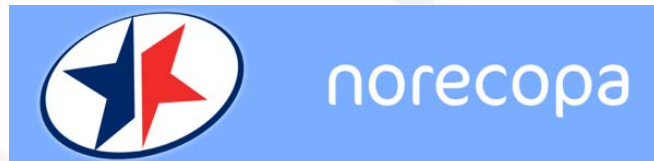


**How to improve scientific validity and animal welfare:
guidelines for animal research**

norecopa.no/copenhagen2019

Adrian Smith

adrian.smith@norecopa.no



<https://norecopa.no>



Carol M. Newton (1925-2014)



National Library of Medicine

The three S's

- *Good Science*
- *Good Sense*
- *Good Sensibilities*

[*norecopa.no/3S*](http://norecopa.no/3S)

Carol M Newton, quoted in Rowsell HC (1977): *The Ethics of Biomedical Experimentation in The Future of Animals, Cells, Models, and Systems in Research, Development, Education, and Testing* pp. 267-281, National Academy of Sciences, Washington, D.C., ISBN 0-309-02603-2.



norecoba

EXPLODING RATS ROCK LABORATORY

A UNIVERSITY research lab at a top hospital has been rocked by ... exploding RATS.

The explosion, at Glasgow University's building at Yorkhill Hospital, blew up a fridge.

It smashed four windows and damaged fittings and equipment.

And two women scientists - who normally work in the lab - escaped because they were in the room next door.

By MARK MCGIVERN

Glasgow University has launched an inquiry into how the blast at its "Animal House" took place.

A spokesman said the blast had been caused after 15 rats had been soaked in anaesthetic ether to kill them humanely.

INJURED

But, while they were stored in a special fridge, a thermostat gave off a tiny spark, which ignited the volatile ether. The spokesman admitted that the two

researchers - a genetics postgraduate and a researcher - could have been seriously injured.

"If people had been in the room there could have been serious consequences," he added.

"We have suspended these kind of experiments until our inquiry is complete."



thewolfmountainnaturecenter.org



Bakken, Morten; Moe, Randi Oppermann; Smith, Adrian; Selle, Gunn-Marit Eriksrød.

Effects of environmental stressors on deep body temperature and activity levels in silver fox vixens (*Vulpes vulpes*). *Applied Animal Behaviour Science* 1999 s. 141-151



photos: NMBU

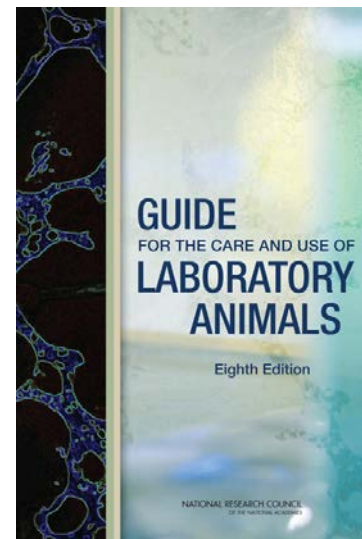
Norecopa: PREPARE for better Science

3R Symposium, Copenhagen, 12-13 November 2019

The AAALAC Program

- Animal care and use policies, and responsibilities
- Animal environment, housing and management
- Veterinary care
- The physical plant (buildings)

A complete description of the facility's structure and functions

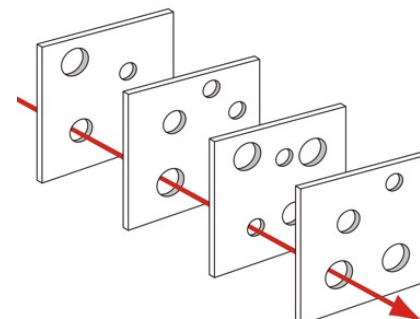


Work at least *in the spirit of AAALAC* and GLP even if you don't apply for accreditation!

<https://norecopa.no/textbase/guide-for-the-care-and-use-of-laboratory-animals-8th-ed>

A Contingency Plan, based upon risk assessment

- Access to emergency services (police, fire, medical and veterinary help, security guards, personnel transport in cases of acute illness)
- Means of communication with staff members at all levels
- SOPs for acute illness, including
 - serious haemorrhages
 - fainting
 - allergic and anaphylactic reactions
 - burns
 - head injuries
 - bites
 - corrosive injuries
 - and forms for reporting such injuries
- Firefighting, evacuation of personnel and animals
- Access to specialist services (e.g. ventilation system, plumbing, electrical installations, suppliers of equipment)
- Routines in cases of power failure, water leaks and (if applicable) natural disasters such as flooding
- Routines for emergency killing of animals
- Routines in cases of threats to the facility or personnel



Temporary staff at weekends and holidays

<https://norecopa.no/prepare/6-facility-evaluation/master-plan-and-sops/contingency-plan>

Contingency and redundancy

Anything that can go wrong, will go wrong (Murphy's Law)
when it's least convenient (Sod's Law)



Photo: NMBU

A simple contract between the animal facility and the research group

- Is it feasible, in the given time frame?
- On a large number of animals?
- In the facility's space?
- With the facility's equipment?
- Do all the staff have the time to attain competence?
- Cost of staff out of hours, 7 days a week
- Time to order, breed, import, acclimate and group the animals?
- Total length of project – including preparation (change in buildings, purchase of equipment or new cages/tanks, approval of these plans by authorities + lab animal standards)?
- Cleaning up costs

| | Animal facility | Researcher | Not applicable |
|---|-----------------|------------|----------------|
| Animal: | | | |
| Arrival date | | | |
| Species | | | |
| Strain/stock and substrain | | | |
| Supplier (full name and address) or bred on the premises | | | |
| Number and sex | | | |
| Age, weight, stage of life cycle on arrival | | | |
| Pre-treatment (surgical or medical) from supplier | | | |
| Quality (e.g. SPF, germ-free, gnotobiotic, conventional) | | | |
| Acclimation time before the start of the experiment | | | |
| Time and duration of fasting (with/without water and bedding) | | | |
| Environment: | | | |
| Type of housing: barrier/conventional | | | |
| Temperature (mean ± variation) | | | |
| Light schedule | | | |
| Relative humidity (mean ± variation) | | | |
| Number of air changes in the animal room/cabinet per hour | | | |
| Environmental enrichment | | | |
| Housing: | | | |
| Free-range, shelf, cabinet, isolator | | | |
| Cage type and size | | | |
| Number and method of distribution of animals per cage | | | |

<https://norecopa.no/prepare/5-objectives-and-timescale-funding-and-division-of-labour/division-of-labour-costs-and-responsibility>



A Master Plan to help everyone remember what has to be done



NASA



cbsnews.com



no.wikipedia.org

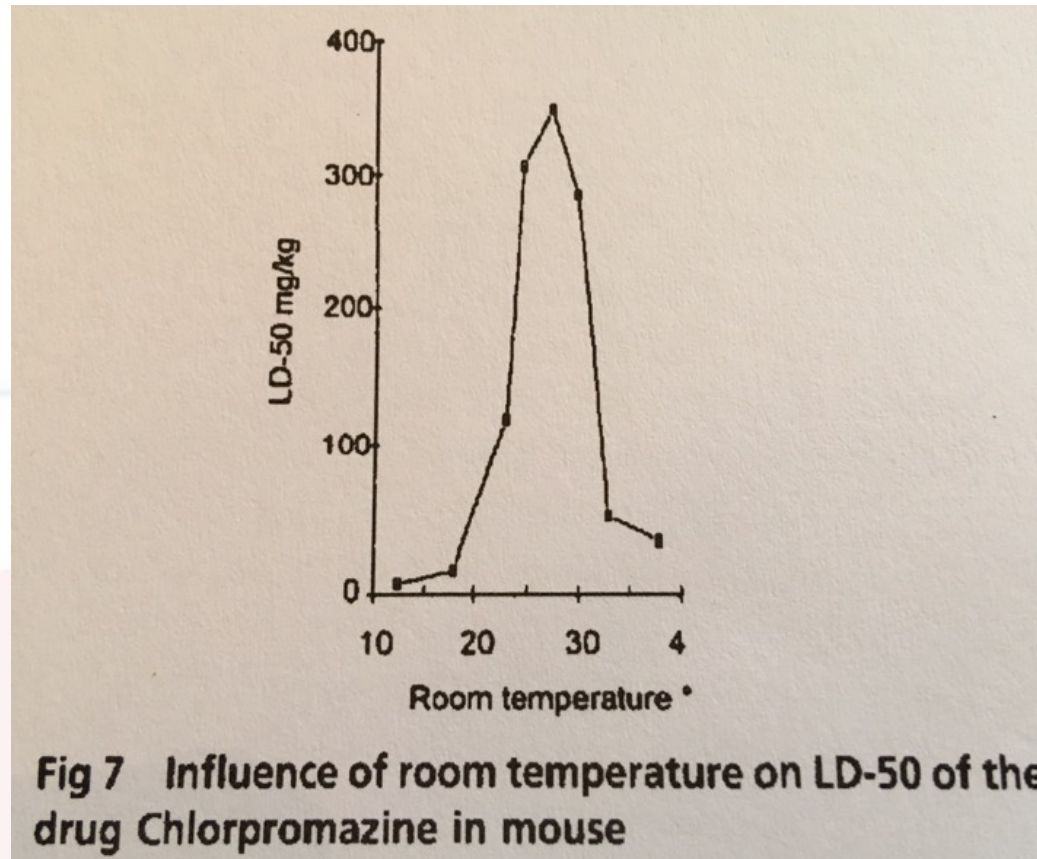
- Complex machines (animals) create *known or unknown unknown interactions* that are impossible to foresee
- **Design weaknesses** (*which the engineers knew about!*)
- **External pressure to launch** (political, media) - Publish or perish.
- **Management decisions** (pushing the safety envelope):
 - “We’ve got away with it before”
 - “We’ve managed to publish the experiments before”
- **A combination of many factors, each of which may be harmless until they occur simultaneously**

We need guidelines for *reporting* animal studies, and we have been trying to solve the reproducibility problem for a long time!

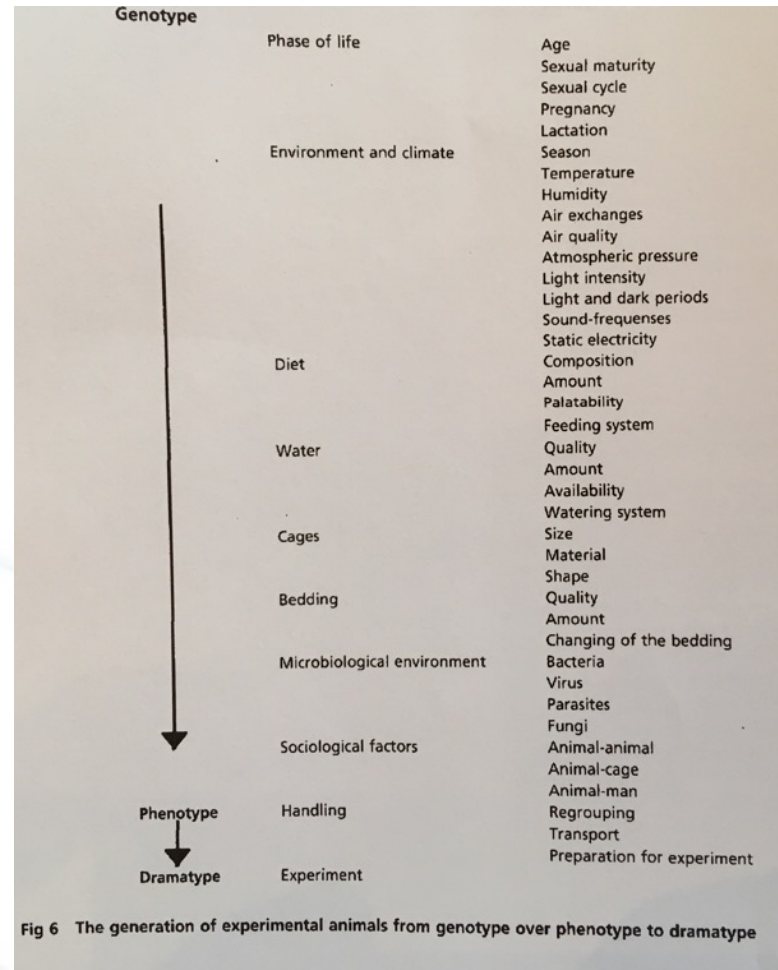
- Guidelines for specification of animals and husbandry methods when reporting the results of animal experiments (GV-SOLAS, 1985)
- Reporting animal use in scientific papers (Jane Smith *et al.*), 1997
- Öbrink & Reh binder: Animal definition: a necessity for the validity of animal experiments? *Laboratory Animals*, 2000
- Guidelines for reporting the results of experiments on fish (2000)
- **ARRIVE Guidelines, 2010** (Kilkenny *et al.*, NC3Rs)
- Gold Standard Publication Checklist, 2010 (SYRCLE)
- Institute for Laboratory Animal Research, NRC, 2011
- Instructions to authors, in many journals
e.g. Nature's Reporting Checklist

Why is it taking so long to improve reproducibility?

Berti & Cima 1955, quoted in Öbrink and Rehbinder



Hurni 1969, quoted in Öbrink and Rehbinder



1. **Publication bias** (reporting only positive results)
2. **Low statistical power**
3. **P-value hacking** (manipulating data to obtain significance)
4. **HARKing** (Hypothesizing after the results are known)

Lack of randomisation and blinding

Animal-related issues:

Artefacts caused by extraneous factors

e.g. cage conditions, social hierarchies, food deprivation, inadequate analgesia

Artefacts caused by internal conditions

e.g. genetic diversity, effects of the microbiome, subclinical infection

norecopa.no/concerns

Perspective | [Open Access](#) | Published: 10 January 2017

A manifesto for reproducible science

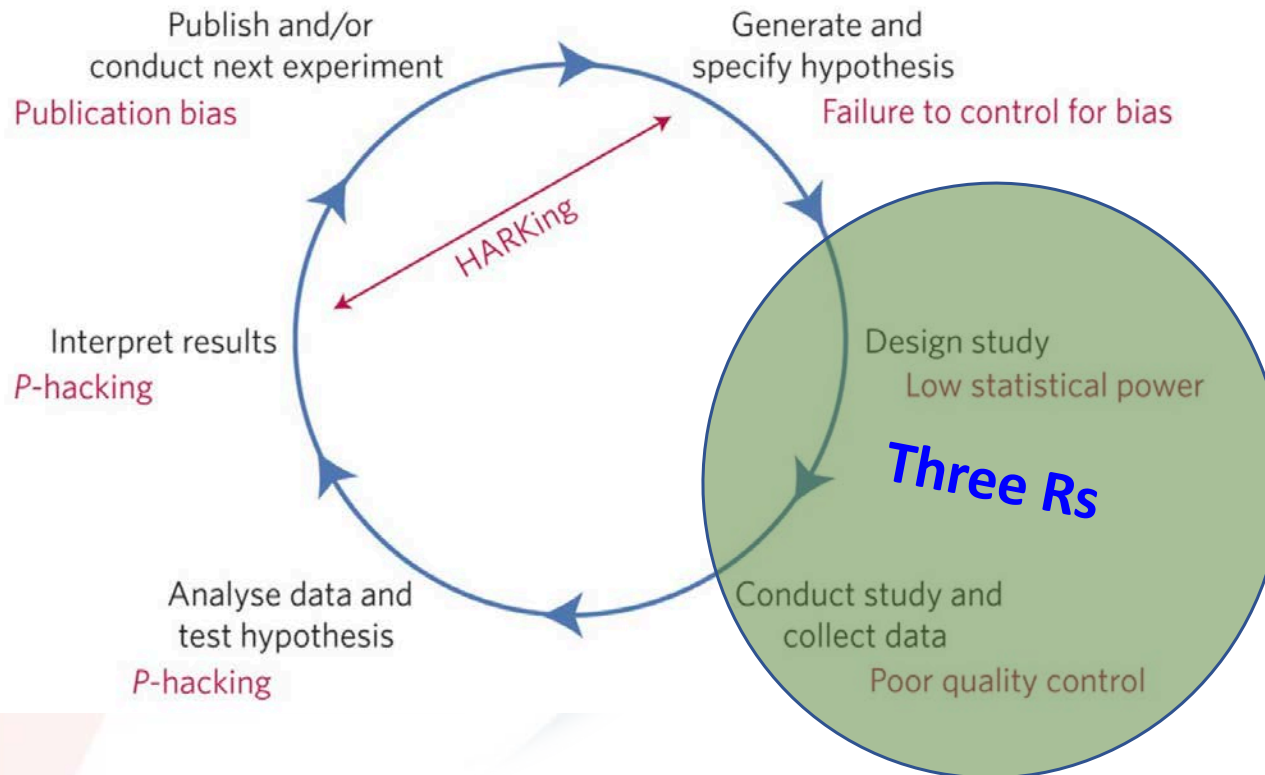
Marcus R. Munafò , Brian A. No-
Button, Christopher D. Chambers,
Jan Wagenmakers, Jennifer J. Wa

Nature Human Behaviour **1**, Artic

33k Accesses | **518** Citations |

Figure 1: Threats to reproducible science.

From: A manifesto for reproducible science





Pain management in pigs undergoing experimental surgery; a literature review (2012–4) FREE

A. G. Bradbury, M. Eddleston, R. E. Clutton ✉

Br J Anaesth (2016) 116 (1): 37-45. DOI: <https://doi.org/10.1093/bja/aev301>

Published: 03 October 2015

selection criteria. Most articles (193/233, 83%) described use of drugs with analgesic properties, but only 87/233 (37%) described postoperative analgesia. No article provided justification for the analgesic chosen, despite the lack of guidelines for analgesia in porcine surgical models and the lack of formal studies on this subject.

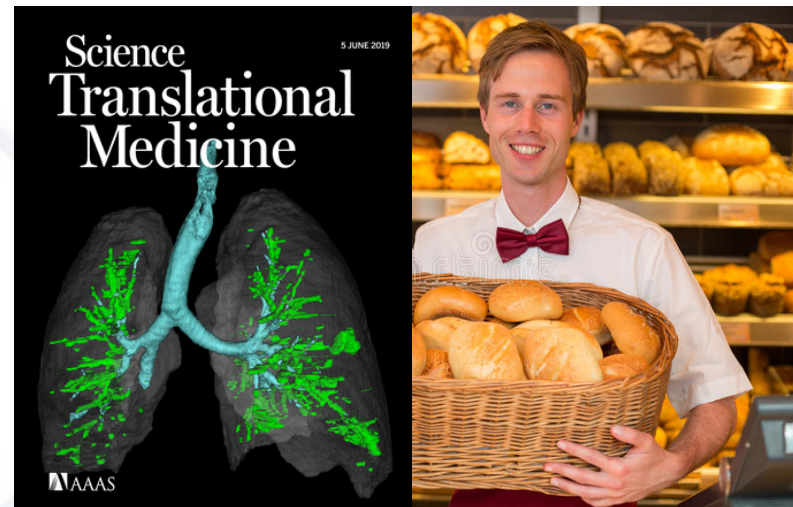
Postoperative pain assessment was reported in only 23/233 (10%) articles. It was found that the reporting of postoperative pain management in the studies was remarkably low, reflecting either under-reporting or under-use. Analgesic description, when given, was frequently too limited to enable reproducibility. Development of a



PREPARE *from day 1*

<https://www.bls.gov/ooh/images/3077.jpg>

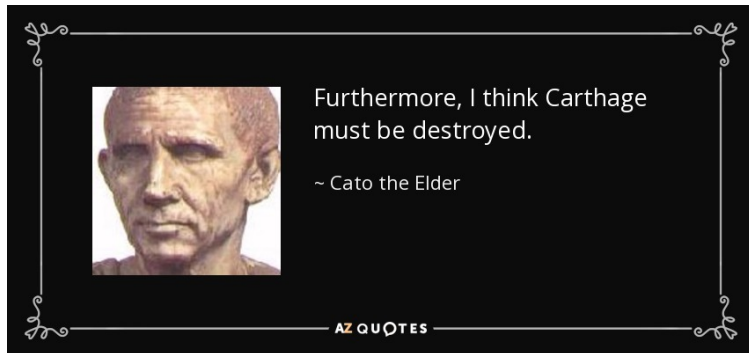
ARRIVE



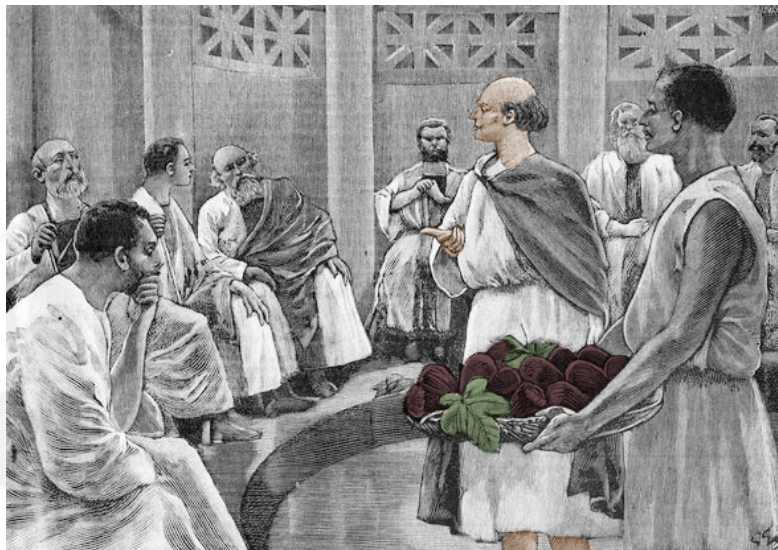
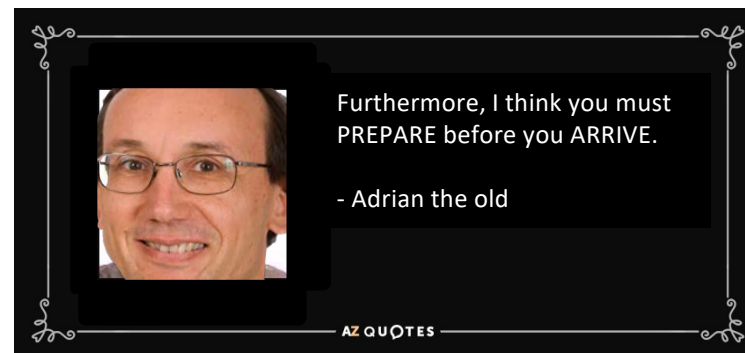
<https://www.dreamstime.com>

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azquotes.com



pass-the-garum.blogspot.com

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with thanks to senator Otto Kalliokoski

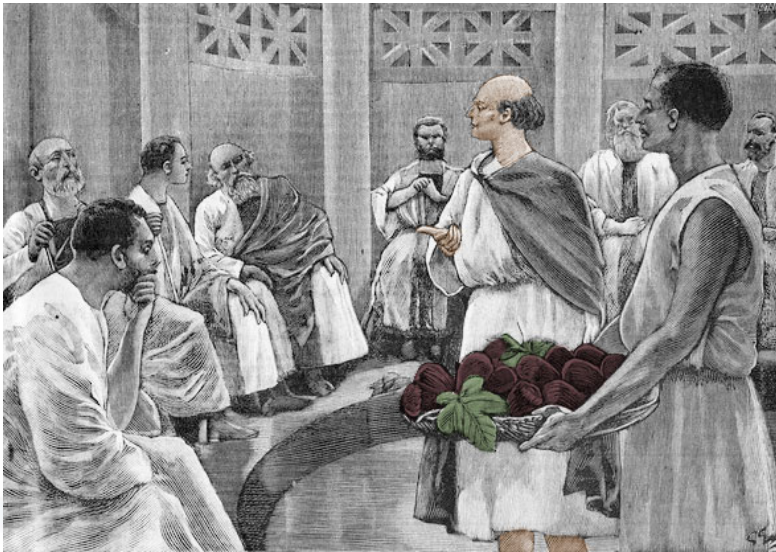
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Two frustrations:



"We can solve the reproducibility crisis by:"

- better reporting (of burnt cakes)
- courses in Experimental Design that only cover the "mathematical" elements (e.g. group size, randomisation, blinding, bias, statistical analysis) and ignore the animal/human-related issues



pass-the-garum.blogspot.com



[pinterest.com](https://www.pinterest.com)

The ARRIVE guidelines

The ARRIVE guidelines claim that they ‘provide a logical checklist with all the things that need to be considered when designing an experiment’. **Disagree!**

In our experience when planning animal research, **a number of additional points need to be addressed at the planning stage.**

These items improve

- **study quality**
- **animal welfare**
- **reproducibility**
- and also the **safety of humans and animals** affected directly or indirectly by the work



Original Article

PREPARE: guidelines for planning animal research and testing

Adrian J Smith¹, R Eddie Clutton², Elliot Lilley³, Kristine E Aa Hansen⁴ and Trond Bratteli⁵

Abstract
There is widespread concern about the quality, reproducibility and translatability of studies involving research animals. Although there are a number of reporting guidelines available, there is very little overarching guidance on how to *plan* animal experiments, despite the fact that this is the logical place to start ensuring quality. In this paper we present the PREPARE guidelines: Planning Research and Experimental Procedures on Animals: Recommendations for Excellence. PREPARE covers the three broad areas which determine the quality of the preparation for animal studies: formulation, dialogue between scientists and the animal facility, and quality control of the various components in the study. Some topics overlap and the PREPARE checklist should be adapted to suit specific needs, for example in field research. Advice on use of the checklist is available on the Norecopa website, with links to guidelines for animal research and testing, at <https://norecopa.no/PREPARE>.

Keywords
guidelines, planning, design, animal experiments, animal research

Date received: 5 April 2017; accepted: 27 June 2017

Introduction
The quality of animal-based studies is under increasing scrutiny, for good scientific and ethical reasons. Studies of papers reporting animal experiments have revealed alarming deficiencies in the information provided,^{1,2} even after the production and journal endorsement of reporting guidelines.³ There is also widespread concern about the lack of reproducibility and translatability of laboratory animal research.⁴⁻⁷ This can, for example, contribute towards the failure of drugs when they enter human trials.⁸ These issues come in addition to other concerns, not unique to animal research, about publication bias, which tends to favour the reporting of positive results and can lead to the acceptance of claims as fact.⁹ This has understandably sparked a demand for reduced waste when planning experiments involving animals.¹⁰⁻¹² Reporting guidelines alone cannot solve the problem of wasteful experimentation, but thorough planning will increase the likelihood of success and is an important step in the implementation of the 3Rs of Russell & Burch (replacement, reduction, refinement).¹³ The importance of attention to detail at all stages is,

in our experience, often underestimated by scientists. Even small practical details can cause omissions or artefacts that can ruin experiments which in all other respects have been well-designed, and generate health risks for all involved. There is therefore, in our opinion, an urgent need for detailed but overarching guidelines for researchers on how to plan animal experiments which are safe and scientifically sound, address animal

Laboratory Animals
0(0) 1-7
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sagepub.co.uk/journalsPermissions.nav
DOI: 10.1177/0023677217724823
journals.sagepub.com/home/lan
SAGE

Pre-published under Open Access on 3 August 2017,
sponsored by the Universities Federation for Animal
Welfare (UFAW), UK

<https://doi.org/10.1177/0023677217724823>



Over 11,000 downloads from the
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PREPARE:

Planning Research and Experimental Procedures on Animals: Recommendations for Excellence

PREPARE covers 15 topics:

Formulation of the study

1. Literature searches
2. Legal issues
3. Ethical issues, harm-benefit assessment and humane endpoints
4. Experimental design and statistical analysis

Dialogue between scientists and the animal facility

5. Objectives and timescale, funding and division of labour
6. Facility evaluation
7. Education and training
8. Health risks, waste disposal and decontamination

Methods

9. Test substances and procedures
10. Experimental animals
11. Quarantine and health monitoring
12. Housing and husbandry
13. Experimental procedures
14. Humane killing, release, reuse or rehoming
15. Necropsy



PREPARE

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The PREPARE Guidelines Checklist
Planning Research and Experimental Procedures on Animals: Recommendations for Excellence
 Adrian J. Smith, R. Eddie Clutton, Elizabeth Lister, Kathleen E. A. Honefer & Tonya Bratton*

*Norecopa or Norwegian Veterinary Institute, P.O. Box 703 Sentrum, 0403 Oslo, Norway; Royal (Dick) School of Veterinary Studies, Easter Bush, Midlothian, Fife KY6 9AT, UK; Research Animals Department, Science Group, RSPCA, Midwinters Way, Southwark, London, SE17 3JF, UK; Institute of Experimental Biomedicine, Department of Radiation Applied/Oncological Sciences Faculty of Veterinary Medicine, Norwegian University of Life Sciences, P.O. Box 4167, Dept. 0022 Oslo, Norway; Director for Research Management and External Funding, Swedish National University of Applied Sciences, 501 89 Haga, Sweden.

PREPARE* consists of planning guidelines which are complementary to reporting guidelines such as ARRIVE.
 PREPARE* covers the three broad areas which determine the quality of the preparation for animal studies:

1. **Formulation of the study**
2. **Dialogue between scientists and the animal facility**
3. **Quality control of the components in the study**

The topics will not always be addressed in the order in which they are presented here, and some topics overlap. The PREPARE checklist can be adapted to meet special needs, such as field studies. PREPARE includes guidance on the management of animal facilities, since in-house experiments are dependent upon their quality. The full version of the guidelines is available on the Norecopa website, with links to global resources, at <https://norecopa.no/prepare>. The PREPARE guidelines are a dynamic set which will evolve as more species- and situation-specific guidelines are produced, and as best practice within laboratory Animal Science progresses.

| Topic | Recommendation |
|--|--|
| (A) Formulation of the study | |
| 1. Literature searches | <input type="checkbox"/> Form a clear hypothesis with primary and secondary outcomes. <input type="checkbox"/> Consider the use of systematic reviews. <input type="checkbox"/> Decide upon databases and information specialists to be consulted, and construct search terms. <input type="checkbox"/> Assess the relevance of the species to be used, its biology and suitability to answer the experimental questions with the least suffering, and its welfare needs. <input type="checkbox"/> Assess the reproducibility and transferability of the project. |
| 2. Legal issues | <input type="checkbox"/> Consider how the research is affected by relevant legislation for animal research and other areas, e.g. animal transport, occupational health and safety. <input type="checkbox"/> Locate relevant guidance documents (e.g. EU guidance on project evaluation). |
| 3. Ethical issues, harm-benefit and humane endpoints | <input type="checkbox"/> Conduct a lay summary. <input type="checkbox"/> Dialogue with ethics committees, consider whether statements about this type of research have already been produced. <input type="checkbox"/> Address the 3Rs (replacement, reduction, refinement) and the 5As (good science, good animal, good environment). <input type="checkbox"/> Consider pre-estimation and the publication of negative results. <input type="checkbox"/> Perform a harm-benefit assessment and justify any likely animal harm. <input type="checkbox"/> Discuss the learning objectives, if the animal use is for educational or training purposes. <input type="checkbox"/> Associate a severity classification to the project. <input type="checkbox"/> Define objectives, easily measurable and unambiguous humane endpoints. <input type="checkbox"/> Discuss the justification, if any, for death as an end point. |
| 4. Experimental design and statistical analysis | <input type="checkbox"/> Consider pilot studies, statistical power and significance levels. <input type="checkbox"/> Define the experimental unit and decide upon animal numbers. <input type="checkbox"/> Choose methods of randomisation, prevent observer bias, and decide upon inclusion and exclusion criteria. |



norecopa.no/prepare/prepare-checklist

norecopa.no : constructed for those involved in animal research and testing



norecopa.no / Other resources / Organisations



Organisations of relevance to animal research

Organisations within Laboratory Animal Science

[AAALAC International](#) (Association for Assessment and Accreditation of Laboratory Animal Care International)

[AALAS](#) (American Association for Laboratory Animal Science)

[ACLAM](#) (American College of Laboratory Animal Medicine)

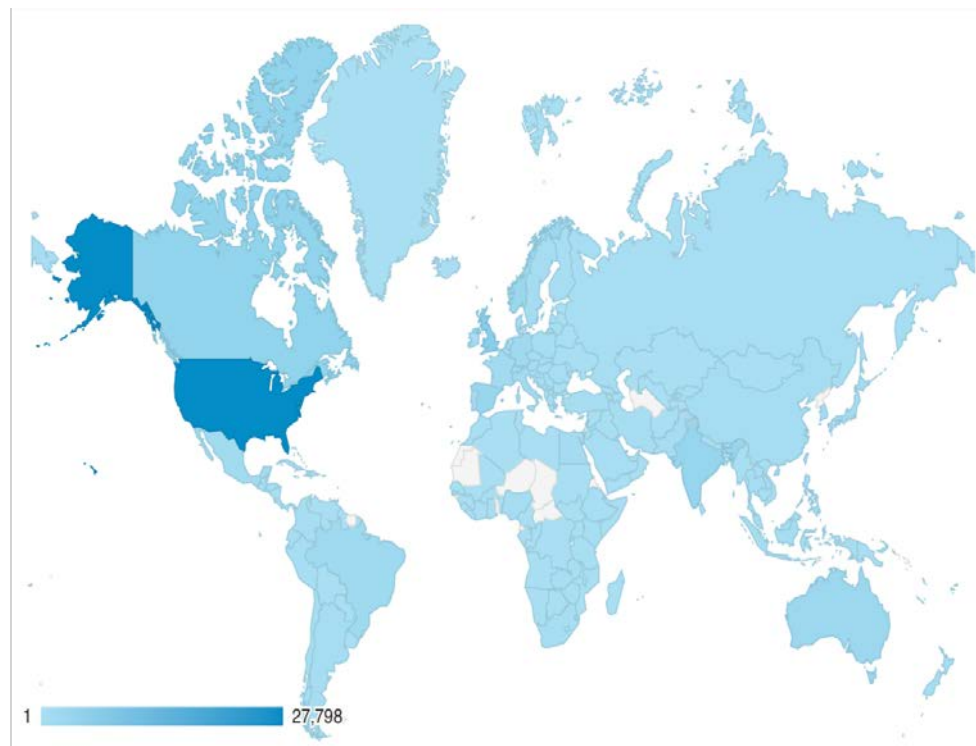
[AniMatch](#) (an online sharing platform for the exchange of organs and tissues)

[ARSAI](#) (Asociația Română pentru Știința Animalelor de Laborator: Romanian Laboratory Animal

8,600 webpages
80,000 links

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| | | |
|-----|---|----------------|
| 1. |  | United States |
| 2. |  | United Kingdom |
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| 6. |  | Norway |
| 7. |  | Australia |
| 8. |  | Brazil |
| 9. |  | Germany |
| 10. |  | Mexico |

250,000 page views in 2019



In addition to the PREPARE checklist, much more information is available on:

norecoba.no/PREPARE

A screenshot of the norecoba.no website. The header is blue with the Norecoba logo and the word "norecoba" in white. To the right of the logo, there are language options "NORSK" and "ENGLISH" with "ENGLISH" selected. Below the logo is a search bar with the text "Search:" and a magnifying glass icon. A navigation menu is located below the search bar, with the "PREPARE" link highlighted by a red circle. The main content area lists various topics related to the PREPARE checklist, including "1-Literature searches", "2-Legal issues", "3-Ethical issues, Harm-Benefit Assessment and humane endpoints", "4-Experimental design and statistical analysis", "5-Objectives and timescale, funding and division of labour", "6-Facility evaluation", "7-Education and training", "8-Health risks, waste disposal and decontamination", "9-Test substances and procedures", "10-Experimental animals", "11-Quarantine and health monitoring", "12-Housing and husbandry", "13-Experimental procedures", "14-Humane killing, release, re-use or re-homing", "15-Necropsy", and "Comparison with ARRIVE". At the bottom of the page, there is a footer with the text "norecoba.no / PREPARE" and social media icons for Facebook, Twitter, Email, and a plus sign.



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NORSK ENGLISH

Search:

About Norecopa Alternatives Databases & Guidelines Education & training Legislation Meetings More resources News PREPARE Species

- PREPARE Checklist | 1-Literature searches | 2-Legal issues | 3-Ethical issues, Harm-Benefit Assessment and humane endpoints | 4-Experimental design and statistical analysis | 5-Objectives and timescale, funding and division of labour | 6-Facility evaluation | 7-Education and training | 8-Health risks, waste disposal and decontamination | 9-Test substances and procedures | 10-Experimental animals | 11-Quarantine and health monitoring | 12-Housing and husbandry | 13-Experimental procedures | 14-Humane killing, release, re-use or re-homing | 15-Necropsy | Comparison with ARRIVE

norecopa.no / PREPARE 

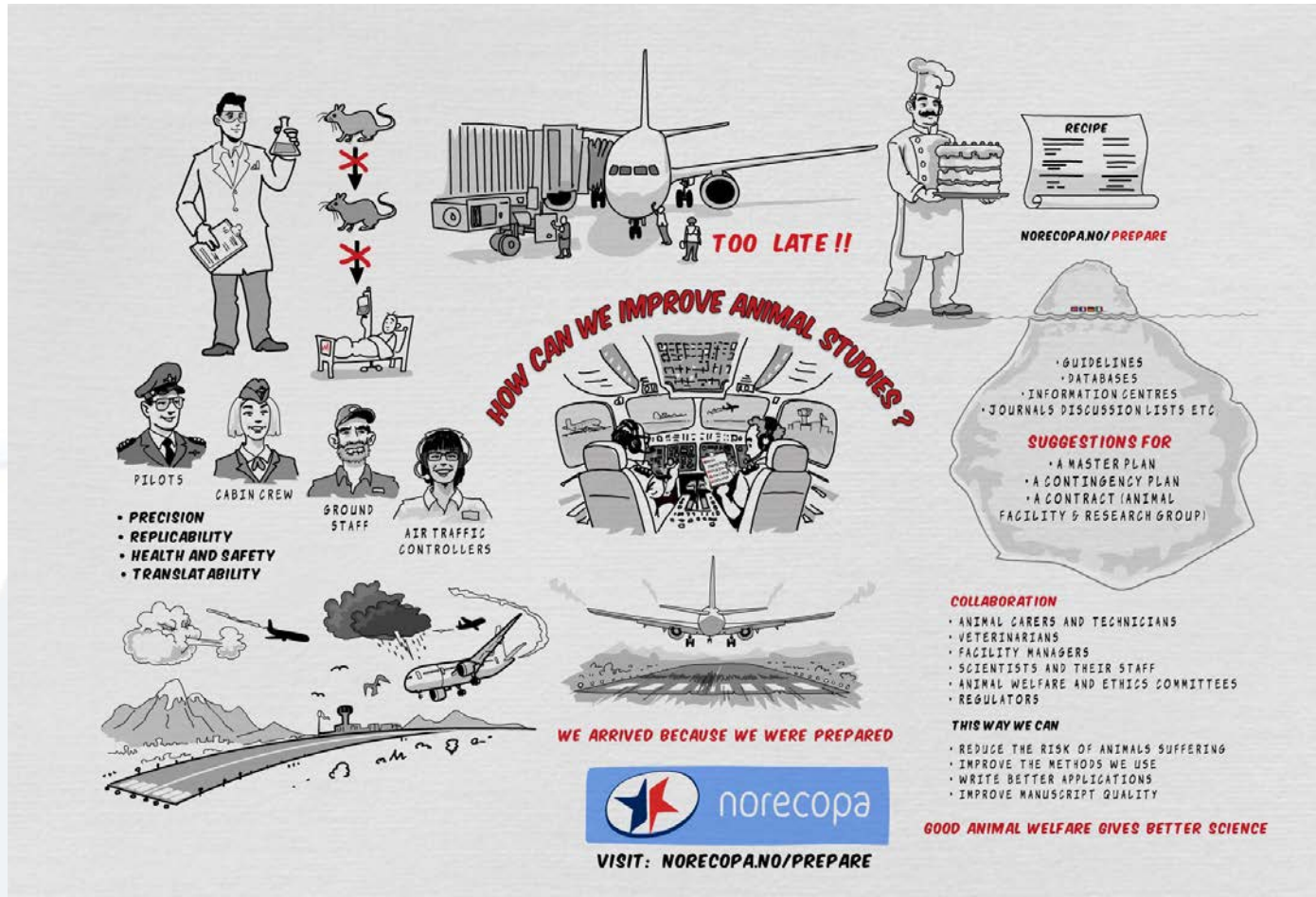
Harm-Benefit Assessment

Harm-Benefit assessment, an evaluation of the likely sources and level of suffering of a planned procedure, followed by an assessment of the potential benefits of the research weighed against these harms, lies at the heart of [legislation in the EU](#) and elsewhere. [A framework for severity assessment and severity classification](#) must be established and justified. The likely adverse effects of each procedure should be described, along with their likely incidence and methods of recognising them, with indications of how these effects can be mitigated by implementing refinement. This necessitates the involvement of personnel with the relevant expertise to recognise, assess and reduce animal suffering, especially severe suffering. [Guidance on this is available on the RSPCA website](#). Specific justification of all unavoidable animal suffering must be provided. An estimate must be made of the maximum amount of pain, distress or lasting harm to which an individual can be

Links to quality guidelines worldwide on e.g. blood sampling, injection volumes, housing and husbandry, analgesia, humane endpoints, experimental design

vimeo.com/358069203 or norecopa.no/PREPARE

3-minute cartoon film

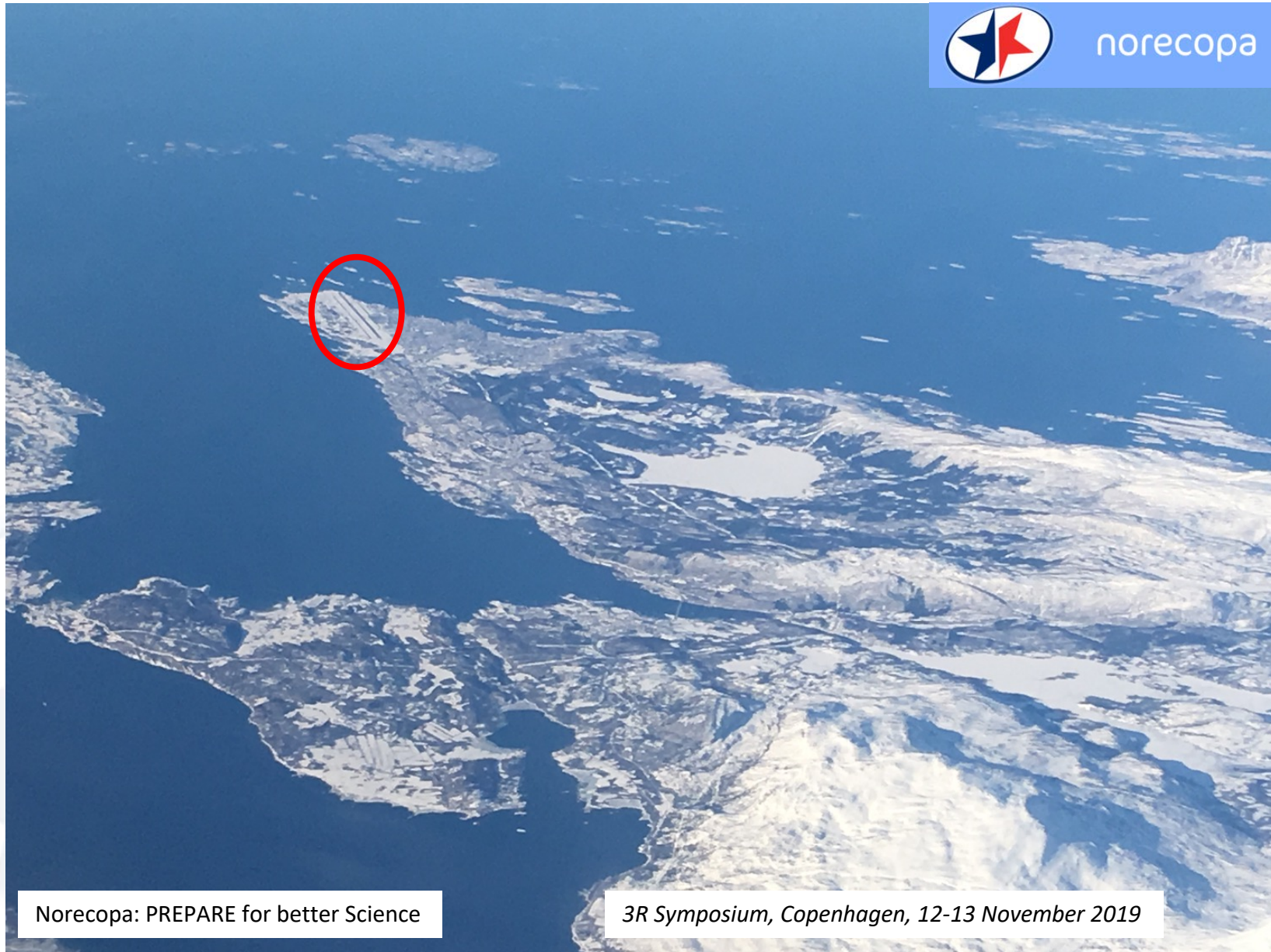


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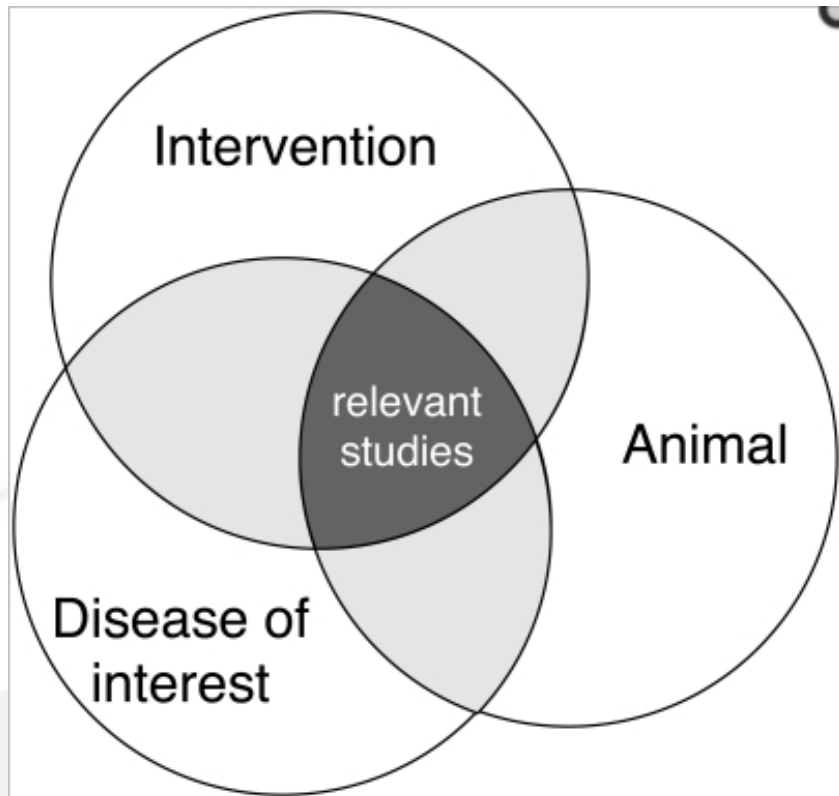


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Literature searches and Systematic Reviews of published animal studies

Good literature searches are an integral part of planning animal research and testing, as indicated in [the PREPARE guidelines](#). Some core resources are cited here. The section of the Norecoba website on [databases and guidelines](#) will also be helpful.

[The EURL ECVAM Search Guide](#)

[How to conduct a literature search](#) [↗](#) by Alice Tillema, Radboud University

[Enhancing search efficiency by means of a search filter for finding all studies on animal experimentation in PubMed](#)

[A search filter for increasing the retrieval of animal studies in Embase](#) [↗](#)

[Alternatives Search: Demonstrating Compliance](#) [↗](#) (UC Davis website)

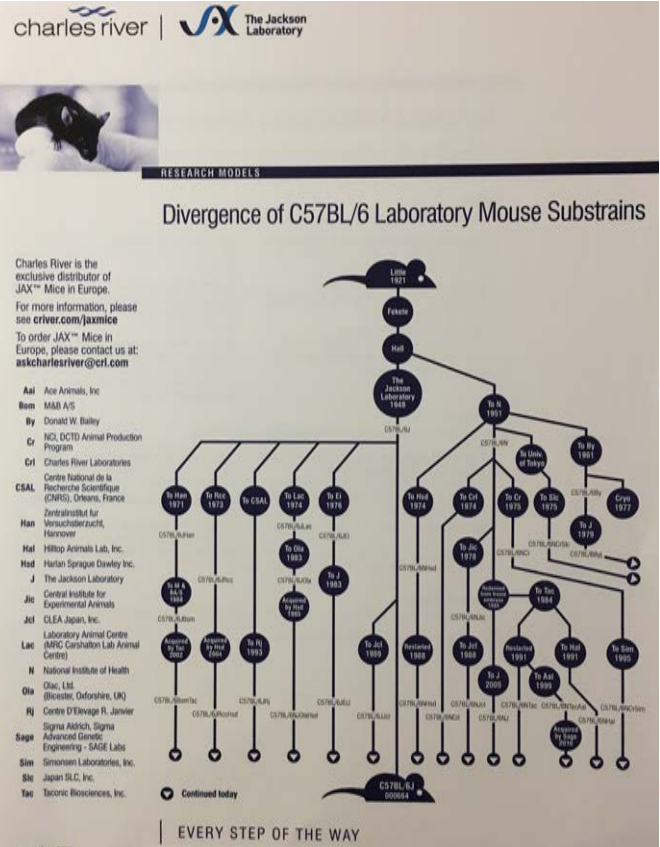
[Finding 3Rs information: a needle in a haystack?](#) [↗](#) (practical advice from Utrecht University)

[Database searches and resources for non-animal methods](#) [↗](#) (a presentation by Michelle Hudson-Shore, [FRAME](#) [↗](#))

A step-by-step guide to systematically identify all relevant animal studies

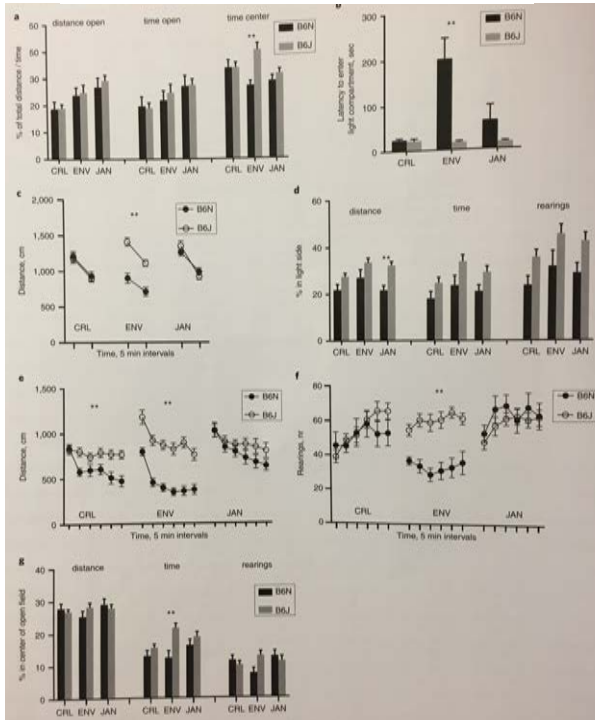
<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3265183>

C57BL/6 mice



Åhlgren & Voikar (2019): Behavioural differences between /6J and /6N mice

nature.com/articles/s41684-019-0288-8



We are what we eat...

[Find a researcher](#)

Axel Kornerup Hansen

Professor

Experimental Animal Models

Ridebanevej 9, 2. sal, 1870 Frederiksberg C, 1-62,
Building: 413

akh@sund.ku.dk



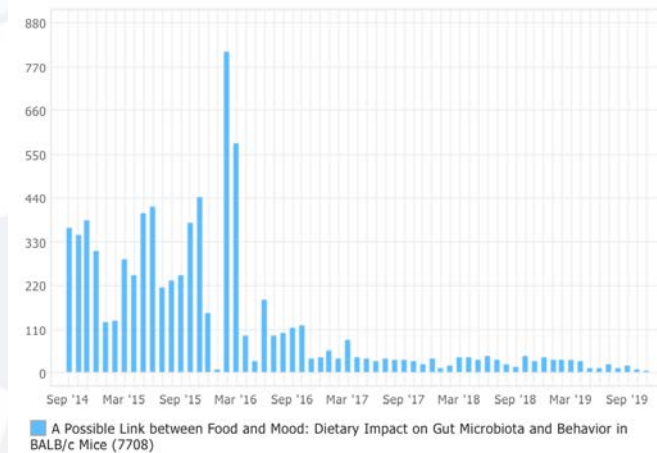
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A possible link between food and mood: dietary impact on gut microbiota and behavior in BALB/c mice

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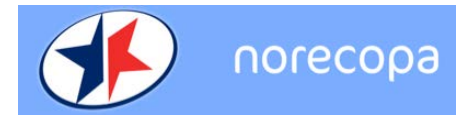


no longer FELASA guidelines on nutrition...

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we are what we eat...



Diet-Induced Metabolic Syndrome in Rodent Models

A discussion of how diets made from purified ingredients influence the phenotypes of the MS in commonly used rodent models.

**Angela M. Gajda, MS, Michael A. Pellizzon, Ph.D.,
Matthew R. Ricci, Ph.D. and Edward A. Ulman, Ph.D.**

Pellizzon and Ricci *Nutrition & Metabolism* (2018) 15:3
DOI 10.1186/s12986-018-0243-5

Nutrition & Metabolism

PERSPECTIVE

Open Access

The common use of improper control diets in diet-induced metabolic disease research confounds data interpretation: the fiber factor



Michael A. Pellizzon* and Matthew R. Ricci

Currently no FELASA guidance on nutrition (a working group has been convened)

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Laboratory Animal Diets:
A Critical Part of Your In Vivo Research

Most all of us are aware that certain dietary choices can increase or decrease the likelihood of developing certain diseases. Our diets can also change our metabolism as well the levels of circulating factors (hormones, lipids, etc.) which may be markers for disease risk. What is often overlooked is the fact that these concepts also apply to laboratory animals, making diet a critical part of study design.

Matthew R. Ricci, Ph.D. and Edward A. Ulman, Ph.D.

The image shows a close-up of several yellow, cylindrical laboratory animal chow pellets. The text is overlaid on the right side of the image.

Contingent suffering



animalcaresystems.com

(not just the direct suffering caused by the procedure)

Fear, boredom and discomfort

Caused by, for example:

Transport, or changes in housing, husbandry and social groups

Single-housed male mice show symptoms of what in humans would be characterised as depression

<http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0111065>



Stress caused by capture and handling



News > Science

Scores of scientific studies based on mice thrown into doubt because they were

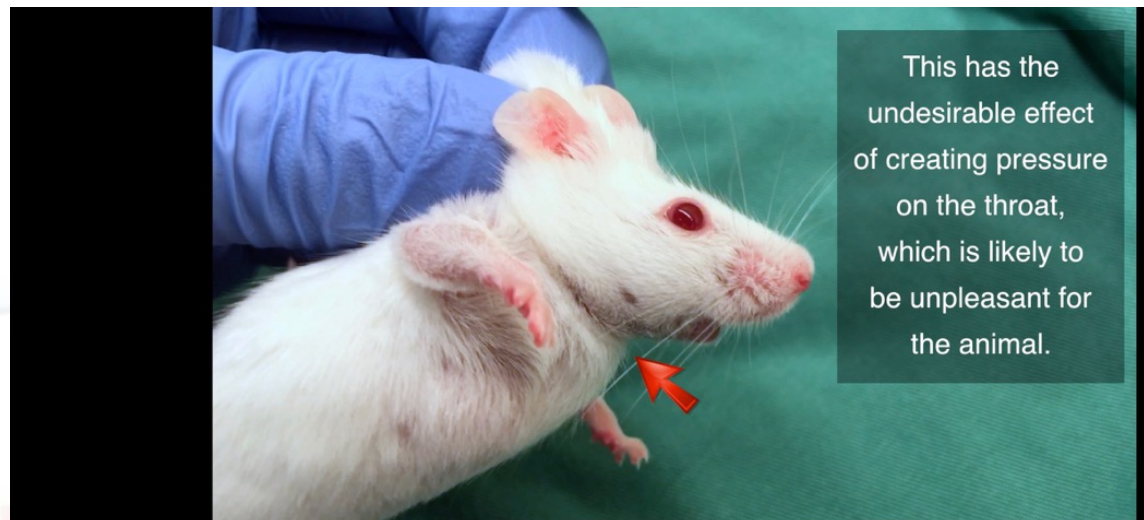
Mice pick up naturally

Ian Johnston



<https://www.nc3rs.org.uk/how-to-pick-up-a-mouse>

Stress caused by capture and handling



Three fingers better than two MATURE

5 days ago | More



▶ 1,016 ♥ 0 📁 0 💬 0

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Three fingers t
Norecopa

<http://bitly.com/scruff-technique>

Artefacts caused by poor administration techniques



Photo: NMBU

- *Variations in placement from time to time, and therefore absorption rate*
- *Pain on injection*
- *Realistic?*

Disposable needles are designed to be used only once!

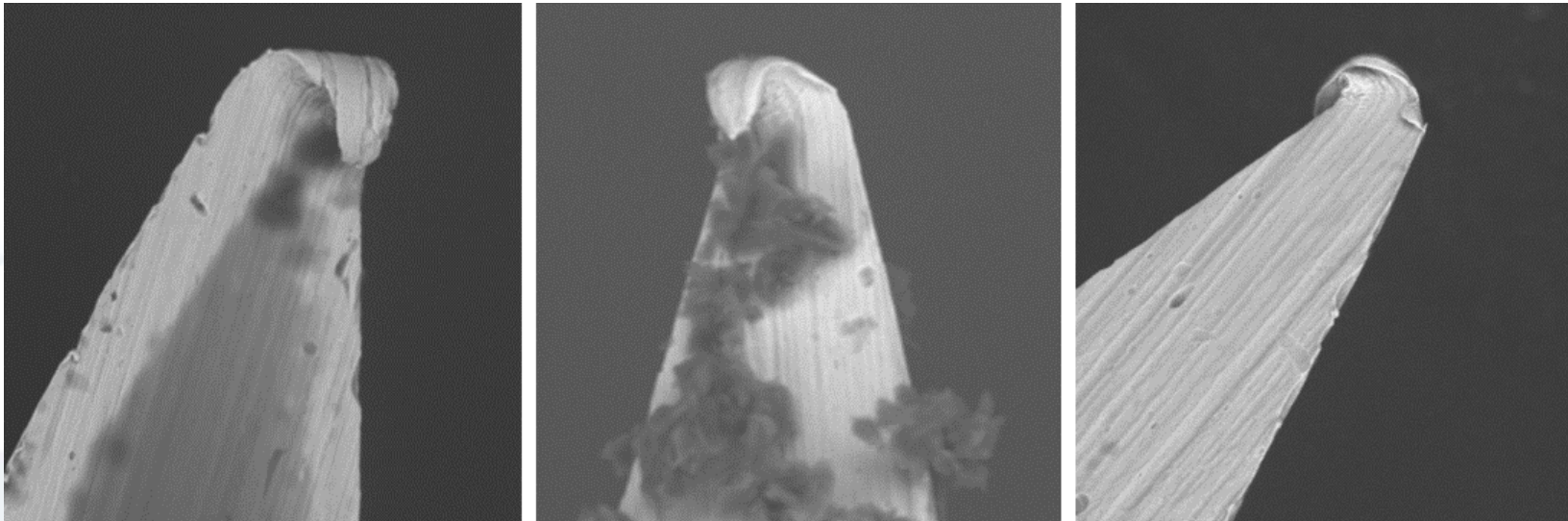


Photo: AstraZeneca

<https://www.nc3rs.org.uk/news/re-use-needles-indicator-culture-care>

'Simple' blood sampling techniques?



medipoint.com/html/for_use_on_mice.html

The best blood sampling techniques are those where you can:

- ✓ see the blood vessel
- ✓ regulate the amount of blood you remove
- ✓ stop the bleeding easily
- ✓ not damage the surrounding tissue
- ✓ collect samples rapidly to avoid artefacts due to mechanical stress, temperature changes, length of sampling



http://blogs.discovermagazine.com/notrocketscience/2011/01/12/flipper-bands-impair-penguin-survival-and-breeding-success/#.VLU6_8Y7_wo



Photo: T. Poppe, NMBU



Enorm isklump festet seg til reinsdyrets radiohalsbånd



SMERTER: Denne villreinen måtte avlives i Nordfjella. En isklump på GPS halsbåndet hadde vokst seg større enn hodet på reinen.

Av TOR-HARTVIG BONDO og LINN K. YTTERVIK
(VG) 22.01.2016 20:27

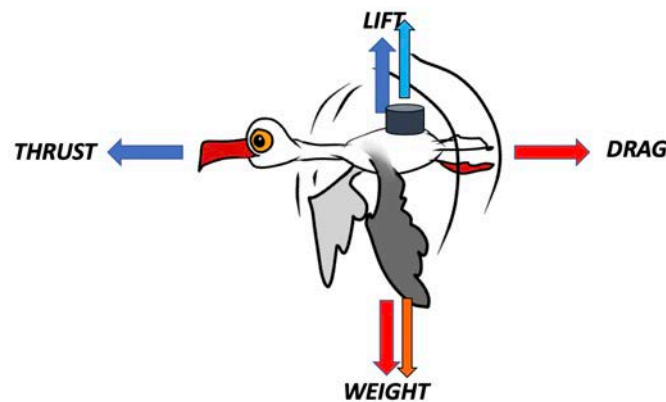
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annonse

*Harmonisation of the Care and Use of Wild and Domestic Mammals and Birds in Field Research
Gardermoen, 26 - 27 October 2017*

Primary effects

The increased lift balances the extra force from the tag weight



From Rory Wilson: norecopa.no/media/8018/rory-wilson.pdf

Double the speed
and the drag
quadruples.

This requires 8
times the power to
maintain flight...

plus G-forces...

Drag occurs in water as well as in the air...



From Rory Wilson: norecopa.no/media/8018/rory-wilson.pdf

Consult the animal carers and technicians from Day 1:

- they have a right to know and will be more motivated
- they know the possibilities (and limitations) in the animal facility
- they often possess a large range of practical skills and are good at lateral thinking
- they know the animals best
- the animals know them best
- lack of involvement creates anxiety, depression and opposition to animal research, as well as limiting creativity which might improve the experiments

An International Culture of Care Network

norecopa.no/CoC

Closely related to a culture of care is the concept of a **Culture of Challenge** (Louhimies, 2015).

Look for the acceptable, rather than choosing the accepted.



"as often as necessary"

"because we've always done it that way"

Don't forget to highlight 3R methods in the scientific literature!



http://www.theodora.com/rodent_laboratory/blood_collection.html



photo:NMBU

SCID-Hu mice immunized with a pneumococcal vaccine produce specific human antibodies and show increased resistance to infection.

Saphenous vein puncture for
blood sampling of the mouse, rat, hamster,
gerbil, guinea-pig,
ferret and mink



and it does not have to be in a high-impact journal.



Working Party Report

Guidance on the severity classification of scientific procedures involving fish: report of a Working Group appointed by the Norwegian Consensus-Platform for the Replacement, Reduction and Refinement of animal experiments (Norecopa)

P Hawkins (Convenor), N Dennison, G Goodman, S Hetherington, S Llywelyn-Jones, K Ryder and A J Smith

Research Animals Department, PDSA, Wetherby Way, Southwick, West Sussex RH13 9PL, UK; *Animals (Scientific Procedures) Inspectorate, Home Office, PO Box 4773, Dundee DD1 9WV, UK; †Biological Services, The University of Edinburgh, Chancellor Building, 49, Little France Crescent, Edinburgh EH8 4JH, UK; ‡CIRMA, Paddock Road, Lowestoft, NR10 9RT, UK; §Norges College London, Biological Services Unit, 4th floor, Huxley Building, G-9's Campus, London SE1 1LE, UK; ¶Norecopa, c/o Norwegian Veterinary Institute, PO Box 750 Sentrum, N-0101 Oslo, Norway

Abstract
The severity classification of procedures using animals is an important tool to help focus the implementation of refinement and to assist in reporting the application of the 3Rs (replacement, reduction and refinement). The severity classes of Directive that regulates animal research and testing within the European Union require Member States to ensure that all procedures are classified as 'non-recovery', 'mild', 'moderate' or 'severe', using assignment criteria set out by the European Commission (EC). However, these are focused upon terrestrial species, so are of limited relevance to fish users. A Working Group set up by the Norwegian Consensus-Platform for the 3Rs (Norecopa) has produced guidance on the classification of severity in scientific procedures involving fish, including examples of 'subthreshold', 'mild', 'moderate', 'severe' and 'upper threshold' procedures. This aims to complement the EC guidelines and help to ensure that suffering inflicted is effectively predicted and minimised. Norecopa has established a website (www.norecopa.no/categories) where more information on severity classification for procedures using fish, including field research, will be made available.

Keywords: Fish, harm-benefit assessment, humane endpoints, refinement, severity
Laboratory Animals 2011, 1-4. DOI: 10.1258/la.2011.010381

Background
An effective prediction of the effects of a research protocol on the animals concerned helps to ensure that any pain, suffering or distress they may experience will be effectively anticipated, recognised and alleviated. This is essential not only for animal welfare but also for scientific validity, because physiological and behavioural responses to suffering can significantly affect data quality. Severity classification is thus an important tool to help focus the implementation of refinement, including monitoring its progress, and to assist in reporting the application of the 3Rs (replacement, reduction and refinement) of Russell and Burch, which is now an integral part of the legislation on animal research and testing in many countries. Predictions of severity are also fundamental to the harm-benefit

Guidance on the severity classification of procedures involving fish

Report from a Working Group convened by Norecopa

Food deprivation in rodents
Toe clipping in mice
Pain relief in rodents
Fin clipping in fish

Expert working group on severity classification of scientific procedures performed on animals
FINAL REPORT
Brussels, July 2009

Conducted in support of the revision of Directive 86/609/EEC on the protection of animals used for scientific purposes
Commission européenne, B-1049 Bruxelles / Europese Commissie, B-1049 Brussel - Belgium. Telephone: (32-2) 299 11 11.

http://ec.europa.eu/environment/chemicals/lab_animals/pdf/report_ewg.pdf

P Hawkins, N Dennison, G Goodman, S Hetherington, S Llywelyn-Jones, K Ryder and AJ Smith

Laboratory Animals, 45: 219-224, 2011
norecopa.no/categories

Norecopa: PREPARE for better Science

3R Symposium, Copenhagen, 12-13 November 2019

norecopa.no/education-training/homemade-educational-materials



+ the NORINA database of 3,100 audiovisual aids for use in education and training
Established in 1991, updated weekly. norecopa.no/NORINA



International consensus meetings

Harmonisation of the Care and Use of:

Fish (2005)

Wildlife (2008)

Fish (2009)

Agricultural animals (2012)

Wildlife (2017)

<https://norecoba.no/meetings>

*All presentations and consensus statements are on the internet: **a lasting resource***



Meetings calendar

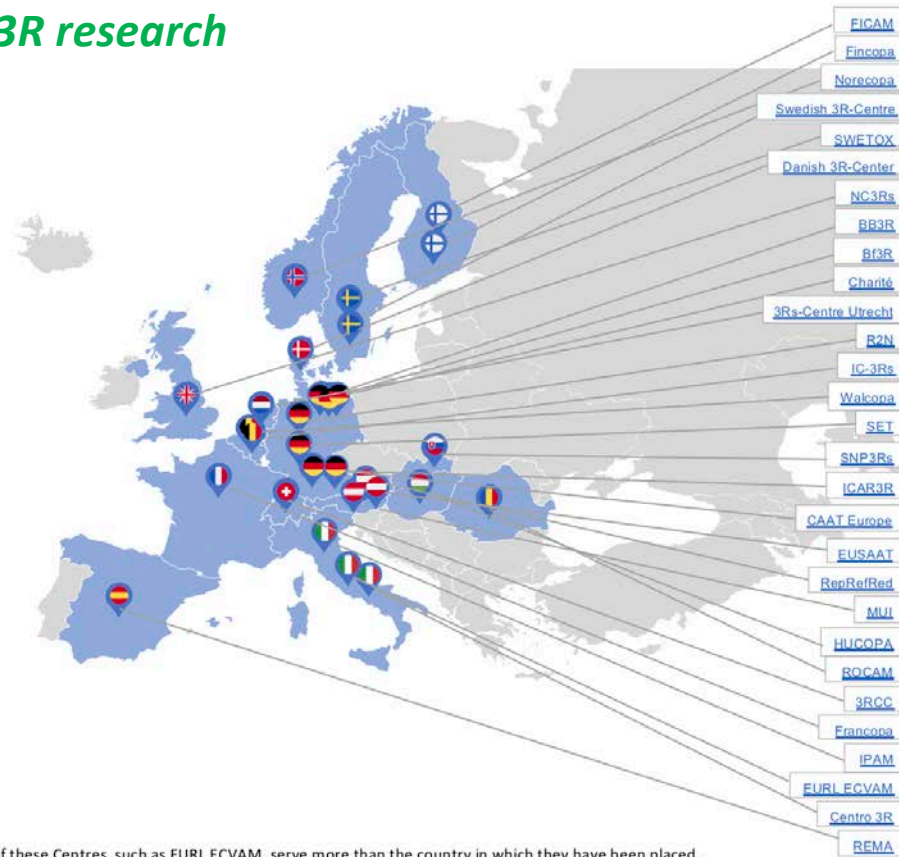
[\(Links to a selection of past meetings can be accessed here\)](#)

- > [Klikkertræning af forsøgsdyr \(Clicker training of research animals\)](#), Copenhagen, 7, 14 & 28 November 2019
- > [Danish 3R-Center's 3R Symposium](#), Copenhagen, 12-13 November 2019
- > [Course in Phenotyping of Genetically Engineered Mice](#), Stockholm, 12-21 November 2019
- > [CRISPRing the Mouse: Myths and Realities of Genome Editing](#), Stockholm, 13 November 2019
- > [Improving rigor and validity in mouse studies](#), Stockholm, 14 November 2019
- > [In Vitro Lungs Model](#), Geneva, 14-15 November 2019
- > [Human iPSC-derived Cardiomyocyte Handling and Assay Preparation](#), Leiden, 14-15 November 2019
- > [Intrinsic factors affecting the mouse phenotype](#), 15 November 2019

European network of 3R Centres established in 2018
- many with money earmarked for 3R research

Interactive map:
norecopa.no/3REuropeOverview

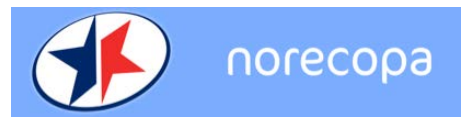
List of 3R centres:
norecopa.no/3REurope



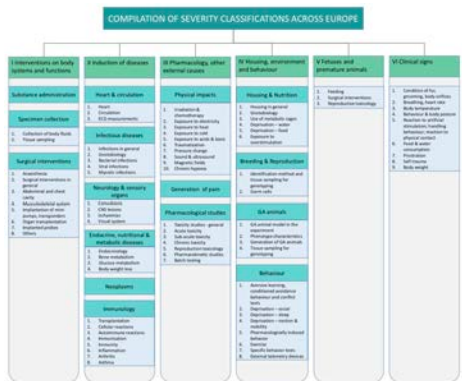
Please note that some of these Centres, such as EURL ECVAM, serve more than the country in which they have been placed.

This overview has been compiled by Norecopa. Please report any errors or send suggestions for additions to post@norecopa.no
Designed by PresentationGo.com. Flags from flaticon.com

Mild, Moderate or Severe? A compilation of severity classification



norecopa.no/severity



- The compilation covers
- > [Interventions on body systems and functions](#)
 - ▶ [Substance administration](#)
 - ▶ [Specimen collection](#)
 - ▶ [Surgical interventions](#)
 - > [Induction of diseases](#)
 - ▶ [Heart and circulation](#)
 - ▶ [Infectious diseases](#)
 - ▶ [Neurology and sensory organs](#)
 - ▶ [Endocrine, nutritional and metabolic diseases](#)
 - ▶ [Neoplasms](#)
 - ▶ [Immunology](#)
 - > [Pharmacology and other external causes](#)
 - ▶ [Physical impacts](#)
 - ▶ [Generation of pain](#)
 - ▶ [Pharmacological studies](#)
 - > [Housing, environment and behaviour](#)
 - ▶ [Housing and nutrition](#)
 - ▶ [Breeding and Reproduction](#)
 - ▶ [GA animals](#)
 - ▶ [Behaviour](#)
 - > [Foetuses and premature animals](#)
 - > [Clinical signs](#)

| Source | Non-harmful / below threshold / severity degree 0 | Mild / severity degree 1 | Moderate / severity degree 2 | Severe / severity degree 3 |
|---|--|---|--|---|
| Directive 2010/63/EU, Annex VIII | | Administration of substances by subcutaneous, intramuscular, intraperitoneal routes, gavage and intravenously via superficial blood vessels, where the substance has no more than mild impact on the animal, and the volumes are within appropriate limits for the size and species of the animal. | Frequent application of test substances which produce moderate clinical effects, and withdrawal of blood samples (> 10 % of circulating volume) in a conscious animal within a few days without volume replacement. | |
| Home Office (2014 a) | | Injection by conventional routes, i.e. subcutaneous, intravenous, intraperitoneal or intramuscular (assuming competence of the person performing the procedure and that best practice guidelines for volume, pH, needle size, etc. are followed). Multiple injections by these routes may remain in the mild category if there are no cumulative effects. | | |
| Federal Food Safety and Veterinary Office FSVO (2018) | Single injection of small volumes s.c. and i.v. (species-specific), including repeated injections at long intervals (at least 24 hours). | I.v. or i.p. injections in sedated animals by catheter or tube and substances introduced into the body such as enemas. Implants and permanent accesses that can be created and used by means of a minimally invasive (superficial) procedure. Examples: Repeated iv or sc injection of small volumes (species-specific), insertion of cannulae into peripheral blood vessels. Subcutaneous injection of tumour tissue. Single subcutaneous implantations of osmotic minipumps and transponders. Subcutaneously channelled venous catheters. | Repeated injections at short intervals (several times within 24 hours). Implants and permanent accesses that have to be created by means of a deep surgical procedure or causing mild long-term constraint on an animal. Examples: Chronic iv catheters. Duodenal infusion cannula. Hepatic portal vein catheter. Gastric tube or chronic intragastric infusion cannula. Intraperitoneal or intravenous osmotic minipumps. Gavage. Telemetry transmitters. Implanted iv catheters with pumps in a jacket worn by dogs. Implantation of indwelling catheters in | Implants and permanent accesses that have to be created by means of a deep surgical procedure and causing severe long-term strain on an animal. Examples: Attachment of implants on the locomotor apparatus or other large implants that restrict movement (e.g. dorsal skinfold chamber in mice), implantation of catheters in the abdominal aorta or bile duct. Implantation of an arterial blood-pressure catheter in the aortic arch via the left carotid artery or in the abdominal aorta via the femoral artery. Implantation of a combination of a venous and arterial catheter. |

CIRS-LAS Portal

Critical incident reporting system in laboratory animal science

Refine
Reduce
Replace



Operating principles



Recent incidents

Injury of the mesentery by vertebral kyphoplasty

Mouse neonates exposed to CO2

Animal escapes during transportation

Kidney damage in mouse after surgery on heating mat

Soft tissue implant in rabbit



<https://kmonadollaraday.files.wordpress.com/2011/03/information-silos.jpg>



<http://www.london-gifts.co.uk>

Norecopa: PREPARE for better Science



There are lots of platforms...



...but are there enough trains?

**Norecopa aims to be a fast train to
global 3R resources**

Photo: <http://www.bbc.com/news/uk-england-london-35882068>

3R Symposium, Copenhagen, 12-13 November 2019



Some of the challenges

- Greater use of pre-registration sites to avoid publication bias
- Journals must publish negative results and replication studies
- Financial constraints preventing attempts to replicate studies
- Pressure by PIs on PhD students to publish in high impact journals
- Peer pressure to use methods because "we have always done it that way"
- Pressure of time to complete projects
- Culture of care and culture of integrity
- Uncertainty about severity classification and lack of knowledge about refinements
- The huge cleft and knowledge gap between *in vitro* experts (who are not necessarily developing replacement techniques *per se*) and lab animal specialists
- Perpetuation of this gap by lack of crosstalk (and need?) between the specialties
- Few interspeciality meetings, animal welfare more as an exotic curiosity than true integration



UFAW

Perspective

Animal experimentation: implementation and application of the 3Rs

 David I. Lewis

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Correspondence: David I Lewis (d.i.lewis@leeds.ac.uk)

Despite the development of powerful molecular biological techniques and technologies, studies involving research animals remain a key component of discovery biology, and in the discovery and development of new medicines. In 1959, *The Principles of Humane Experimental Technique*, the 3Rs (**R**eplacement, **R**eduction and **R**efinement) were developed to provide a framework to ensure animal research was undertaken as humanely as possible. Sixty years since their inception, the extent to which the 3Rs have been adopted and implemented by the global scientific and medical research communities has unfortunately been slow and patchy. However, this situation is changing rapidly as awareness increases, not only of the 3Rs themselves, but of the impact of animal welfare on the reproducibility, reliability and translatability of data from animal studies.

Perspective

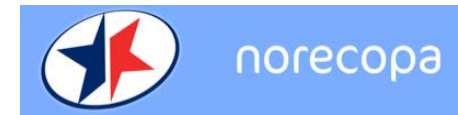
Animal experimentation: implementation and application of the 3Rs

 **David I. Lewis**

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There has to be far greater raising of awareness, globally, of the 3Rs and their impact, mandatory education, training and continuing professional development, and increased collaboration between all involved students, early career and established researchers, vets, animal caretakers and technologists, animal welfare experts and animal ethics committee members. There needs to be more funding for 3Rs interventions, greater sharing and promotion of 3Rs resources including those developed by other agencies or bodies,






RESEARCH

Open Access

A randomised controlled trial of an Intervention to Improve Compliance with the ARRIVE guidelines (IICARus)



Kaitlyn Hair , Malcolm R. Macleod , and Emily S. Sena , on behalf of the IICARus Collaboration

Our findings are in line with prior reports that endorsement by editors and reviewers has not significantly improved reporting of ARRIVE quality items. We need therefore a better understanding of the barriers to implementing quality checklists for animal experiments. It has been suggested that requesting checklist adherence at the submission stage may be too late, given the observed correlation between reporting at the planning application stage and at the publication stage. The **PREPARE** (Planning Research and Experimental Procedures on Animals: Recommendations for Excellence) guidelines were published recently and **may be a useful tool, in combination with the ARRIVE checklist, to promote a greater focus on experimental rigour at all stages of the research cycle.**

Thanks to Norecopa's main sponsors:

- Standing Committee on Business Affairs, Norwegian Parliament
- Norwegian Ministries of Agriculture and Fisheries
- Research Council of Norway
- Laboratory Animals Ltd.
- Architect Finn Rahns Legacy
- Nordic Society Against Painful Experiments (NSMSD)
- Norwegian Animal Protection Alliance's Fund
- Norwegian Society for the Protection of Animals
- Novo Nordisk
- Scottish Accreditation Board
- Stiansen Foundation
- Universities Federation for Animal Welfare (UFAW)
- US Department of Agriculture



SCOTTISH ACCREDITATION BOARD



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3R Symposium, Copenhagen, 12-13 November 2019



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