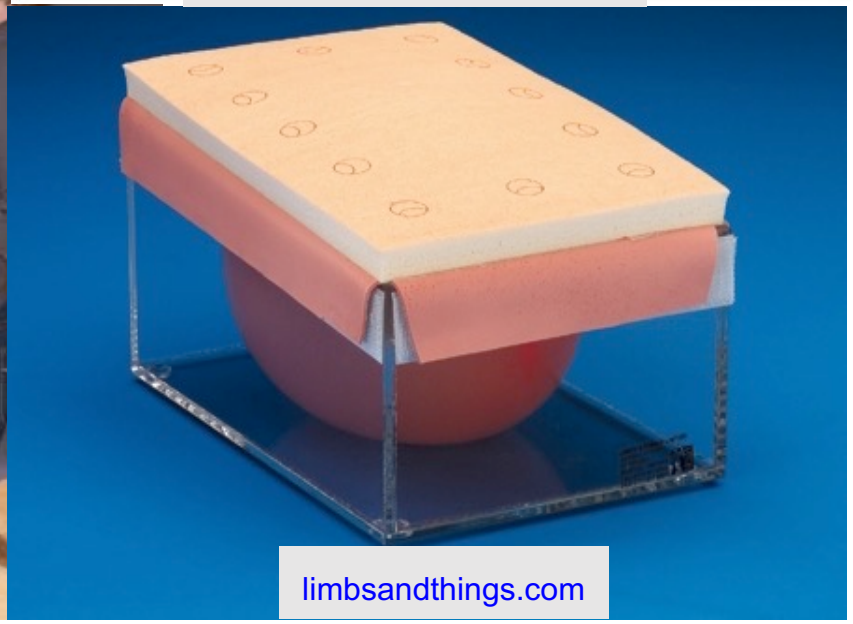
Source: Zemanova 2020

Miriam Zemanova

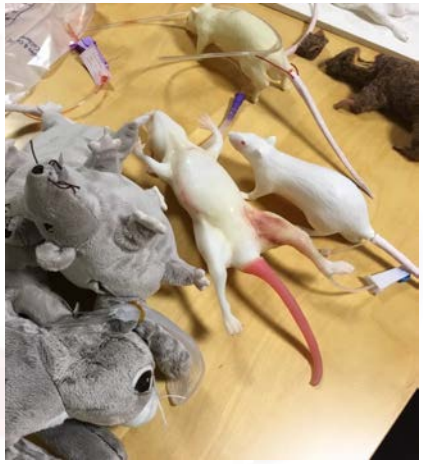

norecopa.no/NORINA
3dglasshorse.com


SimMuscle 2.2.2
Institute of Physiology
Philipps University of Marburg
AMPLITUDE
400
[mV]
INTERVAL
50
[ms]
NUMBER OF PULSES
SINGLE
TWIN
TRAIN
STIMULATION
START->
POWER
FREE
LOCK
Zero Adj
LENGTH
FORCE
STORE
CLEAR
UNDO
CHANNEL1 [mV/Div]
CHANNEL2 [mV/Div]
EXIT
SOUND OFF
norecopa
virtualphysiology.com


rescuecritters.com


limbsandthings.com

norecopa.no/education-training/homemade-educational-materials

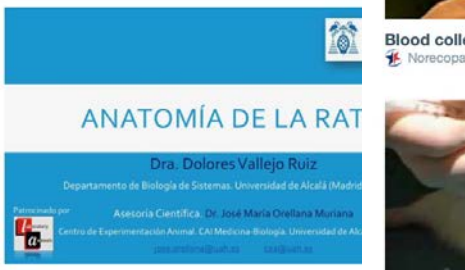


norecopa.no/education-training/films-and-slide-shows

norecopa

Rat s.c. injection
Norecopa | 1,380 views

Rat i.p. injection (method 2)
Norecopa | 1,280 views



Anatomia de la rata
Norecopa | 977 views

Testing anaesthetic depth in the chicken
Norecopa | 598 views

Blood collection from the saphenous vein in the mouse
Norecopa | 6,777 views

Subcutaneous injection in the rat - Technique 1
Norecopa | 2,249 views

Blood san
Norecop

Blood san
Norecop

Blood san
Norecop

Blood sampling from the pig
Norecopa | 3,914 views

Intravenous injection in a rabbit
Norecopa | 2,025 views

Lifting a rabbit
Norecopa | 2,420 views

Subcutaneous injection in the rabbit
Norecopa | 1,479 views

Subcutaneous injection in the chicken
Norecopa | 1,806 views

Immobilisation of the rabbit
Norecopa | 2,072 views

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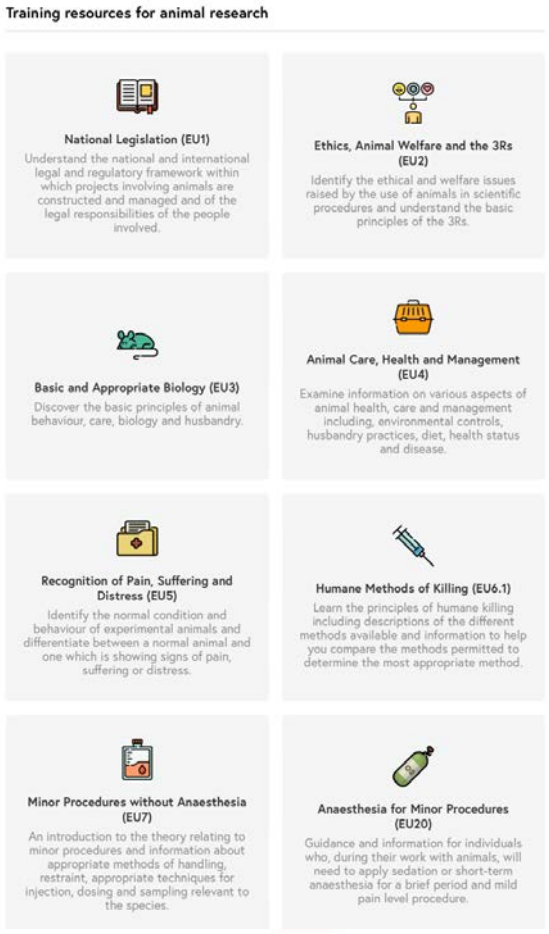
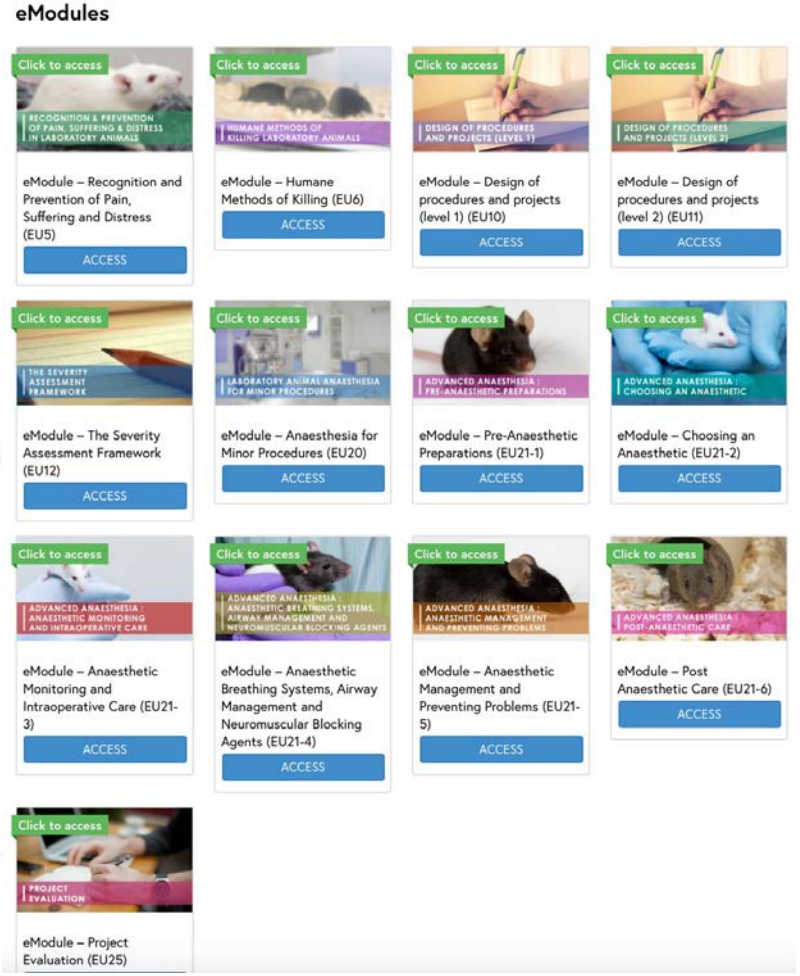
RESEARCH ANIMAL TRAINING

researchanimaltraining.com

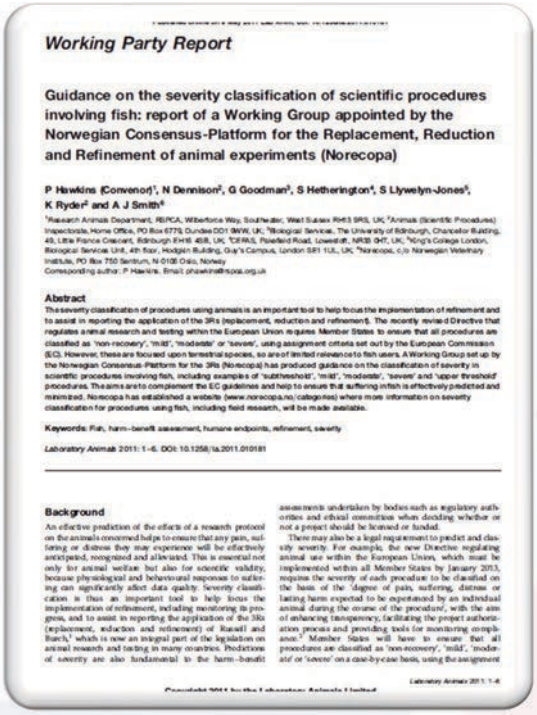
Articles ⌄ eModules ⌄ Log in





From **3R-Guide** (380 guidelines for animal research and testing)

norecopa.no/3r-guide



Working Party Report

Guidance on the severity classification of scientific procedures involving fish: report of a Working Group appointed by the Norwegian Consensus-Platform for the Replacement, Reduction and Refinement of animal experiments (Norecopa)

P Hawkins (Convenor)[1], N Dennison[2], G Goodman[3], S Hetherington[4], S Llywelyn-Jones[5], K Ryder[2] and A J Smith[6]

[1]Research Animals Department, RSPCA, Wilberforce Way, Southwater, West Sussex RH13 9RS, UK; [2]Animals (Scientific Procedures) Inspectorate, Home Office, PO Box 6773, Dundee DD1 9WW, UK; [3]Biological Services, The University of Edinburgh, Chancellor Building, 49, Little France Crescent, Edinburgh EH16 4SB, UK; [4]CEFAS, Pakefield Road, Lowestoft, NR33 0HT, UK; [5]King's College London, Biological Services Unit, 4th floor, Hodgkin Building, Guy's Campus, London SE1 1UL, UK; [6]Norecopa, c/o Norwegian Veterinary Institute, PO Box 750 Sentrum, N-0106 Oslo, Norway

Corresponding author: P Hawkins. Email: phawkins@rspca.org.uk

Abstract

The severity classification of procedures using animals is an important tool to help focus the implementation of refinement and to assist in reporting the application of the 3Rs (replacement, reduction and refinement). The recently revised Directive that regulates animal research and testing within the European Union requires Member States to ensure that all procedures are classified as 'non-recovery', 'mild', 'moderate' or 'severe', using assignment criteria set out by the European Commission (EC). However, these are focused upon terrestrial species, so are of limited relevance to fish users. A Working Group set up by the Norwegian Consensus-Platform for the 3Rs (Norecopa) has produced guidance on the classification of severity in scientific procedures involving fish, including examples of 'subthreshold', 'mild', 'moderate', 'severe' and 'upper threshold' procedures. The aims are to complement the EC guidelines and help to ensure that suffering in fish is effectively predicted and minimized. Norecopa has established a website (www.norecopa.no/categories) where more information on severity classification for procedures using fish, including field research, will be made available.

Keywords: Fish, harm–benefit assessment, humane endpoints, refinement, severity

Laboratory Animals 2011; 1–6. DOI: 10.1258/la.2011.010181

Background

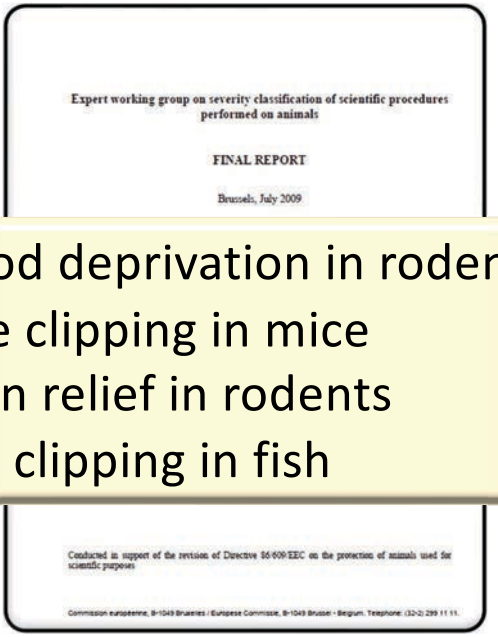
An effective prediction of the effects of a research protocol on the animals concerned helps to ensure that any pain, suffering or distress they may experience will be effectively anticipated, recognized and alleviated. This is essential not only for animal welfare but also for scientific validity, because physiological and behavioural responses to suffering can significantly affect data quality. Severity classification is thus an important tool to help focus the implementation of refinement, including monitoring its progress, and to assist in reporting the application of the 3Rs (replacement, reduction and refinement) of Russell and Burch,[1] which is now an integral part of the legislation on animal research and testing in many countries. Predictions of severity are also fundamental to the harm–benefit assessments undertaken by bodies such as regulatory authorities and ethical committees when deciding whether or not a project should be licensed or funded.

There may also be a legal requirement to predict and classify severity. For example, the new Directive regulating animal use within the European Union, which must be implemented within all Member States by January 2013, requires the severity of each procedure to be classified on the basis of the 'degree of pain, suffering, distress or lasting harm expected to be experienced by an individual animal during the course of the procedure', with the aim of enhancing transparency, facilitating the project authorization process and providing tools for monitoring compliance.[2] Member States will have to ensure that all procedures are classified as 'non-recovery', 'mild', 'moderate' or 'severe' on a case-by-case basis, using the assignment

Laboratory Animals 2011; 1–6

Guidance on the severity classification of procedures involving fish

Report from a Working Group
convened by Norecopa



Expert working group on severity classification of scientific procedures performed on animals

FINAL REPORT

Brussels, July 2009

Conducted in support of the revision of Directive 86/609/EEC on the protection of animals used for scientific purposes

Commission européenne, B-1049 Bruxelles / Europese Commissie, B-1049 Brussel - Belgium. Telephone: (32-2) 299 11 11.

Food deprivation in rodents
Toe clipping in mice
Pain relief in rodents
Fin clipping in fish

http://ec.europa.eu/environment/chemicals/lab_animals/pdf/report_ewg.pdf

P Hawkins, N Dennison, G Goodman, S Hetherington,
S Llywelyn-Jones, K Ryder and AJ Smith

Laboratory Animals, 45: 219-224, 2011

norecopa.no/categories

TextBase:

1,500 books related to LAS:

norecopa.no/textbase

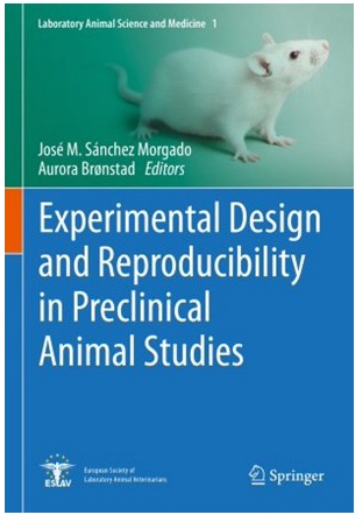
Experimental Design and Reproducibility in Preclinical Animal Studies

By José M. Sánchez Morgado & Aurora Brønstad (Eds.)

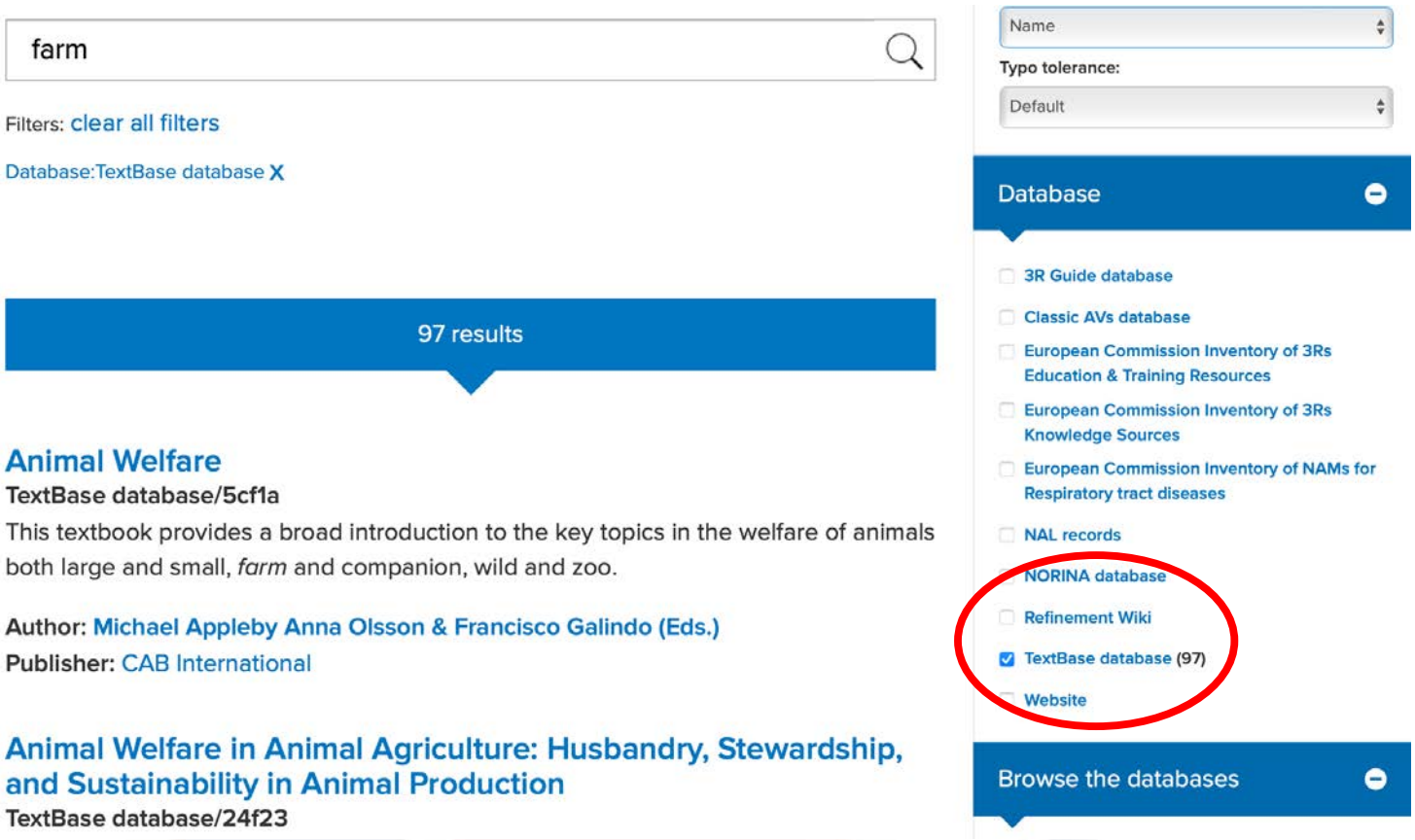
Record number: 8619d

This book provides grounds on how to plan and conduct animal experiments that can be reproduced by others. It touches on factors that may impact the reproducibility of animal studies including: the animal genetic background, the animal microbial flora, environmental and physiological variables affecting the animal, animal welfare, statistics and experimental design, systematic reviews of animal studies, and the publishing process.

The book addresses advanced undergraduates, graduate students and all scientists working with animals.



norecopa.no/textbase/experimental-design-and-reproducibility-in-preclinical-animal-studies


farm
Filters: clear all filters
Database:TextBase database X
97 results
Animal Welfare
TextBase database/5cf1a
This textbook provides a broad introduction to the key topics in the welfare of animals both large and small, farm and companion, wild and zoo.
Author: Michael Appleby Anna Olsson & Francisco Galindo (Eds.)
Publisher: CAB International
Animal Welfare in Animal Agriculture: Husbandry, Stewardship, and Sustainability in Animal Production
TextBase database/24f23
Name
Typo tolerance:
Default
Database
3R Guide database
Classic AVs database
European Commission Inventory of 3Rs Education & Training Resources
European Commission Inventory of 3Rs Knowledge Sources
European Commission Inventory of NAMs for Respiratory tract diseases
NAL records
NORINA database
Refinement Wiki
TextBase database (97)
Website
Browse the databases

We have a "reproducibility crisis" in science...

1. Publication bias (reporting only positive results)
2. Low statistical power
3. P-value hacking (manipulating data to obtain significance)
4. HARKing (Hypothesizing after the results are known)
5. Lack of randomisation and blinding

norecopa.no/concerns

Norecopa: PREPARE for better Science

Reporting

Planning

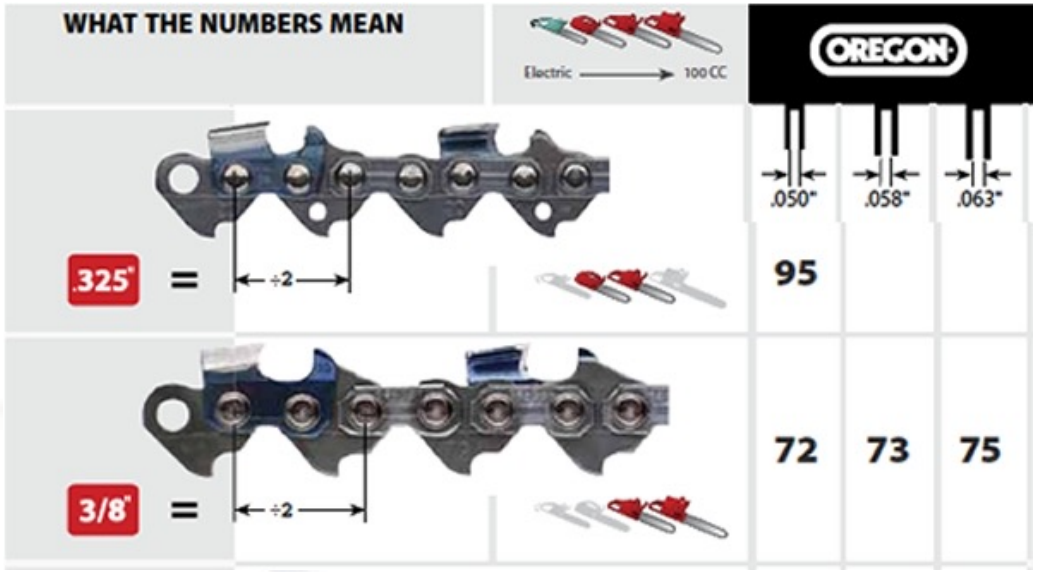
We cannot improve our research by

better reporting alone...

reddit.com

Norecopa: PREPARE for better Science

The easy parts of design and reporting:



arborist101.com

- Chainsaw
  - Blade characteristics
  - Sparkplug type
  - Petrol/oil mixture
  - Service history
- Angle of cut in tree
- Length of tree logs

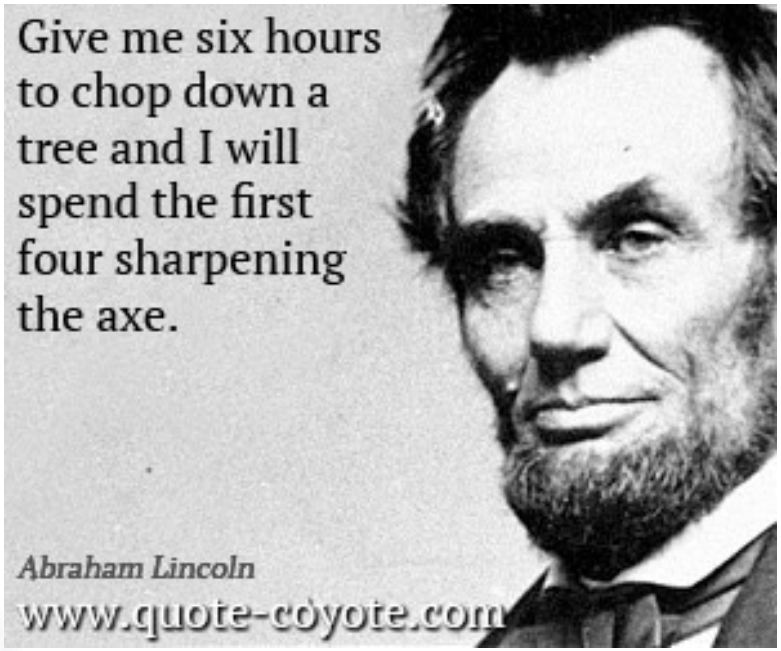
Norecopa: PREPARE for better Science

Critical issues behind the scenes that may not get reported:

- Experience of the workers
- Inspection for signs of rot and to decide felling direction
- Additional equipment (winch, chains, straps, wedges)
- Routines and equipment for sharpening the chain
- Clearing-up and transport of logs
- Health and safety precautions – clothing, onlookers
- **Division of labour and costs**

Starts long before the actual work.

leaderonomics.com



Norecopa: PREPARE for better Science

editorial | Published: February 2010

Measure twice, think three times, cut once

L. Noyez

Netherlands Heart Journal **18**, 60(2010) | Cite this article

doi.org/10.1007/BF03091738

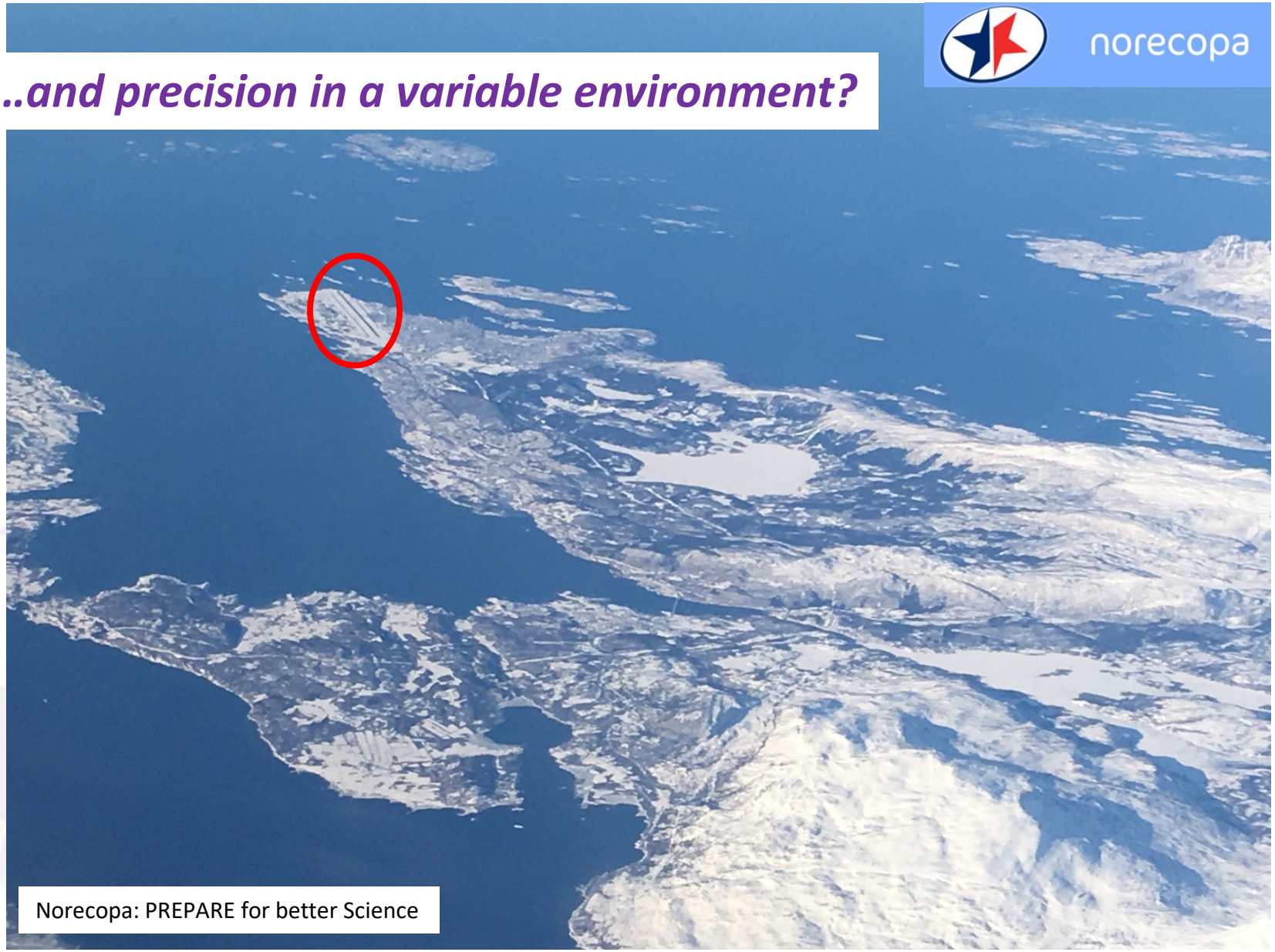
Abstract

When I was a child, my father taught me how to fix a punctured tyre. He stressed the importance of checking the whole tyre, even if I had already found a puncture, because there could always be more. In addition, he made me check the outer tyre for sharp pieces that could again damage the inside tyre.

How do others achieve reproducibility?

https://www.meonuk.com/runway-markings-explained

…and precision in a variable environment?



Norecopa: PREPARE for better Science

10-15 checklists even on short routine flights

Checklists

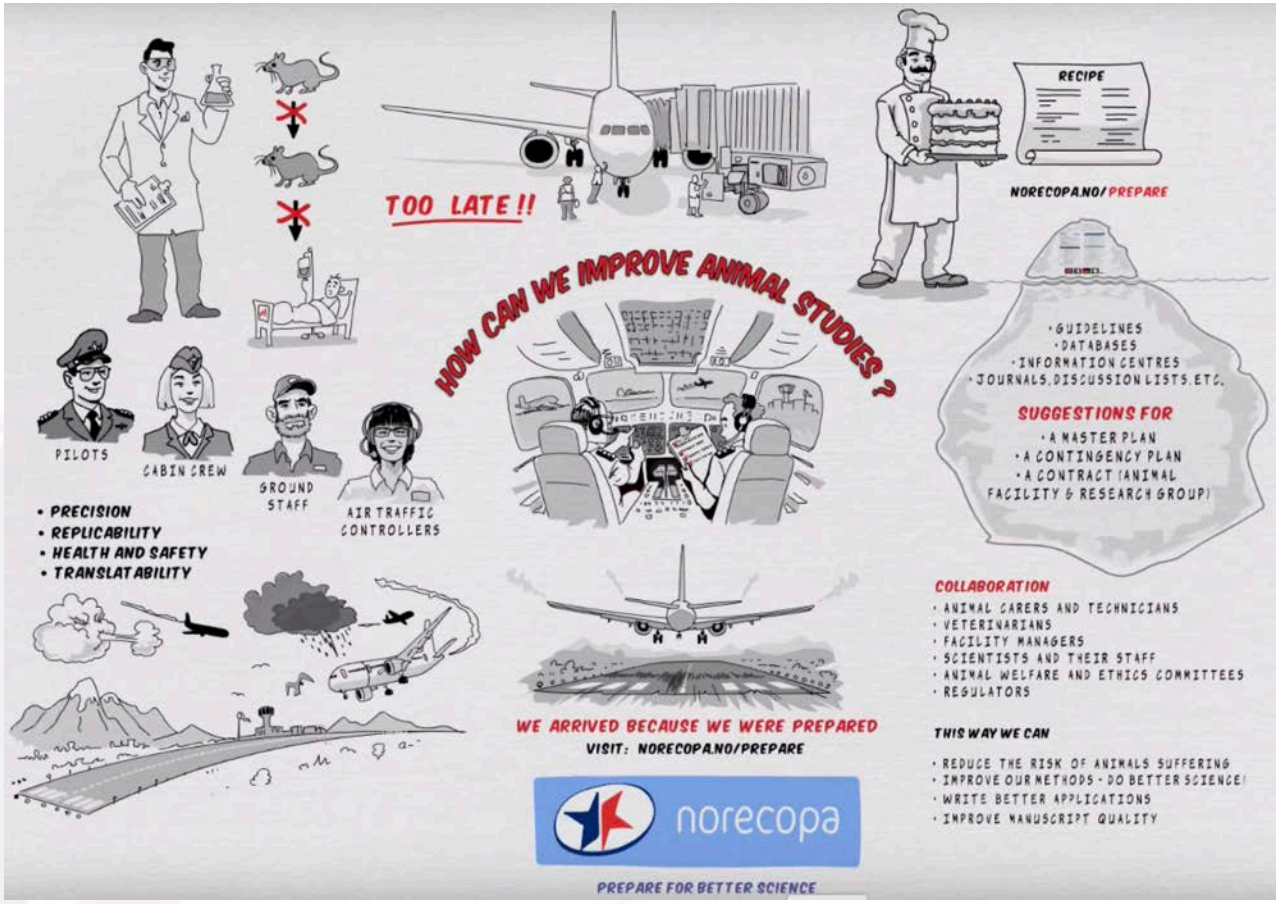
- Reduce risk of **forgetting** to carry out vital actions
- Ensure checks are carried out in the **correct sequence**
- Encourage **cooperation** and **cross-checking** between crew members
- Make sure that everyone is "**on the same page**"

Too late to read the checklists when you have ARRIVEd!

colourbox.com

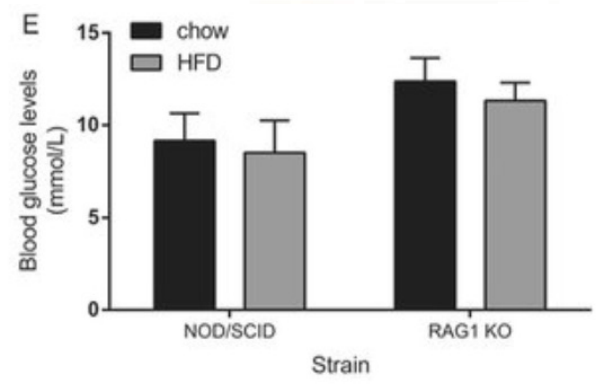
norecopa.no/PREPARE/film

3-minute cartoon film



Norecopa: PREPARE for better Science

The scientist



The mouse

Breeding
New social groups
Transportation
Acclimation to research facility
Allocation to experimental group
Adaptation to new diet
Handling and immobilisation

Blood sampling

often also:
injections, gavaging, surgery
pain and distress
developing illness and death

Stress caused by capture and handling

INDEPENDENT

News › Science

Scores of scientific studies based on mice thrown into doubt because they wer

Mice picl 't act naturally

Ian Johnstor

nc3rs.org.uk/3rs-resources/mouse-handling

Artefacts caused by poor administration techniques

Photo: NMBU

- *Are you sure that your injection ends up in the same place each time?*
- *Are the injections painful?*
- *Are they realistic? (intramuscular injections in small animals)*

Disposable needles are designed to be used only once!

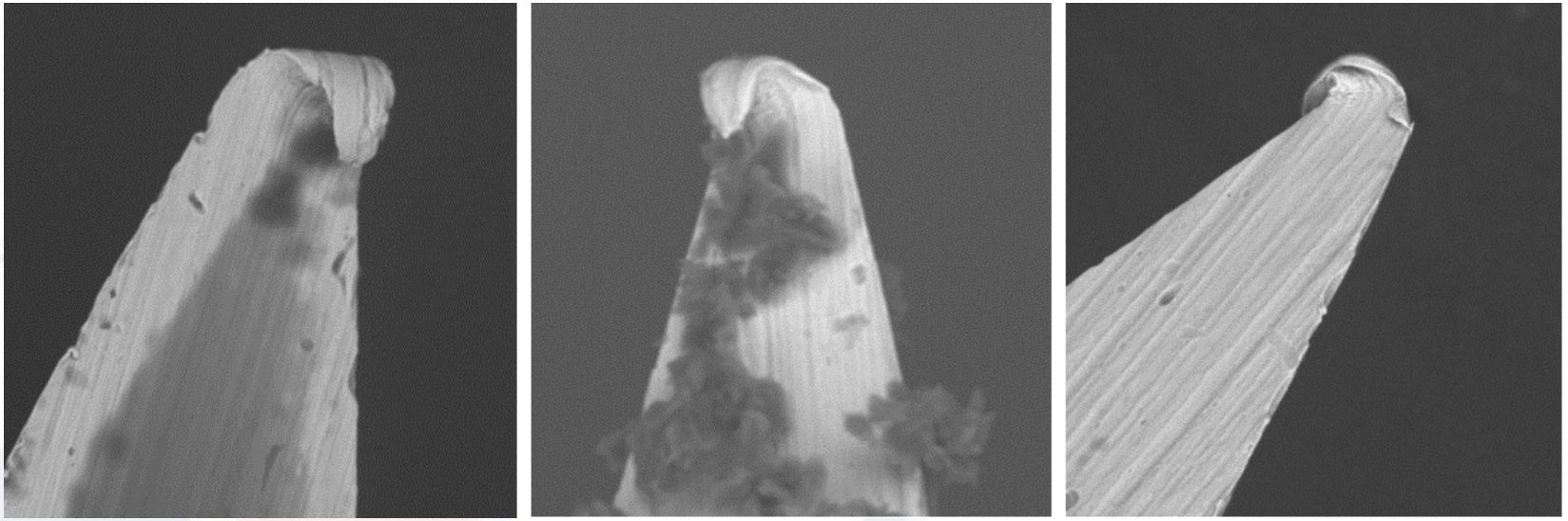


Photo: AstraZeneca

nc3rs.org.uk/news/re-use-needles-indicator-culture-care

'A simple' case: a researcher wants a blood sample

medipoint.com/html/for_use_on_mice.html

theodora.com/rodent_laboratory/blood_collection.html

vimeo.com/486368886

photo:NMBU

The best blood sampling techniques are those where you can:

- ✓ see the blood vessel
- ✓ regulate the amount of blood you remove
- ✓ stop the bleeding easily (including internal bleeding)
- ✓ avoid damage to the surrounding tissue
- ✓ collect samples rapidly, to avoid artefacts due to mechanical stress, temperature changes, differing lengths of sampling time

While we are waiting for the scientific evidence…

Carol M. Newton (1925-2014)

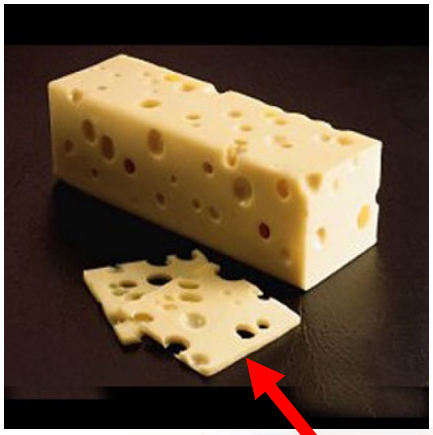
National Library of Medicine

The three S's

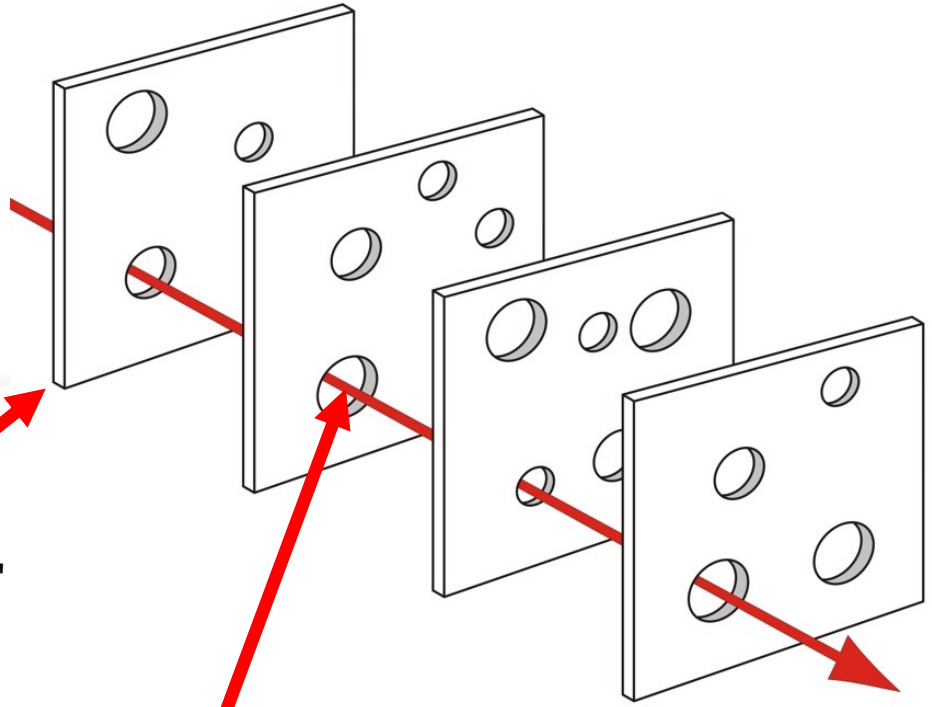
- *Good Science*
- *Good Sense*
- *Good Sensibilities*

https://norecopa.no/3S

Threat and Error Management



eaugallecheese.com/Swiss-Cheese



"Layer of defence"
or redundancy

Weakness / hazard

Loss

wikipedia.org/wiki/Swiss_cheese_model

Contingency and redundancy

Anything that can go wrong, will go wrong (Murphy's Law)
when it's least convenient *(Sod's Law)*

Photo: NMBU

Culture of Care

The International Culture of Care Network

norecopa.no/coc

A demonstrable commitment, throughout the establishment, to improving:

- animal welfare
- scientific quality
- care of staff
- transparency for all stakeholders, including the public

It goes beyond simply complying with the law!


Map
Satellite
Greenland
Iceland
Finland
Sweden
Norway
Russia
United Kingdom
Poland
Germany
Ukraine
Kazakhstan
Mongolia
France
Italy
Spain
Turkey
China
Japan
South Korea
Canada
United States
North Atlantic Ocean
Iraq
Iran
Afghanistan
Pakistan
Algeria
Libya
Egypt
Saudi Arabia
India
Thailand
Mexico
Mali
Niger
Sudan
Chad
Nigeria
Ethiopia
Venezuela
Colombia
Kenya
DRC
Tanzania
Indonesia
Papua New Guinea
Brazil
Peru
Angola
Bolivia
Namibia
Botswana
Madagascar
Indian Ocean
South Pacific Ocean
South Atlantic Ocean
Chile
Australia
South Africa
Argentina
New Zealand
norecopa.no/coc
Culture of Care Network
Google
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"because we've always done it that way"

"as often as necessary"

"there are no alternatives"

Closely related to a culture of care is the concept of

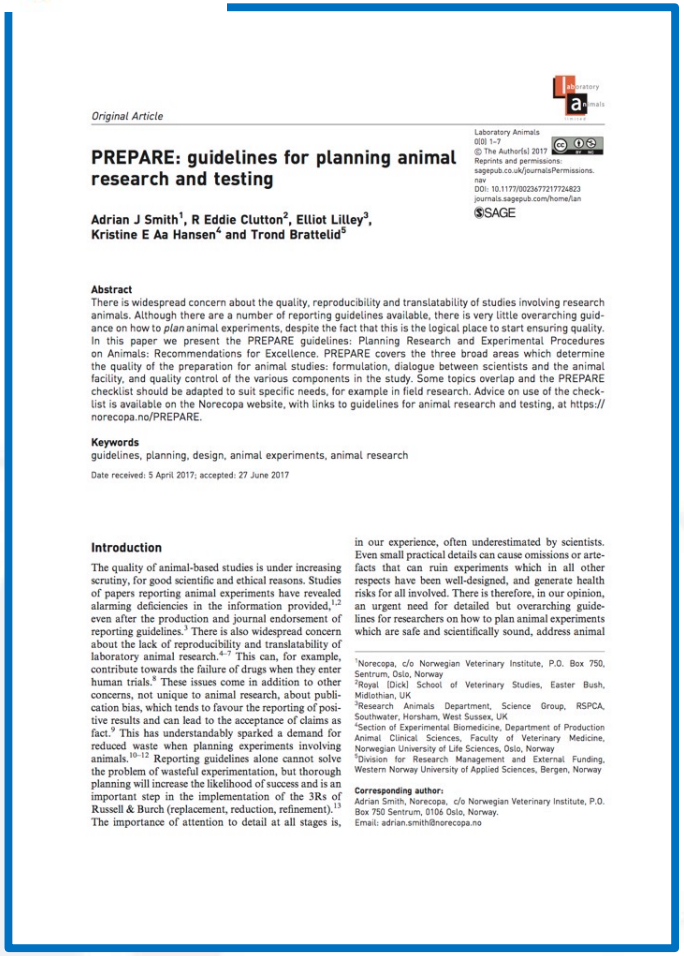
a **Culture of Challenge** (Louhimies, 2015)**.**

Look for the acceptable, rather than choosing the accepted.

PREPARE encourages scientists to collaborate with animal carers and technicians from Day 1

- they have a right to know and will be more motivated
- they know the possibilities (and limitations) in the animal facility
- they often possess a large range of practical skills and are good at lateral thinking
- they know the animals best
- the animals know them best
- lack of involvement creates anxiety, depression and opposition to animal research, as well as limiting creativity which might improve the experiments

Prepare



Original Article

Laboratory Animals
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DOI: 10.1177/0023677217724823
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PREPARE: guidelines for planning animal research and testing

Adrian J Smith[1], R Eddie Clutton[2], Elliot Lilley[3], Kristine E Aa Hansen[4] and Trond Brattelid[5]

Abstract

There is widespread concern about the quality, reproducibility and translatability of studies involving research animals. Although there are a number of reporting guidelines available, there is very little overarching guidance on how to *plan* animal experiments, despite the fact that this is the logical place to start ensuring quality. In this paper we present the PREPARE guidelines: Planning Research and Experimental Procedures on Animals: Recommendations for Excellence. PREPARE covers the three broad areas which determine the quality of the preparation for animal studies: formulation, dialogue between scientists and the animal facility, and quality control of the various components in the study. Some topics overlap and the PREPARE checklist should be adapted to suit specific needs, for example in field research. Advice on use of the checklist is available on the Norecopa website, with links to guidelines for animal research and testing, at https://norecopa.no/PREPARE.

Keywords

guidelines, planning, design, animal experiments, animal research

Date received: 5 April 2017; accepted: 27 June 2017

Introduction

The quality of animal-based studies is under increasing scrutiny, for good scientific and ethical reasons. Studies of papers reporting animal experiments have revealed alarming deficiencies in the information provided,[1,2] even after the production and journal endorsement of reporting guidelines.[3] There is also widespread concern about the lack of reproducibility and translatability of laboratory animal research.[4–7] This can, for example, contribute towards the failure of drugs when they enter human trials.[8] These issues come in addition to other concerns, not unique to animal research, about publication bias, which tends to favour the reporting of positive results and can lead to the acceptance of claims as fact.[9] This has understandably sparked a demand for reduced waste when planning experiments involving animals.[10–12] Reporting guidelines alone cannot solve the problem of wasteful experimentation, but thorough planning will increase the likelihood of success and is an important step in the implementation of the 3Rs of Russell & Burch (replacement, reduction, refinement).[13] The importance of attention to detail at all stages is, in our experience, often underestimated by scientists. Even small practical details can cause omissions or artefacts that can ruin experiments which in all other respects have been well-designed, and generate health risks for all involved. There is therefore, in our opinion, an urgent need for detailed but overarching guidelines for researchers on how to plan animal experiments which are safe and scientifically sound, address animal

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[4]Section of Experimental Biomedicine, Department of Production Animal Clinical Sciences, Faculty of Veterinary Medicine, Norwegian University of Life Sciences, Oslo, Norway
[5]Division for Research Management and External Funding, Western Norway University of Applied Sciences, Bergen, Norway

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Over 22,000 downloads from the journal website so far

PREPARE:

Planning **R**esearch and **E**xperimental **P**rocedures on **A**nimals: **R**ecommendations for **E**xcellence

PREPARE covers 15 topics:

Formulation of the study

1. Literature searches
2. Legal issues
3. Ethical issues, harm-benefit assessment and humane endpoints
4. Experimental design and statistical analysis

Dialogue between scientists and the animal facility

5. Objectives and timescale, funding and division of labour
6. Facility evaluation
7. Education and training
8. Health risks, waste disposal and decontamination

Methods

9. Test substances and procedures
10. Experimental animals
11. Quarantine and health monitoring
12. Housing and husbandry
13. Experimental procedures
14. Humane killing, release, reuse or rehoming
15. Necropsy

Items in pink are not typically highlighted in reporting guidelines

norecopa.no/PREPARE/prepare-checklist

PREPARE

The PREPARE Guidelines Checklist

Planning Research and Experimental Procedures on Animals: Recommendations for Excellence

Adrian J. Smith[a], R. Eddie Clutton[b], Elliot Lilley[c], Kristine E. Aa. Hansen[d] & Trond Bratteli[e]

[a]Norecopa, c/o Norwegian Veterinary Institute, P.O. Box 750 Sentrum, 0106 Oslo, Norway; [b]Royal (Dick) School of Veterinary Studies, Easter Bush, Midlothian, EH25 9RG, U.K.; [c]Research Animals Department, Science Group, RSPCA, Wilberforce Way, Southwater, Horsham, West Sussex, RH13 9RS, U.K.; [d]Section of Experimental Biomedicine, Department of Production Animal Clinical Sciences, Faculty of Veterinary Medicine, Norwegian University of Life Sciences, P.O. Box 8146 Dep., 0033 Oslo, Norway; [e]Division for Research Management and External Funding, Western Norway University of Applied Sciences, 5020 Bergen, Norway.

PREPARE[1] consists of planning guidelines which are complementary to reporting guidelines such as ARRIVE[2].
PREPARE covers the three broad areas which determine the quality of the preparation for animal studies:

1. **Formulation of the study**
2. **Dialogue between scientists and the animal facility**
3. **Quality control of the components in the study**

The topics will not always be addressed in the order in which they are presented here, and the checklist can be adapted to meet special needs, such as field studies. PREPARE includes guidance for facilities, since in-house experiments are dependent upon their quality. The full version of this checklist is available on the website, with links to global resources, at **https://norecopa.no/PREPARE**.
The PREPARE guidelines are a dynamic set which will evolve as more species- and situation-specific guidelines are produced, and as best practice within Laboratory Animal Science progresses.

Topic	Recommendation
(A) Formulation of the study	
1. Literature searches	☐ Form a clear hypothesis, with primary and secondary outcomes. ☐ Consider the use of systematic reviews. ☐ Decide upon databases and information specialists to be consulted, and construct search terms. ☐ Assess the relevance of the species to be used, its biology and suitability to answer the experimental questions with the least suffering, and its welfare needs. ☐ Assess the reproducibility and translatability of the project.
2. Legal issues	☐ Consider how the research is affected by relevant legislation for animal research and other areas, e.g. animal transport, occupational health and safety. ☐ Locate relevant guidance documents (e.g. EU guidance on project evaluation).
3. Ethical issues, harm-benefit assessment and humane endpoints	☐ Construct a lay summary. ☐ In dialogue with ethics committees, consider whether statements about this type of research have already been produced. ☐ Address the 3Rs (replacement, reduction, refinement) and the 3Ss (good science, good sense, good sensibilities). ☐ Consider pre-registration and the publication of negative results. ☐ Perform a harm-benefit assessment and justify any likely animal harm. ☐ Discuss the learning objectives, if the animal use is for educational or training purposes. ☐ Allocate a severity classification to the project. ☐ Define objective, easily measurable and unequivocal humane endpoints. ☐ Discuss the justification, if any, for death as an end-point.
4. Experimental design and statistical analysis	☐ Consider pilot studies, statistical power and significance levels. ☐ Define the experimental unit and decide upon animal numbers. ☐ Choose methods of randomisation, prevent observer bias, and decide upon inclusion and exclusion criteria.

Three Rs!

Topic	Recommendation
(B) Dialogue between scientists and the animal facility	
5. Objectives and timescale, funding and division of labour	☐ Arrange meetings with all relevant staff when early plans for the project exist. ☐ Construct an approximate timescale for the project, indicating the need for assistance with preparation, animal care, procedures and waste disposal/decontamination. ☐ Discuss and disclose all expected and potential costs. ☐ Construct a detailed plan for division of labour and expenses at all stages of the study.
6. Facility evaluation	☐ Conduct a physical inspection of the facilities, to evaluate building and equipment standards and needs. ☐ Discuss staffing levels at times of extra risk.
7. Education and training	☐ Assess the current competence of staff members and the need for further education or training prior to the study.
8. Health risks, waste disposal and decontamination	☐ Perform a risk assessment, in collaboration with the animal facility, for all persons and animals affected directly or indirectly by the study. ☐ Assess, and if necessary produce, specific guidance for all stages of the project. ☐ Discuss means for containment, decontamination, and disposal of all items in the study.
(C) Quality control of the components in the study	
9. Test substances and procedures	☐ Provide as much information as possible about test substances. ☐ Consider the feasibility and validity of test procedures and the skills needed to perform them.
10. Experimental animals	☐ Decide upon the characteristics of the animals that are essential for the study and for reporting. ☐ Avoid generation of surplus animals.
11. Quarantine and health monitoring	☐ Discuss the animals' likely health status, any needs for transport, quarantine and isolation, health monitoring and consequences for the personnel.
12. Housing and husbandry	☐ Attend to the animals' specific instincts and needs, in collaboration with expert staff. ☐ Discuss acclimatization, optimal housing conditions and procedures, environmental factors and any experimental limitations on these (e.g. food deprivation, solitary housing).
13. Experimental procedures	☐ Develop refined procedures for capture, immobilisation, marking, and release or rehoming. ☐ Develop refined procedures for substance administration, sampling, sedation and anaesthesia, surgery and other techniques.
14. Humane killing, release, reuse or rehoming	☐ Consult relevant legislation and guidelines well in advance of the study. ☐ Define primary and emergency methods for humane killing. ☐ Assess the competence of those who may have to perform these tasks.
15. Necropsy	☐ Construct a systematic plan for all stages of necropsy, including location, and identification of all animals and samples.

References

1. Smith AJ, Clutton RE, Lilley E, Hansen KEA & Brattelid T. PREPARE: Guidelines for Planning Animal Research and Testing. *Laboratory Animals*, 2017, DOI: 10.1177/0023677217724823.
2. Kilkenny C, Browne WJ, Cuthill IC *et al.* Improving Bioscience Research Reporting: The ARRIVE Guidelines for Reporting Animal Research. *PloS Biology*, 2010; DOI: 10.1371/journal.pbio.1000412.

Further information
https://norecopa.no/PREPARE / *post@norecopa.no* / @norecopa

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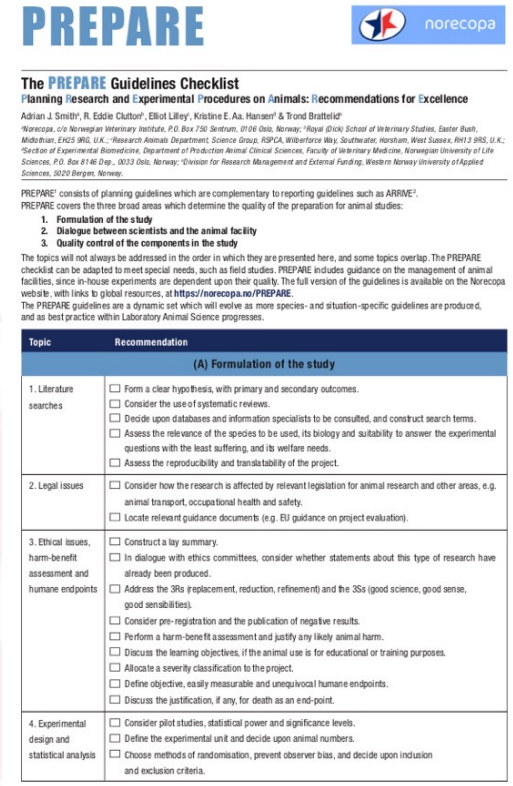
norecopa.no/PREPARE/prepare-checklist

Three versions of the checklist:

1. plain pdf file



PREPARE

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Planning Research and Experimental Procedures on Animals: Recommendations for Excellence

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Further information
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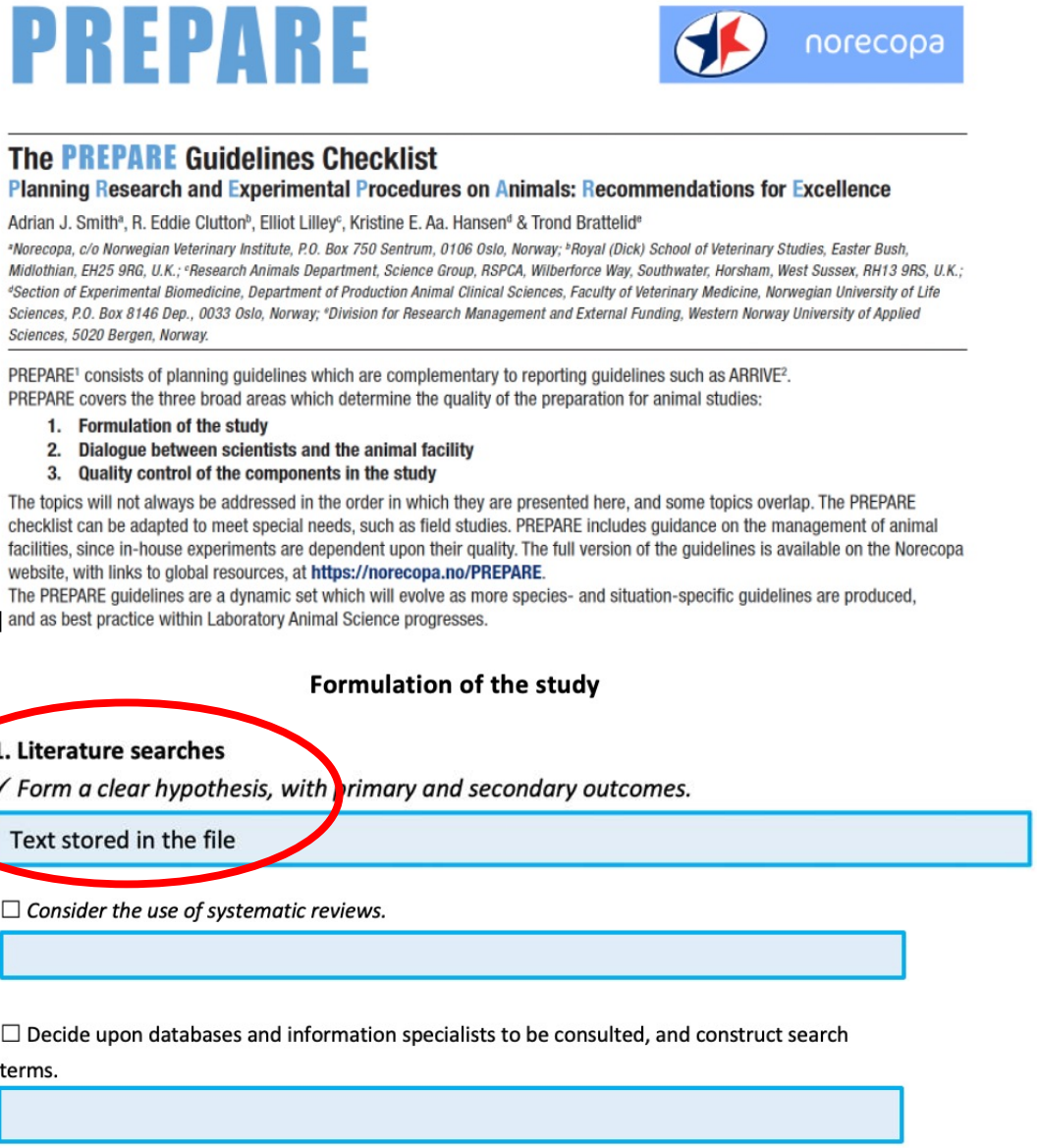

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Formulation of the study

1. Literature searches

✓ *Form a clear hypothesis, with primary and secondary outcomes.*

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☐ *Consider the use of systematic reviews.*

☐ Decide upon databases and information specialists to be consulted, and construct search terms.

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Three versions of the checklist:

3. online version

norecopa.no/PREPARE/Mychecklist

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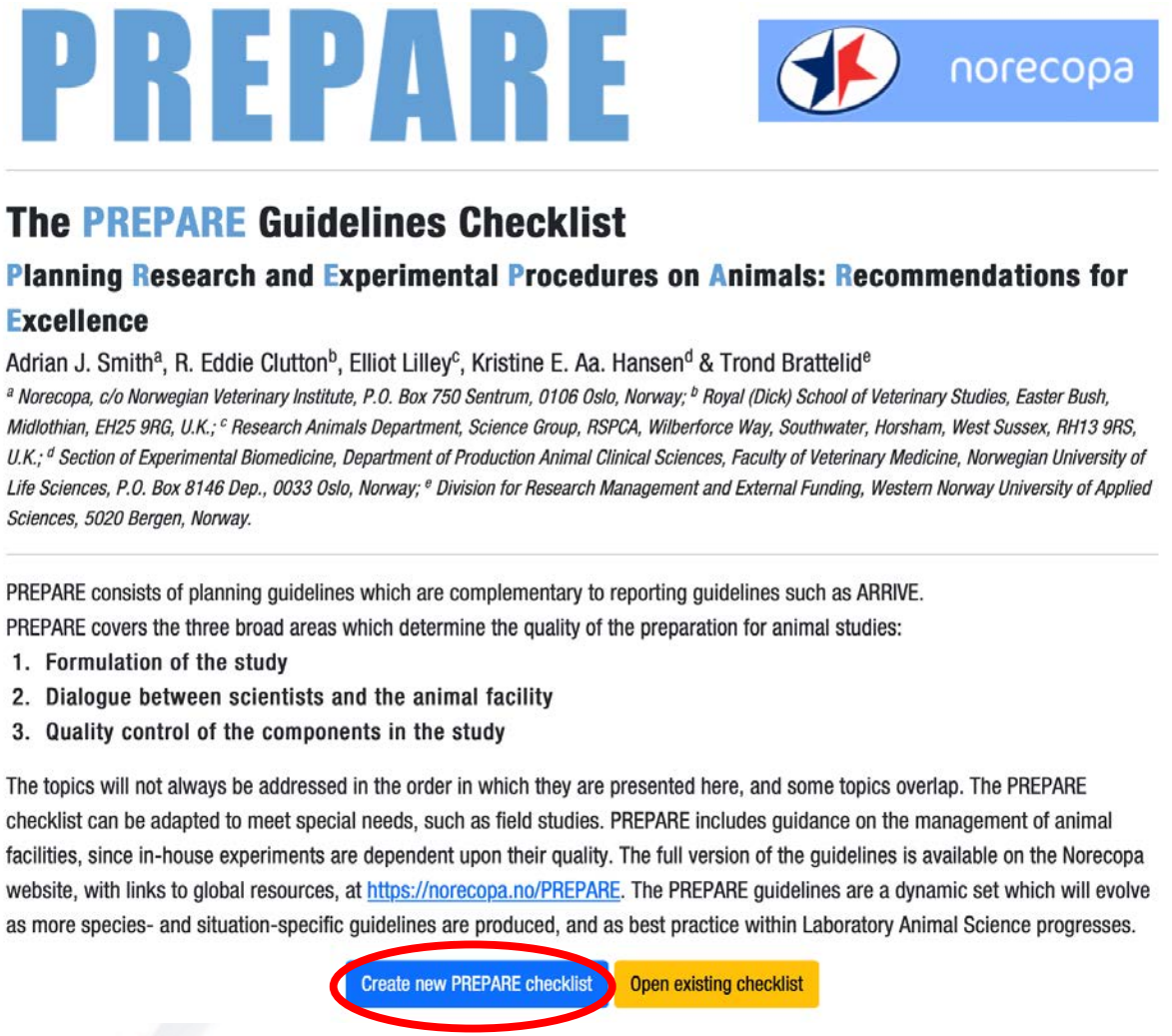

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Create new PREPARE checklist Open existing checklist

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Topic	Recommendation
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2. Legal issues	Consider how the research is affected by relevant legislation for animal research and other areas, e.g. animal transport, occupational health and safety.

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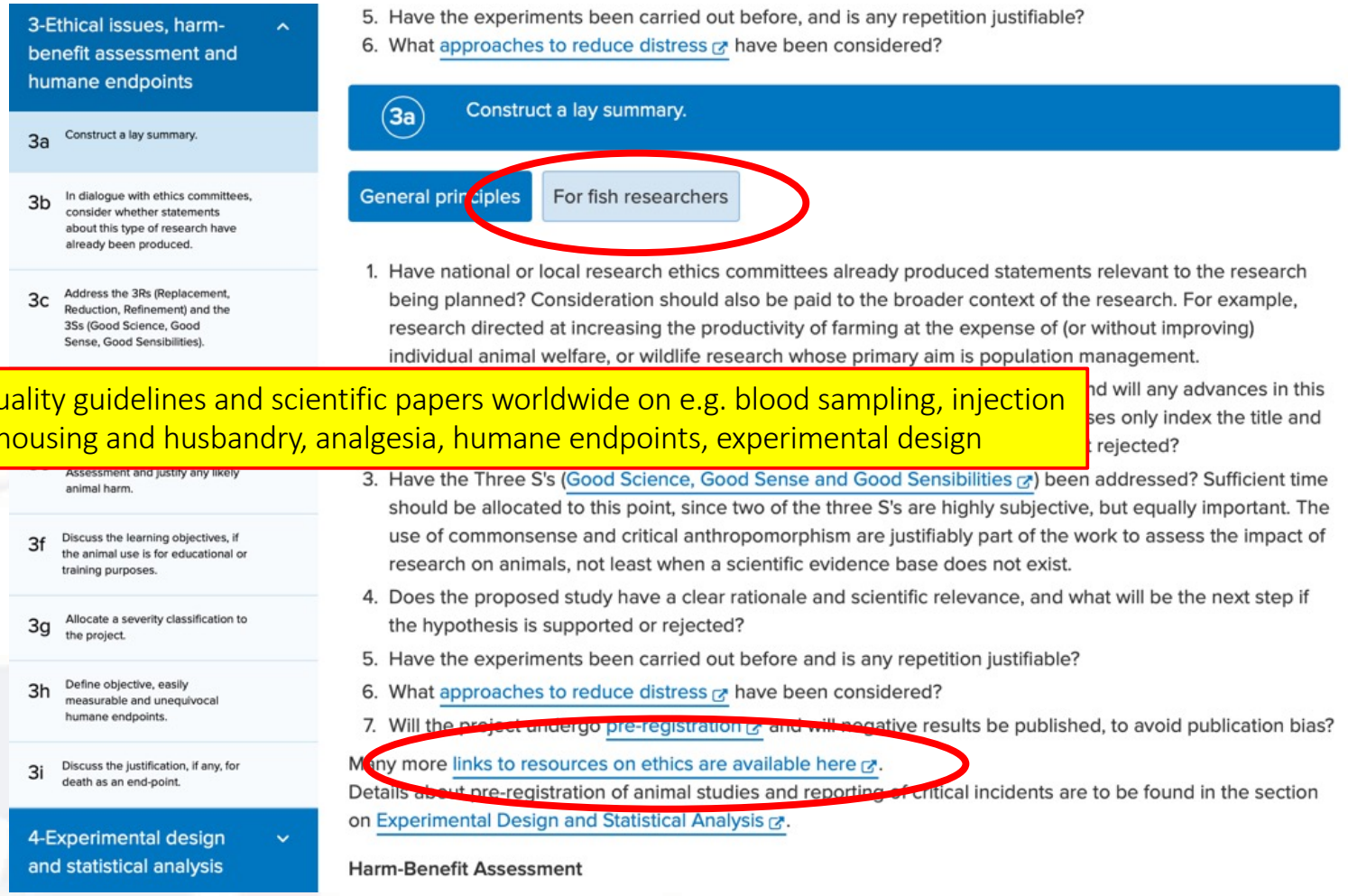

Links to quality guidelines and scientific papers worldwide on e.g. blood sampling, injection volumes, housing and husbandry, analgesia, humane endpoints, experimental design

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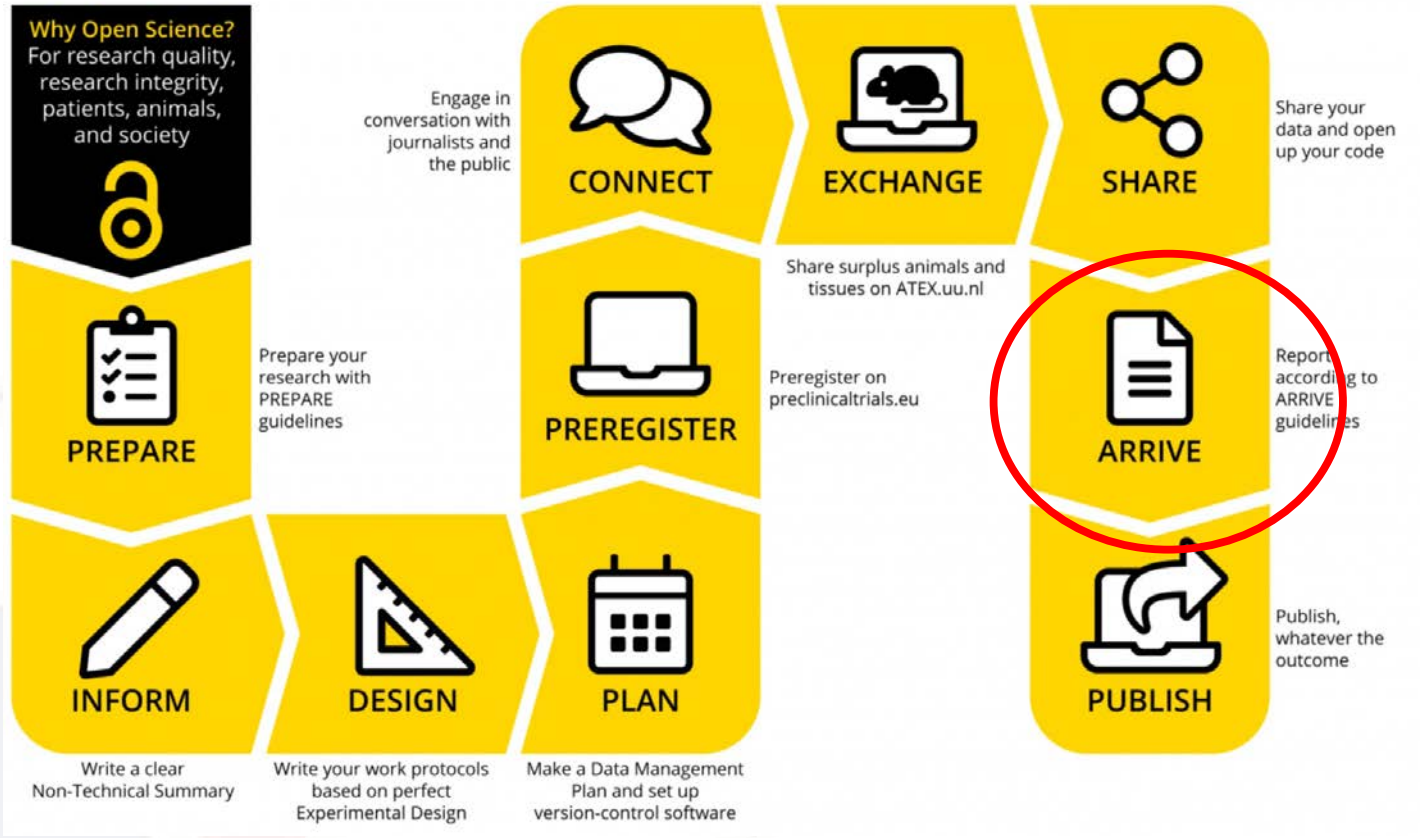
The path to better research



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norecopa.no/PREPARE *and*
ivd-utrecht.nl/en/news/better-animal-research-through-open-science-1

The ARRIVE guidelines 2019: updated guidelines for reporting animal research

Nathalie Percie du Sert[1], Viki Hurst[1], Amrita Ahluwalia[2], Sabina Alam[3], Marc T. Avey[4], Monya Baker[5], William J. Browne[6], Alejandra Clark[7], Innes C. Cuthill[6], Ulrich Dirnagl[8], Michael Emerson[9], Paul Garner[10], Stephen T. Holgate[11], David W. Howells[12], Natasha A. Karp[13], Katie Lidster[1], Catriona J. MacCallum[14], Malcolm Macleod[15], Ole Petersen[16], Frances Rawle[17], Penny Reynolds[18], Kieron Rooney[19], Emily S. Sena[15], Shai D. Silberberg[20], Thomas Steckler[21], Hanno Würbel[22]

biorxiv.org/content/10.1101/703181v1

Version 1 of ARRIVE (2010) 'endorsed by more than a thousand journals'
but
'only a small number of journals actively enforce compliance'

(Swiss study in 2016: 51% of researchers publishing in journals that had endorsed ARRIVE had never heard of them)

'Important information as set out in the ARRIVE guidelines is still missing from most publications sampled:
randomisation 30-30%
blinding 20%
sample size justification <10%
all basic animal characteristics <10%'

'Providing the level of journal or editorial input to ensure compliance with all the items of the ARRIVE guidelines is unlikely to be sustainable for most journals because of the resources needed'

arriveguidelines.org

The ARRIVE guidelines 2.0

This section of the website provides detailed explanations about each item of the guidelines. Use the left-hand side menu to navigate to each item.

To facilitate a step-wise approach to improving reporting, the guidelines are organised into two prioritised sets:

ARRIVE Essential 10

These ten items are the basic minimum that must be included in any manuscript describing animal research. Without this information readers and reviewers cannot assess the reliability of the findings.

Recommended Set

These items complement the Essential 10 set and add important context to the study described. Reporting the items in both sets represents best practice.

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ARRIVE 2.0

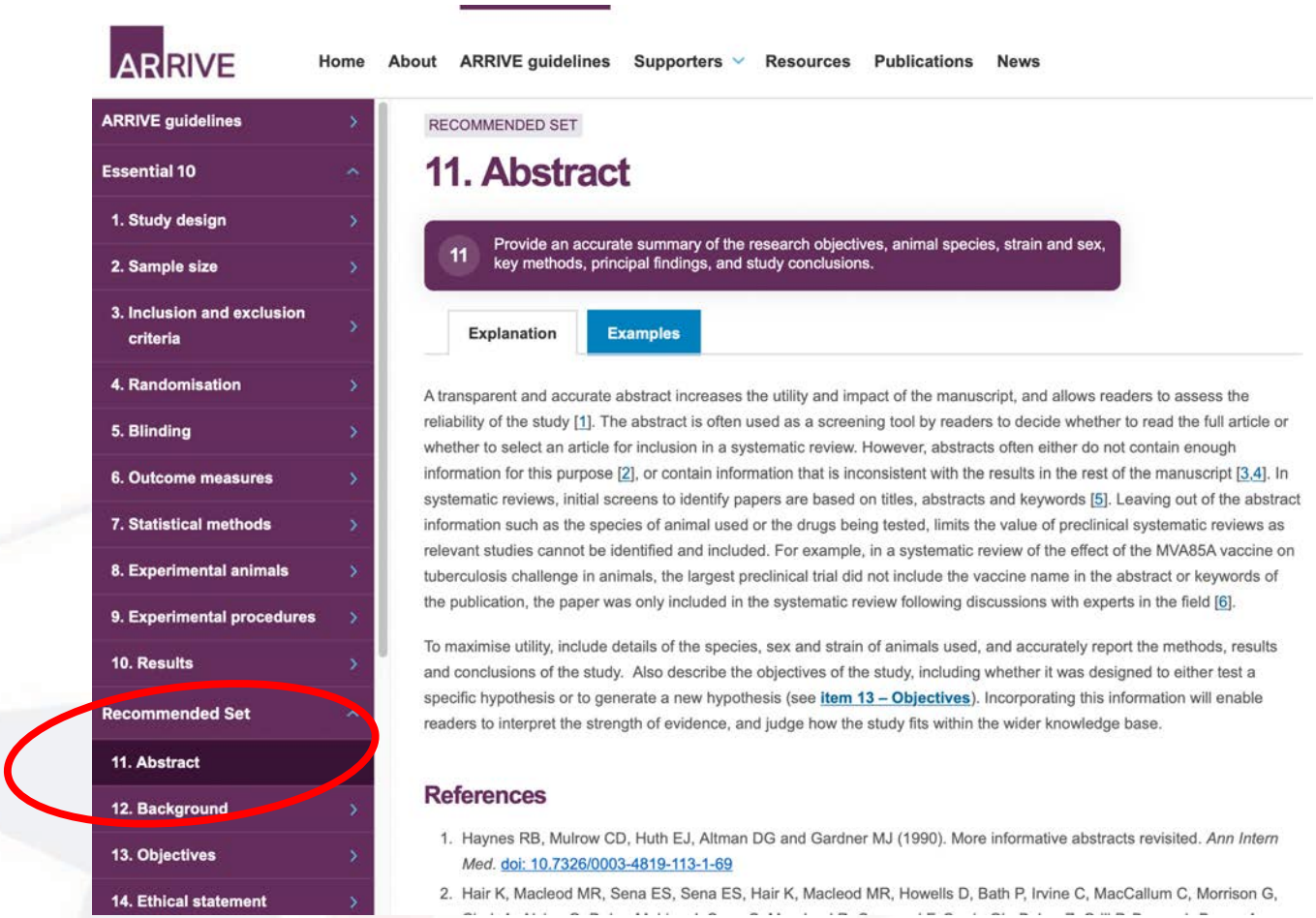
ARRIVE Essential 10		
Study design	1	For each experiment, provide brief details of study design including: a. The groups being compared, including control groups. If no control group has been used, the rationale should be stated. b. The experimental unit (e.g. a single animal, litter, or cage of animals).
Sample size	2	a. Specify the exact number of experimental units allocated to each group, and the total number in each experiment. Also indicate the total number of animals used. b. Explain how the sample size was decided. Provide details of any *a priori* sample size calculation, if done.
Inclusion and exclusion criteria	3	a. Describe any criteria established *a priori* for including and excluding animals (or experimental units) during the experiment, and data points during the analysis. b. For each experimental group, report any animals, experimental units or data points not included in the analysis and explain why. c. For each analysis, report the exact value of N in each experimental group.
Randomisation	4	Describe the methods used: a. To allocate experimental units to control and treatment groups. If randomisation was used, provide the method of randomisation. b. To minimise potential confounding factors such as the order of treatments and measurements, or animal/cage location.
Blinding	5	Describe who was aware of the group allocation at the different stages of the experiment (during the allocation, the conduct of the experiment, the outcome assessment, and the data analysis).
Outcome measures	6	a. Clearly define all outcome measures assessed (e.g. cell death, molecular markers, or behavioural changes). b. For hypothesis-testing studies, specify the primary outcome measure, i.e. the outcome measure that was used to determine the sample size.
Statistical methods	7	a. Provide details of the statistical methods used for each analysis. b. Specify the experimental unit that was used for each statistical test. c. Describe any methods used to assess whether the data met the assumptions of the statistical approach.
Experimental animals	8	a. Provide details of the animals used, including species, strain and substrain, sex, age or developmental stage, and weight. b. Provide further relevant information on the provenance of animals, health/immune status, genetic modification status, genotype, and any previous procedures.
Experimental procedures	9	For each experimental group, including controls, describe the procedures in enough detail to allow others to replicate them, including: a. What was done, how it was done and what was used. b. When and how often. c. Where (including detail of any acclimation periods). d. Why (provide rationale for procedures).
Results	10	For each experiment conducted, including independent replications, report: a. Summary/descriptive statistics for each experimental group, with a measure of variability where applicable. b. If applicable, the effect size with a confidence interval.

Norecopa: PREPARE for better Science

ARRIVE 2.0

Recommended Set		
Abstract	11	Provide an accurate summary of the research objectives, animal species, strain and sex, key methods, principal findings, and study conclusions.
Background	12	a. Include sufficient scientific background to understand the rationale and context for the study, and explain the experimental approach. b. Explain how the animal species and model used address the scientific objectives and, where appropriate, the relevance to human biology.
Objectives	13	Clearly describe the research question, research objectives and, where appropriate, specific hypotheses being tested.
Ethical statement	14	Provide the name of the ethical review committee or equivalent that has approved the use of animals in this study and any relevant licence or protocol numbers (if applicable). If ethical approval was not sought or granted, provide a justification.
Housing and husbandry	15	Provide details of housing and husbandry conditions, including any environmental enrichment.
Animal care and monitoring	16	a. Describe any interventions or steps taken in the experimental protocols to reduce pain, suffering and distress. b. Report any expected or unexpected adverse events. c. Describe the humane endpoints established for the study and the frequency of monitoring.
Interpretation /scientific implications	17	a. Interpret the results, taking into account the study objectives and hypotheses, current theory and other relevant studies in the literature. b. Comment on the study limitations including potential sources of bias, limitations of the animal model, and imprecision associated with the results.
Generalisability /translation	18	Comment on whether, and how, the findings of this study are likely to generalise to other species or experimental conditions, including any relevance to human biology (where appropriate).
Protocol registration	19	Provide a statement indicating whether a protocol (including the research question, key design features, and analysis plan) was prepared before the study, and if and where this protocol was registered.
Data access	20	Provide a statement describing if and where study data are available.
Declaration of interests	21	a. Declare any potential conflicts of interest, including financial and non-financial. If none exist, this should be stated. b. List all funding sources (including grant identifier) and the role of the funder(s) in the design, analysis and reporting of the study.

arriveguidelines.org



There are three broad areas which need to be considered when planning animal studies:

1. The suitability of the species or strain as a model of the target organism
2. The ethical issues surrounding their use: 'choosing the right animal for the right reason'. The large increase in use of genetically altered lines has created increasing concern about the suitability of these animals as models of human conditions.
3. Characterisation of the animals. Items to be considered, in collaboration with the supplier, include:
   - Species, strain, line and phenotype (with an explanation of any genetic modifications)
   - Age, developmental stage, sex and weight
   - Stage of oestrous cycle and any previous breeding history
   - Any necessary pre-treatment (e.g. castration for this
   - Name and address of the supplier/breeder, method of capture and transport
   - Health status (e.g. germ-free, gnotobiotic, SP
   - Re-use of animals, which should be justified legislation
   - Any plans for release or re-homing, which m

More resources

- Examples and references from the NC3Rs
- Information on inbred strains of mice and rats
- Strategies to minimise genetic drift and maximise experimental reproducibility in mouse research
- Mouse Locator, UK
- The Collaborative Cross panel of inbred mouse strains
- Nude mice - more than what meets the eye
- The Rat Guide
- Rat Behavior and Biology

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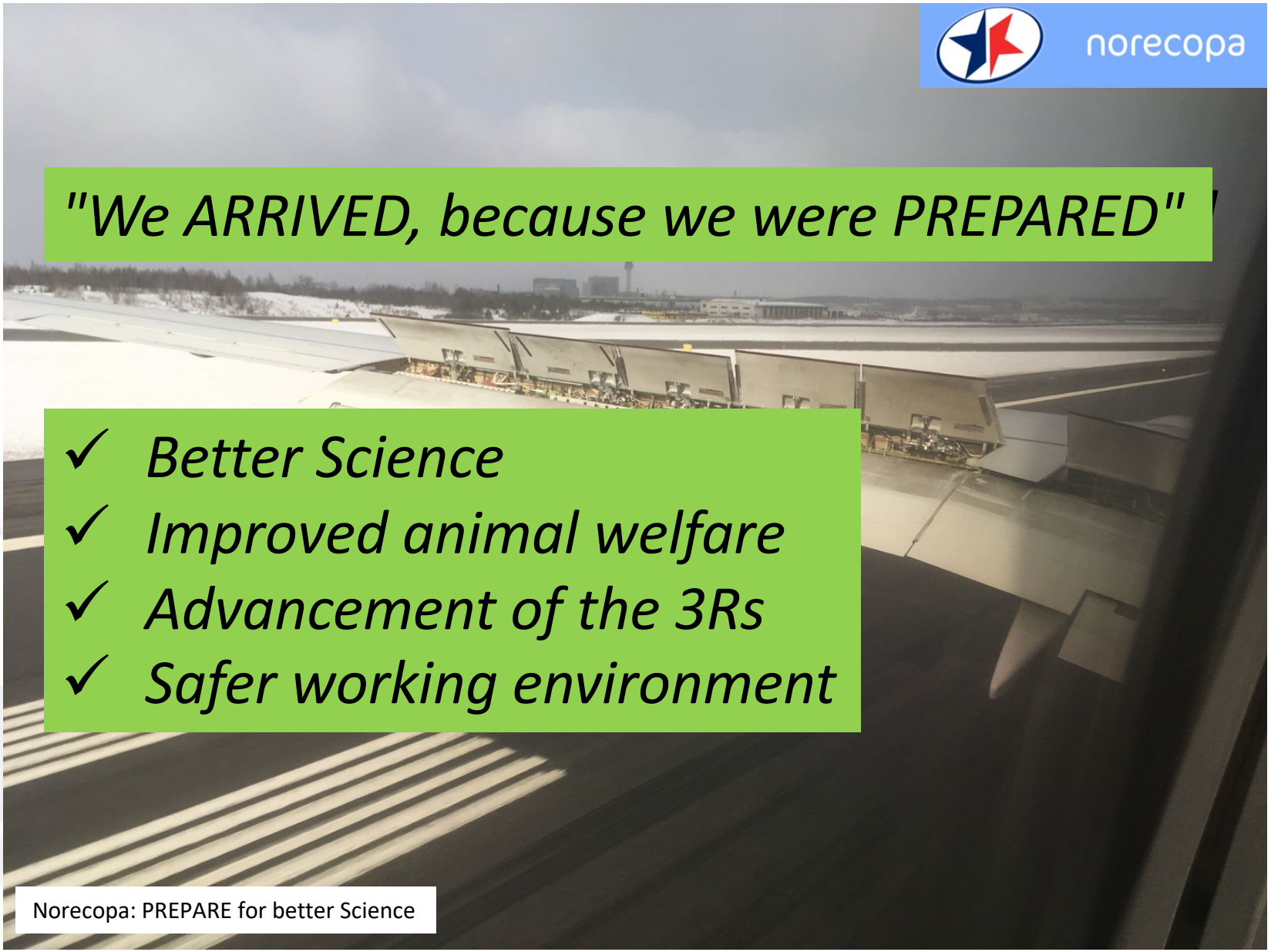
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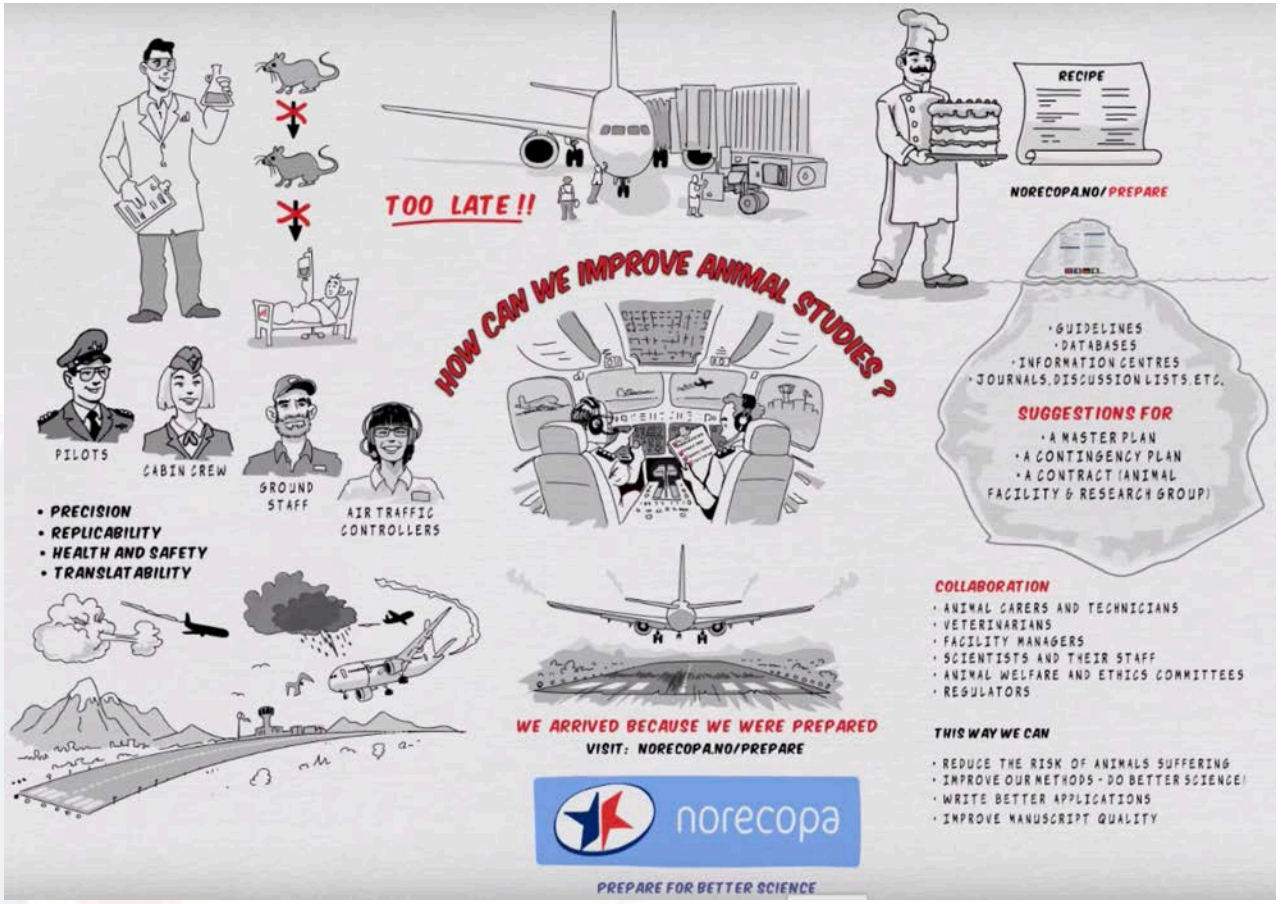
3-minute cartoon film



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3R improvements are often not highlighted in the scientific literature

http://www.theodora.com/rodent_laboratory/blood_collection.html

photo:NMBU

SCID-Hu mice immunized with a pneumococcal vaccine produce specific human antibodies and show increased resistance to infection.

Saphenous vein puncture for blood sampling of the mouse, rat, hamster, gerbil, guineapig, ferret and mink

Annelise Hem[1], Adrian J. Smith[2] & Per Solberg[1]

[1]Laboratory Animal Unit, National Institute of Public Health, PO Box 4404 Torshov, N-0403 Oslo and
[2]Laboratory Animal Unit, Norwegian School of Veterinary Science, PO Box 8146 Dep., N-0033 Oslo,

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Summary

A method is described for blood collection from the lateral saphenous vein. This enables rapid sampling, which if necessary can be repeated from the same site without a need for new puncture wounds. The method is a humane and practical alternative to cardiac and retro-orbital puncture, in species where venepuncture has traditionally been regarded as problematic.

Keywords Saphenous vein; blood sampling; mouse; rat; hamster; gerbil; guineapig; rodent; ferret; mink

The title and summary are critical, because they are often the only parts that are indexed by databases.

Not necessarily a high-impact journal.

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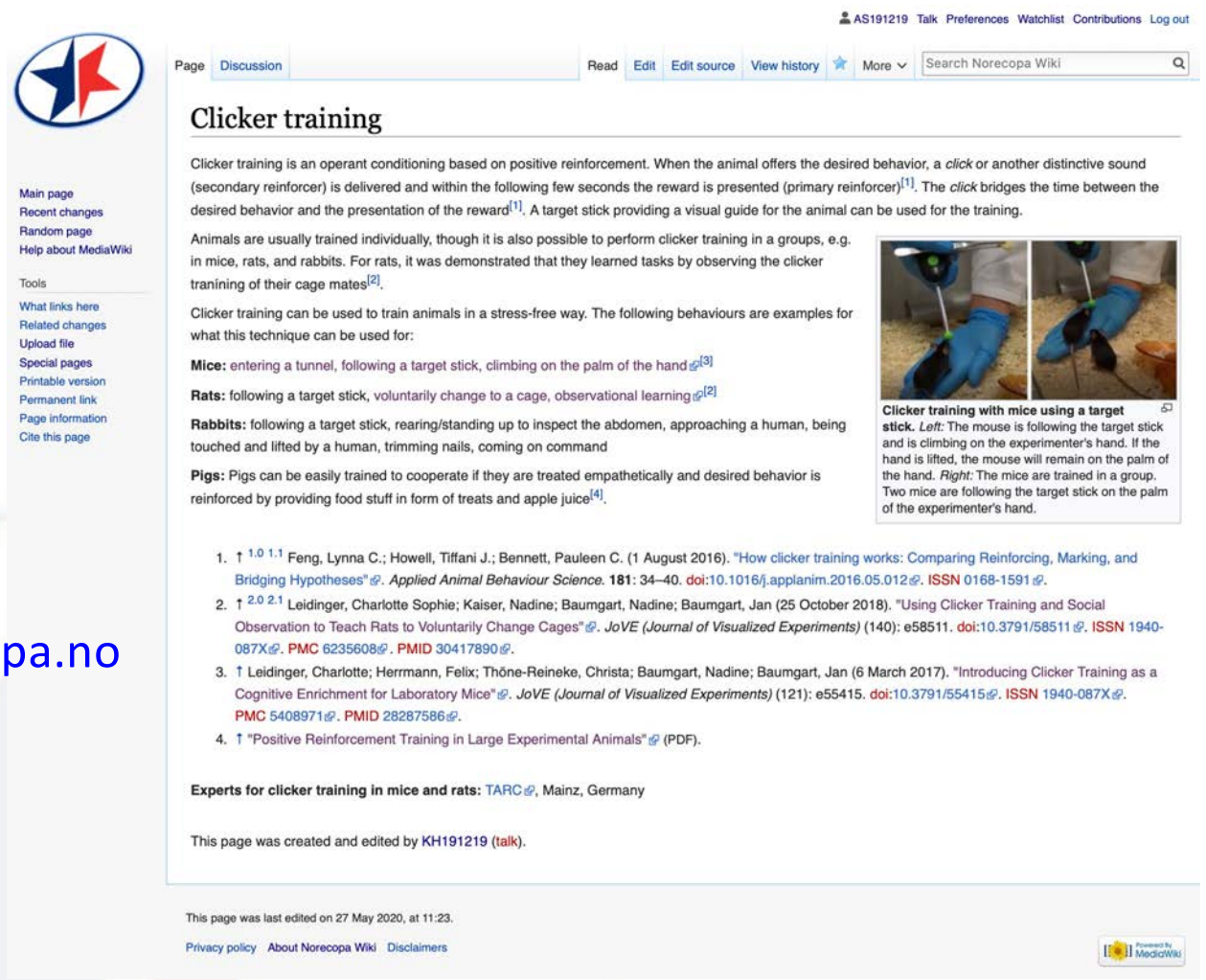

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Clicker training

Clicker training is an operant conditioning based on positive reinforcement. When the animal offers the desired behavior, a *click* or another distinctive sound (secondary reinforcer) is delivered and within the following few seconds the reward is presented (primary reinforcer)[1]. The *click* bridges the time between the desired behavior and the presentation of the reward[1]. A target stick providing a visual guide for the animal can be used for the training.

Animals are usually trained individually, though it is also possible to perform clicker training in a groups, e.g. in mice, rats, and rabbits. For rats, it was demonstrated that they learned tasks by observing the clicker tranining of their cage mates[2].

Clicker training can be used to train animals in a stress-free way. The following behaviours are examples for what this technique can be used for:

Mice: entering a tunnel, following a target stick, climbing on the palm of the hand[3]

Rats: following a target stick, voluntarily change to a cage, observational learning[2]

Rabbits: following a target stick, rearing/standing up to inspect the abdomen, approaching a human, being touched and lifted by a human, trimming nails, coming on command

Pigs: Pigs can be easily trained to cooperate if they are treated empathetically and desired behavior is reinforced by providing food stuff in form of treats and apple juice[4].

Clicker training with mice using a target stick. *Left:* The mouse is following the target stick and is climbing on the experimenter's hand. If the hand is lifted, the mouse will remain on the palm of the hand. *Right:* The mice are trained in a group. Two mice are following the target stick on the palm of the experimenter's hand.

1. ↑ [1.0] [1.1] Feng, Lynna C.; Howell, Tiffani J.; Bennett, Pauleen C. (1 August 2016). "How clicker training works: Comparing Reinforcing, Marking, and Bridging Hypotheses". *Applied Animal Behaviour Science*. **181**: 34–40. doi:10.1016/j.applanim.2016.05.012. ISSN 0168-1591.
2. ↑ [2.0] [2.1] Leidinger, Charlotte Sophie; Kaiser, Nadine; Baumgart, Nadine; Baumgart, Jan (25 October 2018). "Using Clicker Training and Social Observation to Teach Rats to Voluntarily Change Cages". *JoVE (Journal of Visualized Experiments)* (140): e58511. doi:10.3791/58511. ISSN 1940-087X. PMC 6235608. PMID 30417890.
3. ↑ Leidinger, Charlotte; Herrmann, Felix; Thöne-Reineke, Christa; Baumgart, Nadine; Baumgart, Jan (6 March 2017). "Introducing Clicker Training as a Cognitive Enrichment for Laboratory Mice". *JoVE (Journal of Visualized Experiments)* (121): e55415. doi:10.3791/55415. ISSN 1940-087X. PMC 5408971. PMID 28287586.
4. ↑ "Positive Reinforcement Training in Large Experimental Animals" (PDF).

Experts for clicker training in mice and rats: TARC, Mainz, Germany

This page was created and edited by KH191219 (talk).

This page was last edited on 27 May 2020, at 11:23.

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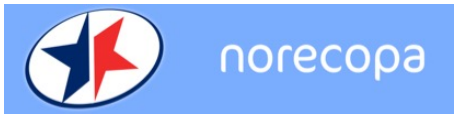

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In addition, the Wiki has its own internal search engine

A simple instruction manual to keep the threshold for adding new content as low as possible

Acknowledgements

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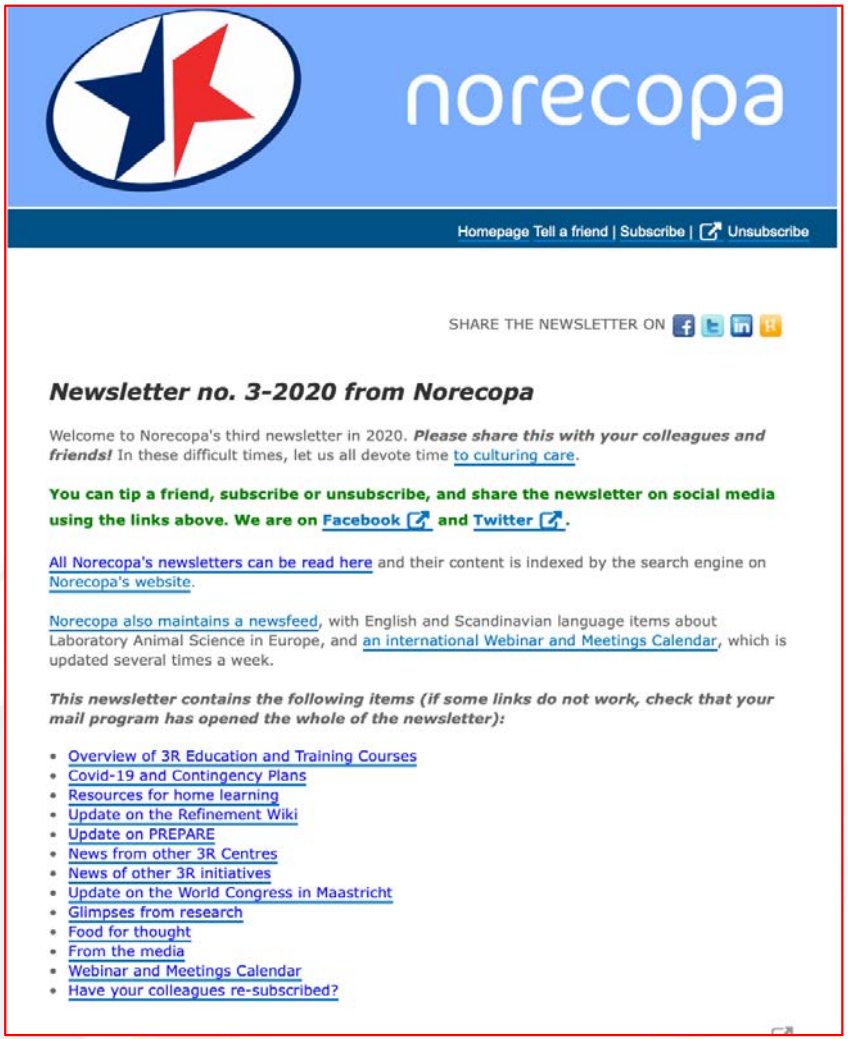
ec.europa.eu/animals-in-science



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