



**Norecopa: Working for global communication between all stakeholders**

***[norecopa.no/FELASA/global](https://norecopa.no/FELASA/global)***

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**Map** | **Satellite**

## ***Disclosures***

- *Webmaster of [norecopa.no](http://norecopa.no) and the Refinement Wiki*
- *Lead author of the PREPARE guidelines for planning animal research*

North Pacific Ocean

Ocean

Southern Ocean

Google

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*The background for the foundation of Norecopa*



[peta.org](http://peta.org)



[fbresearch.org](http://fbresearch.org)

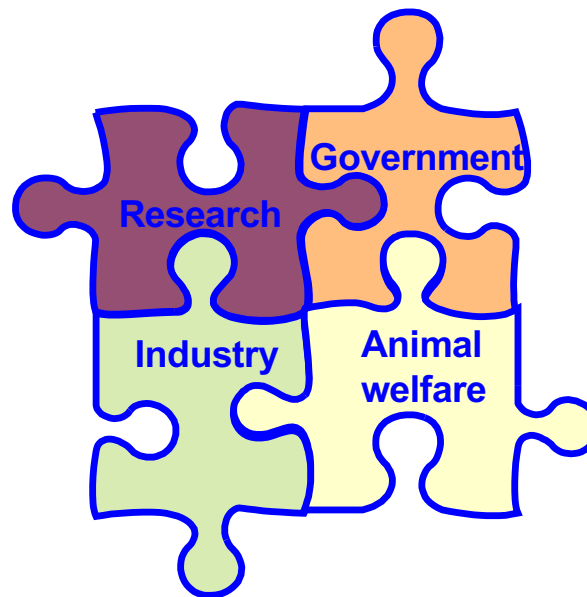
Norecopa: PREPARE for better Science

European Consensus-Platform for Alternatives

[ecopa.eu](http://ecopa.eu)



- Established in 2000
- Recognises **National Consensus Platforms** (NCPs) with **4 stakeholders** equally represented:



*Norecopa was established in 2007*

<https://norecopa.no/meetings>

## **International consensus meetings**

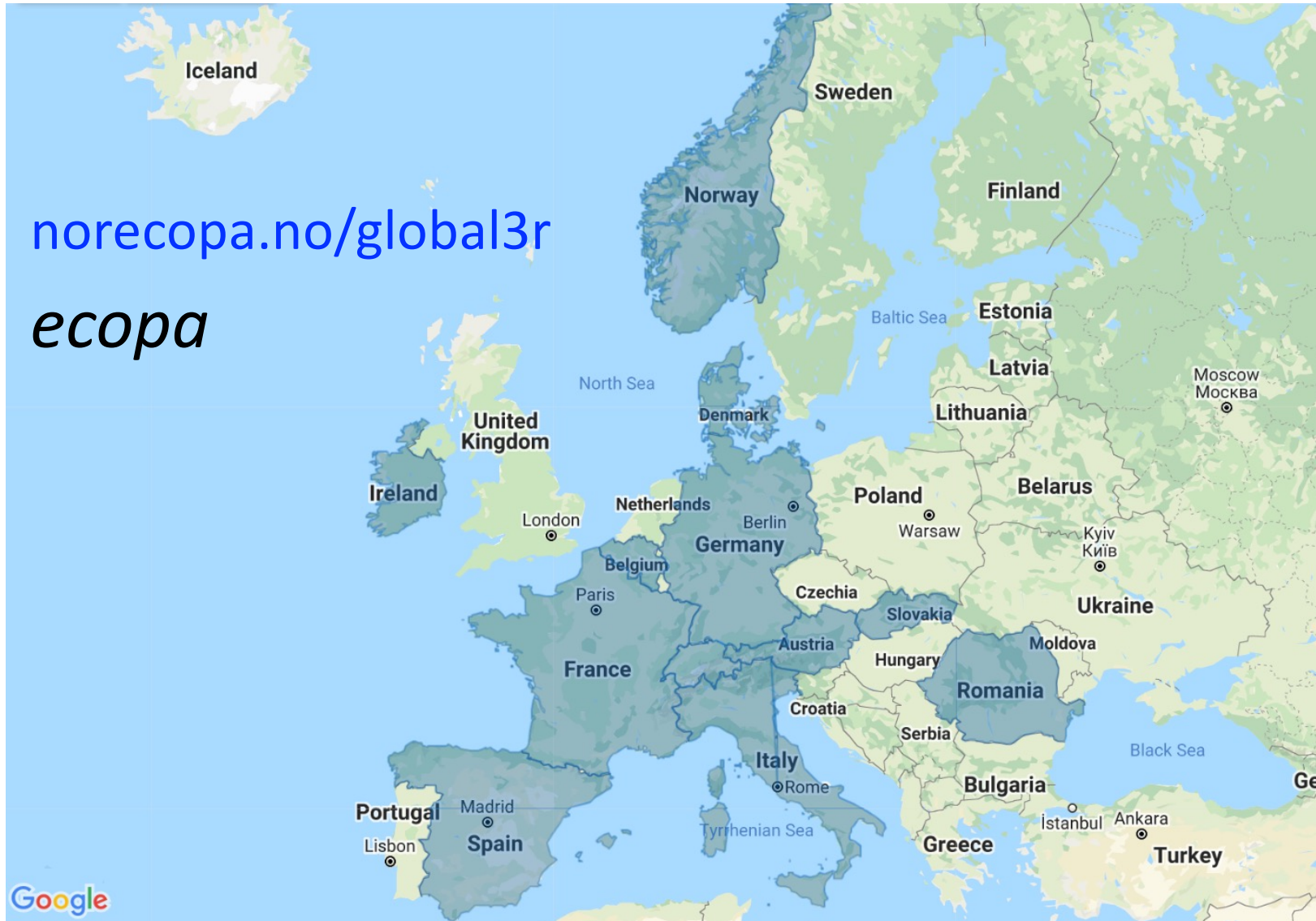
*Harmonisation of the Care and Use of:*

- *Fish (2005)*
- *Wildlife (2008)*
- *Fish (2009)*
- *Agricultural animals (2012)*
- *Wildlife (2017)*

**All the presentations and consensus statements  
on the web: a lasting resource**



[norecopa.no/global3r](http://norecopa.no/global3r)  
*ecopa*



[norecopa.no/global3r](http://norecopa.no/global3r)

*EU3Rnet*

Latest news: COST Action funded



50th Anniversary Article

## The Rise of Three Rs Centres and Platforms in Europe\*

Alternatives to Laboratory Animals  
2022, Vol. 50(2) 90-120  
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SAGE

Winfried Neuhaus<sup>1</sup>, Birgit Reininger-Gutmann<sup>2</sup>, Beate Rinner<sup>2</sup>, Roberto Plasenzotti<sup>3</sup>, Doris Wilflingseder<sup>4</sup>, Joery De Kock<sup>5</sup>, Tamara Vanhaecke<sup>5</sup>, Vera Rogiers<sup>5</sup>, Dagmar Jirová<sup>6</sup>, Kristina Kejlová<sup>6</sup>, Lisbeth E. Knudsen<sup>7</sup>, Rasmus Normann Nielsen<sup>7</sup>, Burkhard Kleuser<sup>8</sup>, Vivian Kral<sup>8</sup>, Christa Thöne-Reineke<sup>9</sup>, Thomas Hartung<sup>10</sup>, Giorgia Pallocca<sup>10</sup>, Marcel Leist<sup>10</sup>, Stefan Hippenstiel<sup>11</sup>, Annemarie Lang<sup>11</sup>, Ida Retter<sup>11</sup>, Stephanie Krämer<sup>12</sup>, Peter Jedlicka<sup>12</sup>, Katharina Ameli<sup>12</sup>, Ellen Fritsche<sup>13,14</sup>, Julia Tigges<sup>13</sup>, Manuela Buettner<sup>15</sup>, Andre Bleich<sup>15</sup>, Nadine Baumgart<sup>16</sup>, Jan Baumgart<sup>17</sup>, Marcus W. Meinhardt<sup>18</sup>, Rainer Spanagel<sup>18</sup>, Sabine Chourbaji<sup>19</sup>, Bettina Kränzlin<sup>20</sup>, Bettina Seeger<sup>21</sup>, Maren von Köckritz-Blickwede<sup>22</sup>, José M. Sánchez-Morgado<sup>23</sup>, Viola Galligioni<sup>23</sup>, Daniel Ruiz-Pérez<sup>23</sup>, Dania Movia<sup>24</sup>, Adriele Prina-Mello<sup>24</sup>, Arti Ahluwalia<sup>25</sup>, Valeria Chiono<sup>26</sup>, Arno C. Gutleb<sup>27</sup>, Marthe Schmit<sup>28</sup>, Bea van Golen<sup>29</sup>, Leane van Weereld<sup>30</sup>, Anne Kienhuis<sup>31</sup>, Erica van Oort<sup>29</sup>, Jan van der Valk<sup>32</sup>, Adrian Smith<sup>33</sup>, Joanna Roszak<sup>34</sup>, Maciej Stępnik<sup>34,35</sup>, Zuzanna Sobańska<sup>34</sup>, I. Anna S. Olsson<sup>36,37</sup>, Nuno Henrique Franco<sup>36,37</sup>, Bogdan Sevastre<sup>38</sup>, Helena Kandarova<sup>39</sup>, Sara Capdevila<sup>40</sup>, Jessica Johansson<sup>41</sup>, Christopher R. Cederroth<sup>42</sup>, Jenny Sandström<sup>42</sup>, Ian Ragan<sup>43</sup>, Nataliia Bubalo<sup>44</sup> and Horst Spielmann<sup>8</sup>

[journals.sagepub.com/doi/pdf/10.1177/02611929221099165](http://journals.sagepub.com/doi/pdf/10.1177/02611929221099165)



**Centres**

- [Replacement](#) ⓘ
- [Reduction](#) ⓘ
- [Refinement](#) ⓘ
- [ecopa](#) ⓘ

**Associations**

- [AFLAS \(includes South Korea\)](#) ⓘ
- [Culture of Care Network](#) ⓘ
- [EU-NETVAL](#) ⓘ
- [EU3Rnet](#) ⓘ
- [FELASA](#) ⓘ
- [FESSACAL](#) ⓘ
- [Norecopa](#) ⓘ
- [Scand-LAS](#) ⓘ
- [ecopa](#) ⓘ



## norecopa.no : an updated overview of global 3R resources



The screenshot shows the norecopa.no website interface. The top navigation bar includes links for About Norecopa, Alternatives, Databases & Guidelines, Education & training, Legislation, Meetings, More resources, News, and PREPARE. Below the navigation bar, there are several categories of resources listed, such as Anaesthesia and analgesia, Animal facilities, Animal welfare organisations, Blood sampling, Culture, Email discussion lists, Environmental enrichment, Ethics, Experimental design and reporting, Harm, Health and safety, Health monitoring, Humane endpoints, Humane killing, Journals, Literature searches and systematic reviews, and Organisations. A search filter sidebar is visible on the right, showing search filters for Order by (Relevance), Typo tolerance (Default), Database (3R Guide database (403), Classic AVs database (118), European Commission Inventory of 3Rs Education & Training Resources (567), European Commission Inventory of 3Rs Knowledge Sources (807), European Commission Inventory of NAMs for Respiratory tract diseases (280), NAL records (1688), NORINA database (3141), TextBase database (1501), Website (761)), Browse the databases (eBooks (286), Free (199), Held at NMBU Oslo (contact Kristine Hansen, 67 23 21 89) (431), Key products (68), On loan (6), Reviewed (85)), and Search in the databases (All Text, Title, Author, Publisher, Supplier, Record Number).

approx. 8,900 webpages  
350,000 hits annually  
7-8 detailed newsletters per year

# Design and reporting of animal experiments

This page supplements advice given in [Section 4 of the PREPARE guidelines](#). PREPARE covers all aspects of design (including animal and facility related issues).

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+ webpages for past meetings and recorded meetings

[norecopa.no/meetings/meetings-calendar](https://norecopa.no/meetings/meetings-calendar)

## Webinar and Meetings calendar

October 2021

- > [Animals, experiments and designs in pre-clinical science: traps, tips and solutions](#), webinar (Manuel Berdoy), 1 October 2021
- > [34th ECNP Congress](#), hybrid event (Lisbon), 2-5 October 2021
- > [Cell Culture Days](#), Graz, 4-5 October 2021
- > [Recognition, prevention and alleviation of pain and distress in laboratory animals](#), virtual event, 4-15 October 2021
- > [Dutch 3R technological and science meeting](#), virtual event, 5 October 2021
- > [Translational Potential of Rats in Research](#), webinar (Sara Hashway), 6 October 2021
- > [UK EQUATOR Centre Publication School](#), 5-8 October 2021
- > [Guide to the validity of animal behavioural models](#), webinar (Clare Stanford), 6 October 2021
- > [Meeting the Requirements of the US Animal Welfare Act](#), Beltsville, 6-7 October 2021
- > [Social media and animal research: engaging, not just educating](#), webinar (Wendy Jarrett), 7 October 2021
- > [IC-3Rs Symposium: Human-Relevant Models for Drug Research and Development](#), virtual event, 7-8 October 2021
- > [Zebrafish Disease Models Society meeting \(ZDM14\)](#), Durham, 11-14 October 2021
- > [Improving Reproducibility, for advanced life science researchers](#), online course, 11-21 October 2021
- > [IAT/NC3Rs Animal Technicians Symposium](#), virtual event, 11-12 October 2021
- > [What should you know about your rodent facility?](#) Online course, 12-13 October 2021

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## Databases & Guidelines

Published lists of resources are difficult to search and quickly become outdated. Lists on a website are easier to search, but do not enable the use of filters or intelligent search engines.

***Norecopa has therefore constructed four databases, which together with all the text on this website can be searched simultaneously using the search field at the top of every page.***

- > **3R Guide:** a global overview of **databases, guidelines, information centres, journals, email lists, regulations and policies** which may be of use when planning experiments which might include animals. [A quick overview of all the guidelines can be accessed here.](#) Norecopa has written several of these, including [the PREPARE guidelines for planning animal research and testing.](#)
- > **NORINA:** a global overview of audiovisual aids and other items which may be used as **alternatives or supplements to animals in education and training** at all levels from junior school to University, including [dissection alternatives](#) and surgical simulators.
- > **TextBase:** a global overview of **textbooks and other literature within laboratory animal science** and related topics.
- > **Classic AVs:** a subset of NORINA covering **audiovisual aids that are based on older technology.**

These databases are updated regularly. [Please give us feedback](#) if you discover errors or omissions.

The Norecopa website also includes four other collections:

- > **NAL:** a collection of literature references relating to [the 3Rs](#) from the US National Agricultural Library
- > European Commission datasets:
  - ▶ **3Rs Knowledge Sources:** over 800 resources collected by the Commission in 2016
  - ▶ **3Rs Education and Training Resources,** over 560 items collected in 2018
  - ▶ **Non-animal models for respiratory tract diseases,** over 280 models identified in a literature review of over 21,000 publications

Here is [an alphabetical global list of all the databases](#) cited on the Norecopa website.

[norecopa.no/databases-guidelines](https://norecopa.no/databases-guidelines)

links to over 70 other databases

[norecopa.no/NORINA](http://norecopa.no/NORINA)

SimMuscle 2.2.2  
Institute of Physiology  
Philipps University of Marburg

AMPLITUDE 400 (mV)

2 [mV/Div]

50  
100  
200  
500  
1000

norecopa

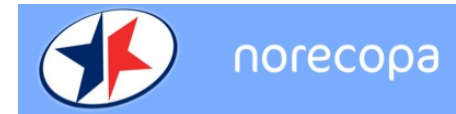
**NEW:**

overview of resources suitable for home learning during the Covid-19 pandemic:

[norecopa.no/norina-database/resources-for-home-learning](http://norecopa.no/norina-database/resources-for-home-learning)

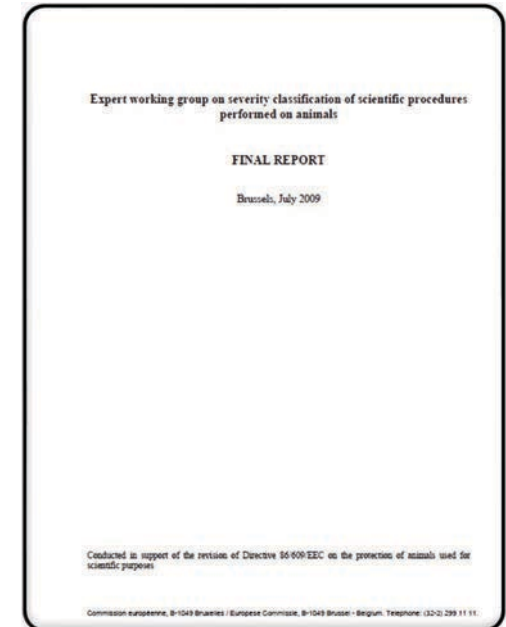


# From 3R-Guide (380 guidelines for animal research and testing) norecopa.no/3r-guide



## Guidance on the severity classification of procedures involving fish

Report from a Working Group convened by Norecopa



[http://ec.europa.eu/environment/chemicals/lab\\_animals/pdf/report\\_ewg.pdf](http://ec.europa.eu/environment/chemicals/lab_animals/pdf/report_ewg.pdf)

P Hawkins, N Dennison, G Goodman, S Hetherington, S Llywelyn-Jones, K Ryder and AJ Smith

Laboratory Animals, 45: 219-224, 2011

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[norecopa.no/categories](http://norecopa.no/categories)

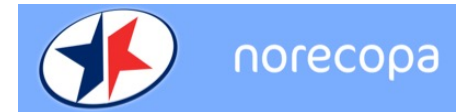
*What can we learn about communication from others?*



**Birdstrike**  
**Both engines fail**

[travelandleisure.com/airlines-airports/what-happens-when-planes-hit-birds](https://travelandleisure.com/airlines-airports/what-happens-when-planes-hit-birds)

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15.25.33	-01.38	Kaptein	Cockpit	V one, rotate
15.25.38	-01.33	Kaptein	Cockpit	positive rate
15.25.39	-01.32	Styrmann	Cockpit	Gear up please
15.25.39	-01.32	Kaptein	Cockpit	Gear up
15.26.37	-00.34	Kaptein	Cockpit	Uh what a view of the Hudson today
15.26.42	-00.29	Styrmann	Cockpit	Yeah
15.27.07	-00.04	Kaptein	Cockpit	After takeoff checklist complete
15.27.10	-00.01	Kaptein	Cockpit	Birds
15.27.11	-00.00	Styrmann	Cockpit	Whoa
15.27.11	00.00			
15.27.12	+00.01	Kaptein	Cockpit	Oh ---
15.27.13	+00.02	Styrmann	Cockpit	Oh yeah
15.27.14	+00.03	Styrmann	Cockpit	Uh oh
15.27.15	+00.04	Kaptein	Cockpit	We got one rol... both of 'em rolling back
15.27.18	+00.07	Kaptein	Cockpit	Ignition, start
15.27.21	+00.10	Kaptein	Cockpit	I'm starting the APU
15.27.23	+00.12	Kaptein	Cockpit	My aircraft
15.27.24	+00.13	Styrmann	Cockpit	Your aircraft
15.27.28	+00.17	Kaptein	Cockpit	Get the QRH... loss of thrust on both engines
15.27.32	+00.21	Kaptein	Radio	Mayday mayday mayday. Uh this is Cactus fifteen thirty [sic] nine, hit birds. We've lost thrust on both engines. We're turning back towards LaGuardia.

**Communication!  
Checklists!  
All this in less than 3 minutes**




[en.wikipedia.org](https://en.wikipedia.org)

***All 155 passengers and crew saved***

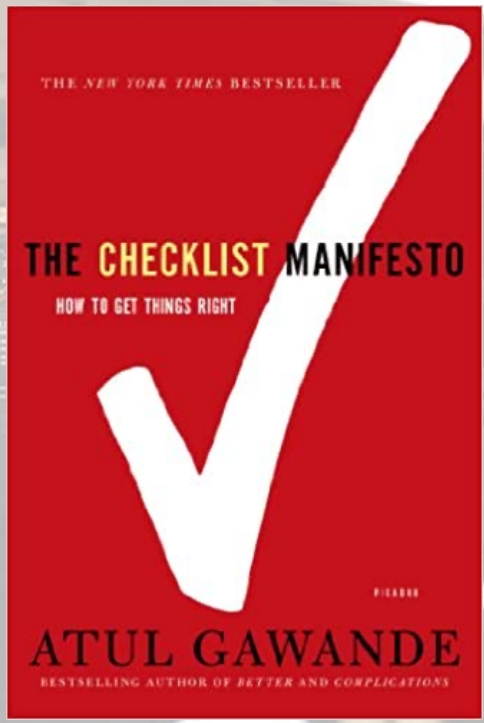


# Surgical Safety Checklist



World Health Organization | Patient Safety  
A World Alliance for Safer Health Care

<b>Before induction of anaesthesia</b> <small>(with at least nurse and anaesthetist)</small>	<b>Before skin incision</b> <small>(with nurse, anaesthetist and surgeon)</small>	<b>Before patient leaves operating room</b> <small>(with nurse, anaesthetist and surgeon)</small>
<b>Has the patient confirmed his/her identity, site, procedure, and consent?</b> <input type="checkbox"/> Yes	<input type="checkbox"/> <b>Confirm all team members have introduced themselves by name and role.</b> <input type="checkbox"/> <b>Confirm the patient's name, procedure, and where the incision will be made.</b> <b>Has antibiotic prophylaxis been given within the last 60 minutes?</b> <input type="checkbox"/> Yes <input type="checkbox"/> Not applicable	<b>Nurse Verbally Confirms:</b> <input type="checkbox"/> The name of the procedure <input type="checkbox"/> Completion of instrument, sponge and needle counts <input type="checkbox"/> Specimen labelling (read specimen labels aloud, including patient name) <input type="checkbox"/> Whether there are any equipment problems to be addressed
<b>Is the site marked?</b> <input type="checkbox"/> Yes <input type="checkbox"/> Not applicable	<b>Anticipated Critical Events</b> <b>To Surgeon:</b> <input type="checkbox"/> What are the critical or non-routine steps? <input type="checkbox"/> How long will the case take? <input type="checkbox"/> What is the anticipated blood loss? <b>To Anaesthetist:</b> <input type="checkbox"/> Are there any patient-specific concerns? <b>To Nursing Team:</b> <input type="checkbox"/> Has sterility (including indicator results) been confirmed? <input type="checkbox"/> Are there equipment issues or any concerns?	<b>To Surgeon, Anaesthetist and Nurse:</b> <input type="checkbox"/> What are the key concerns for recovery and management of this patient?
<b>Is the anaesthesia machine and medication check complete?</b> <input type="checkbox"/> Yes		
<b>Is the pulse oximeter on the patient and functioning?</b> <input type="checkbox"/> Yes		
<b>Does the patient have a:</b> <b>Known allergy?</b> <input type="checkbox"/> No <input type="checkbox"/> Yes <b>Difficult airway or aspiration risk?</b> <input type="checkbox"/> No <input type="checkbox"/> Yes, and equipment/assistance available <b>Risk of &gt;500ml blood loss (7ml/kg in children)?</b> <input type="checkbox"/> No <input type="checkbox"/> Yes, and two IVs/central access and fluids planned		
<b>Is essential imaging displayed?</b> <input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		



This checklist is not intended to be comprehensive. Additions and modifications to fit local practice are encouraged.

Revised 1 / 2009

© WHO, 2009

[who.int/patientsafety/topics/safe-surgery/checklist/en](http://who.int/patientsafety/topics/safe-surgery/checklist/en)

[amazon.com/gp/product/0312430000](https://amazon.com/gp/product/0312430000)

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# Prepare



Original Article

**PREPARE: guidelines for planning animal research and testing**

Adrian J Smith<sup>1</sup>, R Eddie Clutton<sup>2</sup>, Elliot Lilley<sup>3</sup>, Kristine E Aa Hansen<sup>4</sup> and Trond Brattelid<sup>5</sup>

**Abstract**  
There is widespread concern about the quality, reproducibility and translatability of studies involving research animals. Although there are a number of reporting guidelines available, there is very little overarching guidance on how to plan animal experiments, despite the fact that this is the logical place to start ensuring quality. In this paper we present the PREPARE guidelines: Planning Research and Experimental Procedures on Animals: Recommendations for Excellence. PREPARE covers the three broad areas which determine the quality of the preparation for animal studies: formulation, dialogue between scientists and the animal facility, and quality control of the various components in the study. Some topics overlap and the PREPARE checklist should be adapted to suit specific needs, for example in field research. Advice on use of the checklist is available on the Norecopa website, with links to guidelines for animal research and testing, at <https://norecopa.no/PREPARE>.

**Keywords**  
guidelines, planning, design, animal experiments, animal research

Date received: 5 April 2017; accepted: 27 June 2017

**Introduction**  
The quality of animal-based studies is under increasing scrutiny, for good scientific and ethical reasons. Studies of papers reporting animal experiments have revealed alarming deficiencies in the information provided,<sup>1,2</sup> even after the production and journal endorsement of reporting guidelines.<sup>3</sup> There is also widespread concern about the lack of reproducibility and translatability of laboratory animal research.<sup>4-7</sup> This can, for example, contribute towards the failure of drugs when they enter human trials.<sup>8</sup> These issues come in addition to other concerns, not unique to animal research, about publication bias, which tends to favour the reporting of positive results and can lead to the acceptance of claims as fact.<sup>9</sup> This has understandably sparked a demand for reduced waste when planning experiments involving animals.<sup>10-12</sup> Reporting guidelines alone cannot solve the problem of wasteful experimentation, but thorough planning will increase the likelihood of success and is an important step in the implementation of the 3Rs of Russell & Burch (replacement, reduction, refinement).<sup>13</sup> The importance of attention to detail at all stages is, in our experience, often underestimated by scientists. Even small practical details can cause omissions or artefacts that can ruin experiments which in all other respects have been well-designed, and generate health risks for all involved. There is therefore, in our opinion, an urgent need for detailed but overarching guidelines for researchers on how to plan animal experiments which are safe and scientifically sound, address animal

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<sup>3</sup>Research Animals Department, Science Group, RSPCA, Southwater, Horsham, West Sussex, UK  
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<https://doi.org/10.1177/0023677217724823>



Over 24,000 downloads from the journal website so far

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**PREPARE:**

Planning Research and Experimental Procedures on Animals: Recommendations for Excellence

PREPARE covers 15 topics:

**Formulation of the study**

1. Literature searches
2. Legal issues
3. Ethical issues, harm-benefit assessment and humane endpoints
4. Experimental design and statistical analysis

**Dialogue between scientists and the animal facility**

5. Objectives and timescale, funding and division of labour
6. Facility evaluation
7. Education and training
8. Health risks, waste disposal and decontamination

**Methods**

9. Test substances and procedures
10. Experimental animals
11. Quarantine and health monitoring
12. Housing and husbandry
13. Experimental procedures
14. Humane killing, release, reuse or rehoming
15. Necropsy

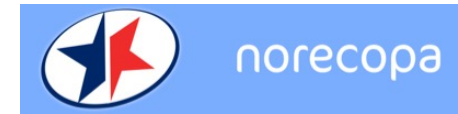
**Items in pink are not typically highlighted in reporting guidelines**

# The pathway to better research – communication at all stages



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[norecopa.no/PREPARE](https://norecopa.no/PREPARE) and [ivd-utrecht.nl/en/news/better-animal-research-through-open-science-1](https://ivd-utrecht.nl/en/news/better-animal-research-through-open-science-1)



# PREPARE



## The PREPARE Guidelines Checklist Planning Research and Experimental Procedures on Animals: Recommendations for Excellence

Adrian J. Smith<sup>1</sup>, R. Eddie Clutton<sup>2</sup>, Elliot Lilley<sup>3</sup>, Kristine E. Aa. Hansen<sup>4</sup> & Trond Brattelid<sup>5</sup>  
<sup>1</sup>Norecopa, c/o Norwegian Veterinary Institute, P.O. Box 750 Sentrum, 0106 Oslo, Norway; <sup>2</sup>Royal (Dick) School of Veterinary Studies, Easter Bush, Midlothian, EH25 9RG, U.K.; <sup>3</sup>Research Animals Department, Science Group, RSPCA, Wilberforce Way, Southwater, Horsham, West Sussex, RH13 9RS, U.K.; <sup>4</sup>Section of Experimental Biomedicine, Department of Production Animal Clinical Sciences, Faculty of Veterinary Medicine, Norwegian University of Life Sciences, P.O. Box 8146 Dep., 0033 Oslo, Norway; <sup>5</sup>Division for Research Management and External Funding, Western Norway University of Applied Sciences, 5020 Bergen, Norway.

PREPARE<sup>®</sup> consists of planning guidelines which are complementary to reporting guidelines. PREPARE covers the three broad areas which determine the quality of animal research: the 3Rs (Replacement, Reduction, Refinement) and the 3Ss (Good Science, Good Sense, Good Sensibilities).

1. Formulation of the study
2. Dialogue between scientists and the animal facility
3. Quality control of the components in the study

Topic	Recommendation
<b>(A) Formulation of the study</b>	
1. Literature searches	<input type="checkbox"/> Form a clear hypothesis, with primary and secondary outcomes. <input type="checkbox"/> Consider the use of systematic reviews. <input type="checkbox"/> Decide upon databases and information specialists to be consulted and construct search terms. <input type="checkbox"/> Assess the relevance of the species to be used, its biology and suitability to answer the experimental questions with the least suffering and to welfare needs. <input type="checkbox"/> Assess the reproducibility and translatability of the project.
2. Legal issues	<input type="checkbox"/> Consider how the research is affected by relevant legislation for animal research and other areas, e.g. animal transport, occupational health and safety. <input type="checkbox"/> Locate relevant guidance documents (e.g. EU guidance on project evaluation).
3. Ethical issues, harm-benefit assessment and humane endpoints	<input type="checkbox"/> Construct a lay summary. <input type="checkbox"/> In dialogue with ethics committees, consider whether statements about this type of research have already been produced. <input type="checkbox"/> Address the 3Rs (replacement, reduction, refinement) and the 3Ss (good science, good sense, good sensibilities). <input type="checkbox"/> Consider pre-registration and the publication of negative results. <input type="checkbox"/> Perform a harm-benefit assessment and justify any likely animal harm. <input type="checkbox"/> Discuss the learning objectives, if the animal use is for educational or training purposes. <input type="checkbox"/> Associate a severity classification to the project. <input type="checkbox"/> Define objective, easily measurable and unequivocal humane endpoints. <input type="checkbox"/> Discuss the justification, if any, for death as an end-point.
4. Experimental design and statistical analysis	<input type="checkbox"/> Consider pilot studies, statistical power and significance levels. <input type="checkbox"/> Define the experimental unit and decide upon animal numbers. <input type="checkbox"/> Choose methods of randomisation, prevent observer bias, and decide upon inclusion and exclusion criteria.

Animal welfare and Three Rs!



Topic	Recommendation
<b>(B) Dialogue between scientists and the animal facility</b>	
5. Objectives and timescale, funding and division of labour	<input type="checkbox"/> Arrange meetings with all relevant staff when early plans for the project exist. <input type="checkbox"/> Construct an approximate timescale for the project, indicating the need for assistance with preparation, animal care, procedures and waste disposal/decontamination. <input type="checkbox"/> Discuss and disclose all expected and potential costs. <input type="checkbox"/> Construct a detailed plan for division of labour and expenses at all stages of the study.
6. Risk assessment, animal health and welfare, and safety	<input type="checkbox"/> Conduct a risk assessment, to evaluate building and equipment standards and needs, at levels of extra risk. <input type="checkbox"/> Assess the competence of staff members and the need for further education or training prior to the start of the project.
7. Health risks, waste disposal and decontamination	<input type="checkbox"/> Perform a risk assessment, in collaboration with the animal facility, for all persons and animals affected directly or indirectly by the study. <input type="checkbox"/> Assess, and if necessary produce, specific guidance for all stages of the project. <input type="checkbox"/> Discuss means for containment, decontamination, and disposal of all items in the study.
<b>(C) Quality control of the components in the study</b>	
9. Test substances and procedures	<input type="checkbox"/> Provide as much information as possible about test substances. <input type="checkbox"/> Consider the feasibility and validity of test procedures and the skills needed to perform them.
10. Experimental animals	<input type="checkbox"/> Decide upon the characteristics of the animals that are essential for the study and for reporting. <input type="checkbox"/> Avoid generation of surplus animals.
11. Quarantine and health monitoring	<input type="checkbox"/> Discuss the animals' likely health status, any needs for transport, quarantine and isolation, health monitoring and consequences for the personnel.
12. Housing and husbandry	<input type="checkbox"/> Attend to the animals' specific instincts and needs, in collaboration with expert staff. <input type="checkbox"/> Discuss acclimatization, optimal housing conditions and procedures, environmental factors and any experimental limitations on these (e.g. food deprivation, solitary housing).
13. Experimental procedures	<input type="checkbox"/> Develop refined procedures for capture, immobilisation, marking, and release or rehoming. <input type="checkbox"/> Develop refined procedures for substance administration, sampling, sedation and anaesthesia, surgery and other techniques.
14. Humane killing, release, reuse or rehoming	<input type="checkbox"/> Consult relevant legislation and guidelines well in advance of the study. <input type="checkbox"/> Define primary and emergency methods for humane killing. <input type="checkbox"/> Assess the competence of those who may have to perform these tasks.
15. Necropsy	<input type="checkbox"/> Construct a systematic plan for all stages of necropsy, including location, and identification of all animals and samples.

**References**  
 1. Smith AJ, Clutton RE, Lilley E, Hansen KEA & Brattelid T. PREPARE: Guidelines for Planning Animal Research and Testing. *Laboratory Animals*, 2017, DOI: 10.1177/0023677217724823.  
 2. Kilkenny C, Browne WJ, Cuthill IC et al. Improving Bioscience Research Reporting: The ARRIVE Guidelines for Reporting Animal Research. *PLoS Biology*, 2010; DOI: 10.1371/journal.pbio.1000412.



## Three versions of the checklist:

1. plain pdf file
2. fillable pdf file
3. shared online version

**The PREPARE Guidelines Checklist**  
**Planning Research and Experimental Procedures on Animals: Recommendations for Excellence**  
 Adrian J. Smith<sup>1</sup>, R. Eddie Clutton<sup>2</sup>, Elliot Lilley<sup>3</sup>, Kristine E. Aa. Hanssen<sup>4</sup> & Trond Brattheld<sup>5</sup>

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PREPARE<sup>®</sup> consists of planning guidelines which are complementary to reporting guidelines such as ARRIVE<sup>®</sup>. PREPARE covers the three broad areas which determine the quality of the preparation for animal studies:

1. Formulation of the study
2. Dialogue between scientists and the animal facility
3. Quality control of the components in the study

The topics will not always be addressed in the order in which they are presented here, and some topics overlap. The PREPARE checklist can be adapted to meet special needs, such as field studies. PREPARE includes guidance on the management of animal facilities, since in-house experiments are dependent upon their quality. The full version of the guidelines is available on the Norecopa website, with links to global resources, at <https://norecopa.no/PREPARE>. The PREPARE guidelines are a dynamic set which will evolve as more species- and situation-specific guidelines are produced, and as best practice within Laboratory Animal Science progresses.

Topic	Recommendation
<b>(A) Formulation of the study</b>	
1. Literature searches	<input type="checkbox"/> Form a clear hypothesis, with primary and secondary outcomes. <input type="checkbox"/> Consider the use of systematic reviews. <input type="checkbox"/> Decide upon databases and information specialists to be consulted, and construct search terms. <input type="checkbox"/> Assess the relevance of the species to be used, its biology and suitability to answer the experimental questions with the least suffering, and its welfare needs. <input type="checkbox"/> Assess the reproducibility and translatability of the project.
2. Legal issues	<input type="checkbox"/> Consider how the research is affected by relevant legislation for animal research and other areas, e.g. animal transport, occupational health and safety. <input type="checkbox"/> Locate relevant guidance documents (e.g. EU guidance on project evaluation).
3. Ethical issues, harm-benefit assessment and humane endpoints	<input type="checkbox"/> Construct a lay summary. <input type="checkbox"/> In dialogue with ethics committees, consider whether statements about this type of research have already been produced. <input type="checkbox"/> Address the 3Rs (replacement, reduction, refinement) and the 3Ss (good science, good sense, good sensibilities). <input type="checkbox"/> Consider pre-registration and the publication of negative results. <input type="checkbox"/> Perform a harm-benefit assessment and justify any likely animal harm. <input type="checkbox"/> Discuss the learning objectives, if the animal use is for educational or training purposes. <input type="checkbox"/> Allocate a severity classification to the project. <input type="checkbox"/> Define objective, easily measurable and unequivocal humane endpoints. <input type="checkbox"/> Discuss the justification, if any, for death as an end-point.
4. Experimental design and statistical analysis	<input type="checkbox"/> Consider pilot studies, statistical power and significance levels. <input type="checkbox"/> Define the experimental unit and decide upon animal numbers. <input type="checkbox"/> Choose methods of randomisation, prevent observer bias, and decide upon inclusion and exclusion criteria.

Topic	Recommendation
<b>(B) Dialogue between scientists and the animal facility</b>	
5. Objectives and timescale, funding and division of labour	<input type="checkbox"/> Arrange meetings with all relevant staff when early plans for the project exist. <input type="checkbox"/> Construct an approximate timescale for the project, indicating the need for assistance with preparation, animal care, procedures and waste disposal/decontamination. <input type="checkbox"/> Discuss and disclose all expected and potential costs. <input type="checkbox"/> Construct a detailed plan for division of labour and expenses at all stages of the study.
6. Facility evaluation	<input type="checkbox"/> Conduct a physical inspection of the facilities, to evaluate building and equipment standards and needs. <input type="checkbox"/> Discuss staffing levels at times of extra risk.
7. Education and training	<input type="checkbox"/> Assess the current competence of staff members and the need for further education or training prior to the study.
8. Health risks, waste disposal and decontamination	<input type="checkbox"/> Perform a risk assessment, in collaboration with the animal facility, for all persons and animals affected directly or indirectly by the study. <input type="checkbox"/> Assess, and if necessary produce, specific guidance for all stages of the project. <input type="checkbox"/> Discuss means for containment, decontamination, and disposal of all items in the study.
<b>(C) Quality control of the components in the study</b>	
9. Test substances and procedures	<input type="checkbox"/> Provide as much information as possible about test substances. <input type="checkbox"/> Consider the feasibility and validity of test procedures and the skills needed to perform them.
10. Experimental animals	<input type="checkbox"/> Decide upon the characteristics of the animals that are essential for the study and for reporting. <input type="checkbox"/> Avoid generation of surplus animals.
11. Quarantine and health monitoring	<input type="checkbox"/> Discuss the animals' likely health status, any needs for transport, quarantine and isolation, health monitoring and consequences for the personnel.
12. Housing and husbandry	<input type="checkbox"/> Attend to the animals' specific instincts and needs, in collaboration with expert staff. <input type="checkbox"/> Discuss acclimatization, optimal housing conditions and procedures, environmental factors and any experimental limitations on these (e.g. food deprivation, solitary housing).
13. Experimental procedures	<input type="checkbox"/> Develop refined procedures for capture, immobilisation, marking, and release or rehoming. <input type="checkbox"/> Develop refined procedures for substance administration, sampling, sedation and anaesthesia, surgery and other techniques.
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**References**

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2. Kilkenny C, Browne WJ, Cuthill IC et al. Improving Bioscience Research Reporting: The ARRIVE Guidelines for Reporting Animal Research. *PLoS Biology*, 2010, DOI: 10.1371/journal.pbio.1000412.

Further information  
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# norecopa.no/PREPARE

- 3-Ethical issues, harm-benefit assessment and humane endpoints
  - 3a Construct a lay summary.
  - 3b In dialogue with ethics committees, consider whether statements about this type of research have already been produced.
  - 3c Address the 3Rs (Replacement, Reduction, Refinement) and the 3Ss (Good Science, Good Sense, Good Sensibilities).
  - 3d Assessment and justify any likely animal harm.
  - 3f Discuss the learning objectives, if the animal use is for educational or training purposes.
  - 3g Allocate a severity classification to the project.
  - 3h Define objective, easily measurable and unequivocal humane endpoints.
  - 3i Discuss the justification, if any, for death as an end-point.
- 4-Experimental design and statistical analysis

5. Have the experiments been carried out before, and is any repetition justifiable?
6. What [approaches to reduce distress](#) have been considered?

## 3a Construct a lay summary.

- General principles
- For fish researchers

1. Have national or local research ethics committees already produced statements relevant to the research being planned? Consideration should also be paid to the broader context of the research. For example, research directed at increasing the productivity of farming at the expense of (or without improving) individual animal welfare, or wildlife research whose primary aim is population management.

Links to quality guidelines and scientific papers worldwide on e.g. blood sampling, injection volumes, housing and husbandry, analgesia, humane endpoints, experimental design

2. Will any advances in this research be published, and if so, will they only index the title and abstract, or will they be fully rejected?
3. Have the Three S's ([Good Science, Good Sense and Good Sensibilities](#)) been addressed? Sufficient time should be allocated to this point, since two of the three S's are highly subjective, but equally important. The use of commonsense and critical anthropomorphism are justifiably part of the work to assess the impact of research on animals, not least when a scientific evidence base does not exist.
4. Does the proposed study have a clear rationale and scientific relevance, and what will be the next step if the hypothesis is supported or rejected?
5. Have the experiments been carried out before and is any repetition justifiable?
6. What [approaches to reduce distress](#) have been considered?
7. Will the project undergo [pre-registration](#) and will negative results be published, to avoid publication bias?

Many more [links to resources on ethics are available here](#). Details about [pre-registration of animal studies and reporting of critical incidents](#) are to be found in the section on [Experimental Design and Statistical Analysis](#).

### Harm-Benefit Assessment

# PREPARING, CARING, SHARING and FLAGGING

The scientific and welfare benefits of increased collaboration and transparency

Adrian Smith, Norecopa, Norway ([adrian.smith@norecopa.no](mailto:adrian.smith@norecopa.no))

This poster presents a set of four icons which were made by Norecopa (the Norwegian platform for Replacement, Reduction & Refinement of animal experiments) to illustrate the 4 essential steps of good preclinical science.



*Ensure that scientists and animal care staff collaborate closely from day one, to ensure all aspects of a study that potentially uses animals have been addressed*

[norecopa.no/PREPARE](http://norecopa.no/PREPARE)



*Promote examples of improvements in the care and use of animals, for example by using the Refinement Wiki*

[norecopa.no/wiki](http://norecopa.no/wiki)



*Encourage a strong Culture of Care around animal research, promoting mutual respect, animal and human wellbeing, and safety*

[norecopa.no/coc](http://norecopa.no/coc)



*Highlight advances made within the 3Rs in scientific papers, if necessary in a separate methodology paper*

[norecopa.no/3R](http://norecopa.no/3R)

These icons can be downloaded as jpg and mp4 files from [norecopa.no/PREPARE-CARE-SHARE-FLAG](http://norecopa.no/PREPARE-CARE-SHARE-FLAG) and used freely.

Thanks to Per Trystad for the artwork.



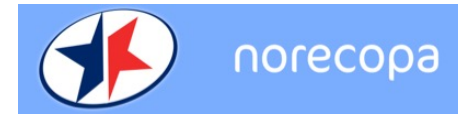
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Norecopa's 3R Prize – approx. 3,000 euros  
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- Norwegian Animal Protection Alliance (Dyrevernalliansen)
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